ASSESSING BIOMASS AND FOREST AREA CLASSIFICATIONS FROM MODIS SATELLITE DATA WHILE INCREMENTING THE NUMBER OF FIA DATA PANELS

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ABSTRACT

Our objective was to determine at what level biomass and forest area obtained from 2, 3, 4, or 5 panels of forest inventory data compares well with forested area and biomass estimates from the national inventory data. A subset of 2605 inventory plots (100% forested, 100% non-forested) was used to classify the land cover and model the biomass in South Carolina. Mixed plots containing both forest and non-forest conditions have been excluded. Forest inventory data have been further subdivided into four datasets containing the most recent 5, 4, 3, and 2 panels of data. Separately, each of these four datasets was used in a decision tree classification process applied to MODIS satellite data (250-meter resolution) and ancillary data to classify the land cover and model the forest biomass. The satellite, ancillary, and plot data have been subdivided into three mapping zones 54, 58, and 59 for processing in See5 and Cubist software. Classification results for trials with 2, 3, 4, and the entire cycle of 5 panels show that overall classification accuracy for the percent of pixels correctly classified (%PCC) increased from 72.3% to 79.2%. Comparison between classified forest area with 4 and 5 panels and the inventory forest area shows less than 2% difference. The forest/non-forest single layer classification from each trial was used to mask out non-forested areas for the forest biomass classification. Accuracy of modeled forest biomass was compared with plot data estimates of forest biomass. Biomass obtained from Cubist models with 3, 4, and 5 inventory panels when compared to the biomass from the published plot data estimates show a difference of 29.2%, 11.0% and respectively 1.6%.

INTRODUCTION

Foresters responsible for managing forest resources continue to look for more cost effective methods for collecting forest inventory data. Satellite remote sensing is an important tool for forest management and for surveying vast areas of forestland. Over the years, forest type classifications have been derived from an assortment of satellite data sensors with a variety of spatial and spectral resolutions. Results varied according to the classification algorithm, site location, number of classes used in each classification, etc.

Early classifications of forest and land cover/use from satellite data were produced based on spectral information in the image. Spectral and spatial resolutions were the primary elements that dictated classification accuracy and what could be achieved (Ma, 1985; Ma and Olson, 1989; Chavez et al., 1991; Salajanu, 1992; Lunetta et al., 1998).

Improvements in technology and classification algorithms allow ancillary data (slope, soil type, vegetation indices, merged information from sensors with different resolutions, etc.) to be incorporated into the original satellite data as new channels. Classification accuracy was improved when the original spectral channels were combined with ancillary data as additional channels in the classification process (Ricchetti, 2000; Chavez, 1986; Borry et al, 1990; Pellemans et al, 1993; Vogelmann et al 1998; Salajanu and Olson, 2001). In the last several years, classification and regression tree analysis have been implemented in several software programs and were used in many remote sensing applications (Huang and Jensen, 1997; Lawrence and Wright, 2001; Cooke and Jacobs, 2005).

The inventory design of the Forest Inventory and Analysis National Program of the United States Department of Agriculture Forest Service (FIA) requires annual measurements on a portion of all land in order to form rotating panels. The Southern States strive for a 20% sample each year as part of a 5-year cycle. The panels of forest inventory plot data are used as ancillary information when classifying forest types and forest area, as well as modeling the forest biomass.

Pecora 16 "Global Priorities in Land Remote Sensing" October 23 – 27, 2005 * Sioux Falls, South Dakota The main objective of this study is to determine whether there is significant improvement in the accuracy of forest/non-forest and forest biomass classifications from Moderate Resolution Imaging Spectroradiometer (MODIS) satellite data as plot data collection progresses from 2, 3 or 4 panels of currently available plot data as opposed to waiting for completion of the entire 5-year cycle of FIA data.

STUDY AREA

The test site for this study covers the entire state of South Carolina. South Carolina was selected because it was the only state in the South with a complete 5-panel cycle of FIA field data available. The test site consists of a large diversity of landforms (sandy beaches to coastal plains and hills to low mountains), soil types and land cover/use types. Hardwood forests are mixed throughout the State and are the dominant forest type followed by southern yellow pines (Smith et. al. 2004). The study area includes portions of United States Geological Survey (USGS) mapping zones 54, 57, 58, and 59 that fall within the state of South Carolina.

FOREST INVENTORY AND ANALYSIS PLOTS

The national inventory design of the Forest Inventory and Analysis program requires annual measurements on a proportion of all lands and 5-year reports. The field plot design consists of four subplots approximately 1/24 acre in size, and are used to collect data on trees with a diameter at breast height of 5 inches or greater (Figure 1). Each subplot contains a microplot of approximately 1/300 acre in size. Microplots are used to collect information data on seedlings and saplings.

An attempt is made on all forested plots to collect coordinates with a Global Positioning System (GPS) receiver at the center subplot. Some non-forest plots

may have GPS coordinates, but most do not. Hence,

not all of the plots used in the study have accurate GPS coordinates. For this study a subset of 2605 plots (1529

forested, 1062 non-forested, and 14 water) was filtered

from a complete 5-panel dataset of South Carolina.

This subset includes all of the 100% forested and 100% non-forested plots. Mixed plots containing both forest and non-forest conditions have been excluded from the

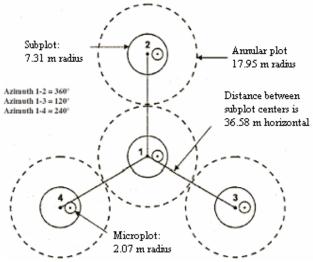


Figure 1. FIA field plot design.

DATA BASE DESCRIPTION

study.

The database consists of raster and vector data that fall within portions of the four USGS mapping zones indicated above. Since mapping zone 57 was such a small portion of the State it was merged with zone 54, and the database considered as three mapping zones. Each mapping zone contains 269 layers of data: a large number of ancillary and remote sensing layers re-sampled to a spatial resolution of 250 meters and projected to the Albers Equal Area projection. This set was used for modeling the forest/non-forest and forest biomass classifications. The data layers in Table 1 either existed as 250-meter resolution data or were re-sampled to 250-meters and projected to Albers Equal Area projection by personnel at the USFS Remote Sensing Applications Center in Salt Lake City (RSAC). The database contains continuous and categorical variables.

Database Layers Description
MODIS 32 day composite imagery between 2001 and 2003
Conus MODIS32-2001097 - Bands 1 to 7
Conus MODIS32-2001193 - Bands 1 to 7
Conus MODIS32-2002129 - Bands 1 to 7
Conus MODIS32-2002225 - Bands 1 to 7
Conus MODIS32-2002257 - Bands 1 to 7
Conus MODIS32-2002321 - Bands 1 to 7
Conus MODIS32-2003161 - Bands 1 to 7
Conus Bailey's Ecorigions image layer
MODIS Vegetation Indicies Layers
Conus EVI- 2002097 image
Conus EVI- 2002225 image
Conus EVI- 2002321 image
Conus NDVI- 2002097 image
Conus NDVI- 2002225 image
Conus NDVI- 2002321 image
MODIS Vegetation Layer: MODIS -percent tree cover image
Reflectance layers from spring, summer and fall of 2002
Conus Reflectance – 2002097 – Bands 1 to 7
Conus Reflectance – 2002225 – Bands 1 to 7
Conus Reflectance – 2002321 – Bands 1 to 7
NLCD layers;
Conus NLCD – Percent conifer forest image
Conus NLCD – Percent deciduous forest image
Conus NLCD – Percent mixed forest image
Conus NLCD – Percent shrub land image
Conus NLCD – Percent woody wet land image
Terrain information; Conus dominant aspect, Conus mean elevation, stream density
Conus MODIS fire points from 2001 and 2002
Soil data layers; available water capacity, permeability, soil bulk density, soil ph, soil
plasticity, soil porosity, rock volume and soil texture.
USGS mapping zone images
Precipitation – annual and for each month
Temperature layers – averages, minimum and maximum temperatures.

DATA MINING – CUBIST AND SEE5

Cubist and See5 are regression tree software used to create decision tree classifications (forest/non-forest map) and models for modeling the forest biomass. See5 was used to classify/model categorical variables, forest, non-forest and water, while Cubist was used to model the biomass continuous variable. Two files are essential for running Cubist or See5, and several others are optional. The first essential file is the names file that lists the names and describes the classes and attributes/predictors, as shown below.

FNF FNF: 1, 2, 3, 4. awc-250m.img-band1: continuous. bdgrid-250m.img-band1: continuous. conus-dvi-2002225.img: continuous. conus-evi-2002097.img: continuous.

```
conus-modis32-2001097-albers.img-band1: continuous .
us_ppt01_jan.img: continuous.
us_ppt03_mar.img: continuous.
us_tavg301_albers.img: continuous.
us_tavg302_albers.img: continuous.
usgs_mapping_zones.img: 0, 54, 58, 59.
ustmax01_albers.img: continuous.
:
:
:
attributes excluded:
conus_modis32_2001097_albers.img_band5,
conus_modis32_2001193_albers.img_band5.
```

The first row/entry in the name file is the attribute (forest/non-forest, biomass) that contains the target value to be classified/ modeled based on values of the other predictors. Predictors contained in the name file are continuous or defined by numeric values. The final entry in the name file specifies if a predictor is included or excluded from the classifier/model. The second essential file is the data file that provides information on the training data used to construct the decision tree model. The entry for each case consists of one or more lines that give the values for all the predictors. A comma separates the values and the entry terminates with a period (http://www.rulequest.com). The test file is one of the optional files, and it is used to evaluate the performance of the classifier/model. There are several ways for assessing model predictive ability such as; collection of new data to check the model and its predictive ability, comparison of results with earlier empirical results, and use a hold-out sample when data set is large to check the model performance. In this study data used to classify forest/non-forest have been split randomly 60% and 40% into data training and test files. Test file has the same structure as data file.

FOREST NON-FOREST CLASSIFICATION

There are several types of algorithms and methods to classify satellite data, such as supervised and unsupervised classification, neural network, decision tree, etc. The decision tree algorithm in See5 was used for this study. A subset of 100% forested and 100% non-forested plots were selected from a complete 5-year cycle of FIA data. Plots with mixed condition containing both forest and non-forest were excluded. These data have been further subdivided into four datasets containing the most recent 5, 4, 3, and 2 panels of data; respectively, 100, 80, 60, and 40 percent of the 5-year cycle. For this study, one FIA panel consists of 1/5 the total number of plots in South Carolina. Separately, each of these four datasets is used in an iterative decision tree classification process applied to MODIS satellite data (250 m resolution) and ancillary data to classify the forest, non-forest, and water. See5 cannot process geographic information system data (GIS) or remote sensing layers. Prior to the data mining process, satellite, ancillary and plot data (from 2, 3, 4 and 5 panels) for each mapping zone were processed with tools developed at the Remote Sensing Applications Center (RSAC) in Salt Lake City for ERDAS Imagine to convert remote sensing and GIS layers to See5 and Cubist data file formats.

The "Prepare FIA Data for Cubist/See5" tool extracts geospatial image information using FIA points. The program then creates three data files for See5 and three for Cubist (data file, name file, and test file), and randomly selects a dataset to be set aside for accuracy assessment. Once the name, data, and test files have been produced, See5 program is used to create decision tree models. See5 offers several options (rulesets, boost) to build a decision tree model, and each option produces a different type of classifier/decision tree based on the way it is constructed.

The boosting option was the only one used in this study to model the forest/non-forest categorical variable, and boosting was set to ten trials. The boosting option was selected because it creates several classifiers/decision trees. Each classifier/decision tree produced by boosting option will be different from the previous. Each decision tree tries to correct the prediction error from the previous decision tree. This process continues for a pre-determined number of trials. Data files from each mapping zone produced with 2, 3, 4, and 5 FIA panels were used in See5 to create forest/non-forest (fnf) decision tree models. Forty percent of the data was set-aside in each data set for accuracy assessment. The output file from the See5 software program reports classification errors based on a confusion matrix produced for both training and test datasets (Table 2).

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Options:								
10 boosting trials								
Class specified by attribute `fnf'								
Trial 9: Decision tree:								
SubTree [S1]								
conus_modis32_2001097_albers.img_bar	nd3 <	= 42	9: 2 (29)					
conus_modis32_2001097_albers.img_bar	1d3 >	429	: 1 (3.8/0.8)					
SubTree [S2]								
conus_reflectance_2002097.img_band4 >	> 222	4:2 ((5.8)					
conus_reflectance_2002097.img_band4 <	= 22	24:						
:conus_modis32_2002225_albers.img_l	band2	2 <=	2591: 2 (8.4/0.8)					
conus_modis32_2002225_albers.img_l	band2	2 > 2	591:					
:conus_modis32_2001097_albers.img	g_baı	nd6 >	> 2220: 2 (3)					
conus_modis32_2001097_albers.img		1d6 <	<= 2220:					
:ustmin10_albers.img <= 777: 2 (2	.5)							
ustmin10_albers.img > 777:								
:conus_ndvi_2002321.img <= 8			2.2/3.2)					
conus_ndvi_2002321.img > 803								
Evaluation on training data (428 cases):			ation on test data (285 cases):					
Trial Decision Tree	,	Trial						
Size Errors			Size Errors					
0 32 21(4.9%)	0	32	67(23.5%)					
1 34 31(7.2%)	1	34	72(25.3%)					
2 21 46(10.7%)	2	21	82(28.8%)					
3 29 29(6.8%)	3	29	85(29.8%)					
4 18 59(13.8%)	4	18	87(30.5%)					
5 27 37(8.6%)	5	27	89(31.2%)					
6 30 36(8.4%)	6	30	92(32.3%)					
7 28 50(11.7%)	7	28	85(29.8%)					
8 32 31(7.2%)	8	32	66(23.2%)					
9 24 38(8.9%)	9	24	84(29.5%)					
boost 0(0.0%) <<	boo		55(19.3%)					
(a) (b) (c) (d) (e) classified as		(a)	(b) (c) (d) (e) classified as					
(a): class 0			(a): class 0					
245 (b): class 1			155 21 (b): class 1					
179 (c): class 2			32 74 (c): class 2					
(d): class 3 (a) (b): class 4			(d): class 3					
4 (e): class 4			1 1 1 (e): class 4					

Table 2: A sample of the See5 output showing the misclassifications.

The "Apply See5 Results Spatially" tool developed for ERDAS Imagine by RSAC was used to create a spatial forest/non-forest data layer from the See5 decision tree models. The classification tree obtained from boosting was used in Apply See5 software to model forest non-forest and water classes as a function of the modeling dataset in each mapping zone. The final product is a single layer forest/non-forest image map (predicted output image) with values representing the variables (forest/non-forest) that were modeled (Figure 1) and a confidence image that shows spatial distribution of the correct and misclassified areas. Confidence values range from 0 to 1. A value of or near 1 indicates a more confident prediction for forest area, while values near zero show a confident prediction for non-forest area.

Pixels classified as forested have been converted to hectares and total forested area was compared to the total forestland area (U.S. Survey acres converted to hectares) reported in the Forest Resources of the US, 2002 report (Smith et. al. 2004).

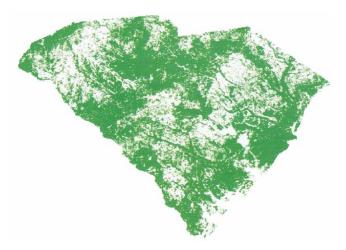


Figure 1. Forest non-forest classification from MODIS images.

BIOMASS CLASSIFICATION

The procedure for preparing the data for Cubist and classifying/modeling the forest biomass is similar to the forest/non-forest classification procedures for See5. Cubist, similar to See5, can process a large volume of data but cannot read remote sensing and GIS data layers. The RSAC-developed tools within ERDAS Imagine were used to convert remote sensing image layers to Cubist data files. Before modeling forest biomass, a forest mask was produced for each mapping zone. Classified forest maps have been used to mask non-forested area (Figure 2).

Forest biomass estimates (total dry weight) from FIA plot data and hundreds of continuous predictor layers were used in Cubist to produce biomass predictor models. Cubist, like See5, offers several options (rules alone, let Cubist decide, etc.) to build decision tree models. A model consists of a collection of rules. Two of the available options in Cubist were used to produce decision tree biomass models – "rule alone" and "committee of 5 members." Committee option, like boosting in See5, creates several rule-based models. Each member of the committee predicted a value for a class and the members' predictions have been averaged into a final prediction. There were five committee members and each member of a committee model tries to correct the predictions of the previous member (www.rulequest.com). A biomass model was produced for each mapping zone using 2, 3, 4, and 5 FIA panels. For each data set, a random sample of 10% of the data was set aside for accuracy assessment and the remaining 90% of the data was used to build the model. For each mapping zone, several iterations of decision-tree



biomass models were performed and analyzed. With each step, predictor layers poorly correlated with the biomass estimates were excluded during the next iteration. The Cubist output file (decision tree model) reported the errors (average and relative error), and the correlation coefficient for both training and test data sets.

Forest biomass models from each mapping zone were used in the ERDAS Imagine tool "Apply Cubist Results Spatially" to create a spatial biomass image map (predicted image) with predicted values representing the biomass variable and an error image file showing the predicted misclassifications.

Figure 2. Forest mask for modeling forest biomass.

RESULTS AND DISCUSSIONS

Forest/non-forest land cover was classified for the entire state of South Carolina from multitemporal MODIS satellite data (acquired during the spring, summer, and fall of 2001, 2002, 2003), ancillary and FIA plot data. An incremental increase in the number of FIA panels and the See5 option of boosting with 10 trials were the steps used to classify the land cover for South Carolina into forest, non-forest and water. Forest/non-forest classifications from See5 showed an increase in overall classification accuracy (%PCC) within each mapping zone with the addition of each FIA panel of plot data. Accuracy assessment is based on analysis of a contingency table produced by the See5 program for the 40-percent set-aside dataset. The overall classification accuracy (%PCC) varied in each mapping zone according to the number of FIA panels used in the classification process. Classification results for trials with 2, 3, 4, and entire cycle of 5 FIA panels are presented in Table 3 that summarize the producer, user, and overall accuracy (%PCC) for each mapping zone, and for the entire State.

The lowest accuracy was 68.7% for mapping zone 59 when only two panels were used and the highest accuracy was 84.5% for mapping zone 54 when all 5 FIA panels were used. At the state level, overall forest/non-forest accuracy (%PCC) increases from 72.3% to 75.2%, 77.7%, and 79.2% as the number of FIA panels used in the model increases from two panels to three, four and then to five. Mapping zone 58 has lower accuracy values compared to mapping zones 54 and 59. This is explained by the fact that zone 58 contains the highest diversity of land cover in the entire state (sand beach, wetlands, small lakes and estuaries, forested wetlands, agriculture, etc.). Also, the plot dataset contained only plots that were wholly forest or wholly non-forest, and accuracy assessment was based on 40% of this set of plots, while the other 60% of this limited dataset was used to build the model.

Mapping	Number of	Overall	Producer accuracy %			User accuracy %		
zone number	panels %PCC		Forest	Non-forest	Water	Forest	Non-forest	Water
	1 and 2	79.27	92.00	60.71	50.00	79.31	77.27	100.00
Zone 54	1, 2 and 3	80.34	87.69	69.39	100.00	81.42	80.95	60.00
	1, 2, 3 and 4	81.30	86.78	73.08	75.00	83.33	78.08	75.00
	All 5 panels	80.70	88.07	70.00	33.33	82.45	77.08	100.00
Zone 58	1 and 2	69.58	72.12	70.59		74.26	65.93	
	1, 2 and 3	72.94	80.25	63.57	100.00	73.86	71.93	50.00
	1, 2, 3 and 4	74.69	81.73	64.55	25.00	78.34	69.93	33.33
	All 5 panels	78.22	82.69	71.68	66.67	81.13	73.80	66.67
Zone 59	1 and 2	68.75	66.67	71.43		75.00	83.33	
	1, 2 and 3	78.26	85.71	66.67		80.00	85.71	
	1, 2, 3 and 4	77.50	76.92	78.57		90.91	64.71	
	All 5 panels	84.48	91.43	72.73	100.00	84.21	84.21	100.00
State-South Carolina	1 and 2	72.26	77.91	68.33	22.22	76.05	68.91	33.33
	1, 2 and 3	75.23	82.57	65.24	100.00	76.24	74.85	50.00
	1, 2, 3 and 4	77.66	82.81	67.61	50.00	80.30	71.16	50.00
	All 5 panels	79.25	84.69	71.25	57.14	81.69	75.13	80.00

Table 3. Classification accuracy of forest/non-forest by mapping zone and number of panels.

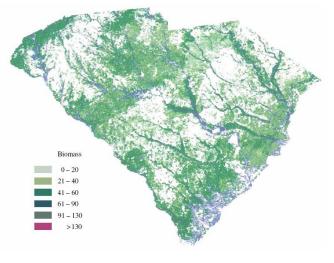
Another way to assess classification accuracy of forest/non-forest from FIA data is to determine the total area in hectares of all the pixels classified as forest and compare that total with the total forested area (U.S. Survey acres converted to hectares) reported in the Forest Resources of the United States, 2002 report (Smith et. al., 2004), an updated report required by the Forest and Rangeland Renewable Resources Planning Act of 1974 (RPA). The results are summarized in Table 4.

Panel Numbers	Classified as Forest (ha)	RPA Forest (ha)	Difference (+/-)	Percent (%)
1 and 2	5,280,940	5,024,380	+256,560	105.11
1, 2 and 3	5,116,230	5,024,380	+ 91,850	101.83
1, 2, 3 and 4	4,948,190	5,024,380	- 76,190	98.48
all 5-panels	5,015,420	5,024,380	- 8,960	99.82

Table 4. Comparison of forested area from MODIS classification with inventory forested area.

State-level map-based estimates of forest/non-forest area compare very well with RPA forested area. Classifications with two and three panels show an increase in forest area of 5.1%, and respectively 1.8% compared to forested area from RPA. Analysis of overall forest/non-forest classification accuracy by mapping zones and at the state level suggests that at least three FIA panels are needed to obtain a decent forest/non-forest classification. It is preferable to have 4 and 5 FIA panels. It is important to note that classification accuracy does not indicate anything about the purity of the classified pixels (250 by 250 m) that correspond to FIA plots.

Classifications of forest non-forest maps from each mapping zone were used to mask out the non-forested area and keep the forested area. This forest area mask was then used as the area over which forest biomass was modeled with the same geospatial predictors. Models for each mapping zone have been applied to their corresponding zonal



predictor dataset to produce forest biomass predictions on a pixel-by-pixel basis. A mosaic of the three mapping zones produced the biomass map shown in Figure 3. The decision tree models developed to model forest biomass varied in their ability to predict plot level biomass and the results show variation of the total biomass in each mapping zone as well as variations due to the number of FIA panels used in the model. Biomass trend shows an increase of forest biomass and accompanies the increase in the number of FIA panels in the model, but it is not always the case since Cubist sets aside randomly a different set of plots for test in each trial.

A comparison and accuracy assessment of the biomass results obtained from Cubist models with 3, 4, and 5 FIA panels with the biomass from RPA is shown in Table 5. Biomass compared well with RPA value when all 5 panels were used in the model.

Figure 3. Predicted biomass for South Carolina (dry tons/ac).

Number	Classified/modeled	Timberland	Error	Forest land biomass	Error
of panels	biomass (tons)	biomass (tons)	%	(tons)	%
1, 2, 3	328,140,000	458,000,000	28.35	463,800,000	29.25
1, 2, 3,4	412,700,000	458,000,000	9.89	463,800,000	11.02
All 5	456,200,000	458,000,000	0.39	463,800,000	1.64

Table 5. Comparison of modeled biomass with RPA biomass (total dry weight).

Biomass classification accuracy can be evaluated by analysis of the average error, relative error, and the correlation coefficient produced by Cubist models for each mapping zone (Table 6).

Mapping	Number of panels	Number of	Average	Relative	Correlation
Zone	In the model	Test Plots	Error	Error	Coefficient
54	1, 2, 3, 4, 5	39	23.0847	0.76	0.49
58	1, 2, 3, 4, 5	93	23.3867	0.85	0.54
59	1, 2, 3, 4, 5	8	26.7712	0.95	0.55

 Table 6. Biomass classification accuracies.

An analysis of the predicted biomass values show that Cubist models have overestimated the biomass for FIA plots containing low amounts of biomass, and likewise underestimated biomass for FIA plots with high biomass values.

CONCLUSIONS

This dataset is a part of a product that was developed with the intention of using a full five-year cycle of FIA data. That luxury is currently not available in neighboring states, thus providing partial cycles of data across state lines within each mapping zone. Hence, accuracy of the forest/non-forest map is a very important factor when modeling the correct area for forest biomass.

Based on the work described it can be concluded that four and five panels of FIA plot information can be used with good results in forest/non-forest classifications. The study also suggests that forest biomass can be modeled with good results when all five panels are available to be used in the model. It is not worth time, effort, nor money to process classifications with less than a whole cycle of data.

Biomass at the state level provides information on how forest biomass is spatially distributed throughout the entire statewide landscape. The spatial pattern allows a visual assessment of biomass distribution below the county level to help show where there are areas with high and low forest biomass.

An accurate forest/non-forest map is important for FIA phase l forest area measurements.

FIA plot information ties See5 and Cubist models to actual FIA phase 2 plot measurements on the ground.

Even though the increases in accuracy were not too large they are meaningful when used in different forest applications. Results suggest that FIA plot information can be used with good results in classifying land cover.

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