Understanding the *in situ* state of lignocellulosic biomass during ionic liquids-based engineering of renewable materials and chemicals†

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Ionic liquids (ILs) can be used to sustainably convert lignocellulosic feedstocks into renewable bio-based materials and chemicals. To improve the prospects of commercialization, it is essential to investigate the fate of lignocellulosic biomass during IL-based processing and develop tools for designing and optimizing this ‘green’ technology. *In situ* characterization during pretreatment and dissolution processes have shown that ILs reduced the inherent recalcitrance of lignocellulosic biomass via swelling of cellulose bundles and formation of fissures in the secondary cell wall layers. It subsequently enhanced the penetration of ILs into the plant cell wall leading to depolymerization and solubilization of matrix polysaccharides, mainly hemicellulose via deacetylation. Lignin also underwent dehydration or reduction reactions, depending on the IL type, with different mechanisms leading to the cleavage of inter-unit linkages. Following this process, the accessibility to cellulose microfibrils increased and induced delamination. Complementary X-ray diffraction analyses have elucidated that ILs also reduced cellulose crystallinity and altered cellulose polymorphs. High throughput *in situ* analyses, namely bright-field optical microscopy, nuclear magnetic resonance and Fourier transform infrared spectroscopies, have aided in monitoring the degree of swelling and chemical structural changes in lignocellulosic biomass during IL-based processing. Development of novel *in situ* analytical tools like IL-based gel permeation chromatography and rheometry will further shed light on molecular level changes in lignocellulose. Thus, an overall understanding of physico-chemical changes underwent by lignocellulosic biomass will help develop tools for monitoring and improving IL-based engineering of renewable materials and chemicals.

1. Introduction

Ionic liquids (ILs) are salts with very low melting points and therefore exist in a liquid state at room temperature.† They are composed of two parts, an organic cation and an inorganic or organic anion. Since an innumerable possible combination of cations and anions exist, ILs can be tailored for a broad range of applications in pharmaceuticals,2 energy storage,3 heavy metal remediation,4 membrane filtration,5 lubrication,6 and for the synthesis of composite materials,7 to name a few. In the context of a biorefinery, ILs have demonstrated the unique capability to selectively dissolve lignocellulosic components or bring about physico-chemical changes, which in turn can be exploited to produce biofuels and other value-added products.8 The beneficial properties of ILs, such as low vapor pressure, high thermal stability and tunable solvating capacity, are crucial to develop biochemical conversion platforms for utilizing renewable lignocellulosic feedstocks.9,10 However, the technology is in its nascent stage and the use of ILs for lignocellulosic biomass processing can be cost prohibitive.11 Nevertheless, progress has been made in demonstrating the sustainability and potential economic feasibility of IL-based biomass processing technologies, and the prospects for commercialization are improving.12,13 For such developments to flourish, it is necessary to understand the critical role of ILs in dissolving and deconstructing lignocellulosic biomass.

Lignocellulosic feedstocks, such as agricultural residues, dedicated energy crops, and forest biomass,14 are sustainable and abundant sources of biopolymers, *i.e.*, cellulose, hemicellulose and lignin, that could be exploited as a replacement for petroleum-based chemicals and materials. Owing to the recalcitrant nature of lignocellulosic biomass, a multifaceted
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Fig. 1 Conversion of lignocellulosic biomass into value-added products using ionic liquids-based processing technologies. Pretreatment results in bulk morphological changes that favors biofuel production via enzymatic saccharification and fermentation. Dissolution results in delamination of cellulose, disruption of lignin-hemicellulose linkages that promote biomaterial processing like wet spinning, gelling and 3D printing. Fractionation provides opportunity to upgrade cellulose, hemicellulose and lignin biopolymers to platform chemicals, drop-in fuels and functional composites. (LCC – lignin carbohydrate complexes).
physico-chemical and biochemical deconstruction strategy has to be employed to fractionate/isolate and utilize these biopolymers. IL-based processing is a facile approach for (i) pretreating lignocellulosic biomass for enhanced enzymatic saccharification, (ii) dissolving whole biomass or selective biomass constituents for material fabrication, and (iii) deconstructing and fractionating lignocellulosic biomass for subsequent upgrading (Fig. 1).

The effectiveness of biomass deconstruction is determined by the composition and properties of ILs. For example, ILs with stronger hydrogen-bonding anions can selectively fractionate cellulose, where those with planar cations were shown to be more effective in fractionating lignin. Similarly, ILs with high polarity, where either the cation or anion is coupled with a strong hydrogen-bonding counterpart, have displayed significantly improved dissolution capacity of whole lignocellulosic biomass. Biomass deconstruction depends greatly on the ability of the IL to form intermolecular interactions with lignocellulosic components where the strength of interaction can be tuned by modifying the chemical composition. There are empirical scales that predict hydrogen bonding and solvating capacity of ILs based on their chemical formulae, however, very few approaches have directly measured the in situ state of lignocellulose during treatment with ILs. Previous publications have critically investigated the interactions between IL-cations, anions and lignocellulosic components in order to compose more efficient ILs, and provided strategies for process design. However, challenges still remain in characterizing the in situ state of lignocellulose during the process development stage, without which there will be hurdles for new technology development, maturation, and deployment.

Therefore, in this review, we will investigate the in situ state of lignocellulosic biomass during IL-based processing in order to bridge the gap between available knowledge for IL design and feasible technologies for bio-materials/chemicals production. In situ characterization studies employing small-angle neutron scattering, optical microscopy, infrared and nuclear magnetic resonance spectroscopy have identified the bulk and supramolecular structural changes during IL-treatment of lignocellulosic biomass. Complementary characterization using scanning electron microscopy, chemical composition analysis, crystallinity measurement and molecular weight determination have provided a holistic understanding of the morphological and physico-chemical changes effected by ILs. Development of high throughput screening tools, which employ these in situ characterization techniques, will be the stepping stones for attaining higher process efficiency and for designing new applications. Hence, this review will provide comprehensive insights about the various physico-chemical transformations of lignocellulosic biomass, as well as furnish the tools for designing and optimizing IL-based “green” material processing technology.

2. Current status of IL-based lignocellulose processing

ILs have been used to process different types of lignocellulosic biomass, such as agricultural residues, dedicated energy crops and forest biomass (Table 1). Lignocellulosic feedstocks are composed of 24–53% of cellulose, 15–39% hemicellulose, 7–30% lignin, 1–12% organic extractives and 1–6% ash. The biopolymers constituting these feedstocks i.e., cellulose, lignin and hemicellulose, are rich and abundant sources of biologically and industrially relevant chemicals, namely glucose, xylose, galactose, mannose, arabinose, monophenols, polypheno-
nols, and hydrocarbons. In addition to bioenergy applications, these bio-derived components are useful for the synthesis of "green platform chemicals" like ethanol, butanol, 5-hydroxy-methylfurfural, furfural, propylene glycol, 3-hydroxy-propionic acid, butyric, fumaric, succinic, itaconic, malic acid, xylitol, and 2,5-furan dicarboxylic acid, and "green materials" like carbon fiber, thermosets, nanomaterials, and functional packaging.

At first, ILs were utilized to dissolve purified cellulose for the purpose of developing sustainable and eco-friendly material fabrication technologies. Afterwards, new ILs were synthesized to directly dissolve lignin, as well as whole lignocellulosic biomass. As a result, utilization of otherwise recalcitrant plant biomass for thermal and bio-chemical conversion platforms became possible. Common types of cations and anions used in the design of ILs for lignocellulosic biomass processing are provided in Fig. 2; a more exhaustive list has been published elsewhere. As shown in Fig. 2, modern ILs are made with organic cations like quaternary ammonium with aromatic and aliphatic functionality, alkylated phosphonium and even bio-based choline ions. Generally, IL-anions are organic or inorganic in nature, including novel amino acid-based molecules, except for halides that are polyanionic. The mechanisms involved in the dissolution of lignocellulosic components by ILs are critical for developing biomass conversion technologies. The following sections will summarize different strategies involved in the deconstruction of lignocellulosic biomass using ILs.

2.1. Lignocellulose pretreatment

Depending on the end-product, different strategies are applied to process lignocellulosic feedstocks. The most common strategy i.e., pretreatment or pre-conditioning, is applied to produce second-generation biofuels. As the name implies, pretreatment is the initial stage of biomass processing in a biorefinery which primarily facilitates the near-complete hydrolysis of cellulose during the subsequent stages. Pretreatment of lignocellulosic biomass using ILs generally results in physical and chemical changes to the plant cell wall, including an increase in pore size, decrease in cellulose crystallinity, increase in accessible surface area to cellulolytic enzymes and partial removal of hemicellulose or lignin. Different types of ILs, composed of methylimidazolium, pyrrolidinium, morpholinium and choline cations in combination with carboxylate, triflate, methanesulfonate, amino acid and chloride anions, have been utilized for biomass pretreatment purposes (Table 1). As a result of pretreatment with ILs, the production efficiency of glucose during enzymatic saccharification was shown to increase by up to 96% and ethanol yield during fermentation improved by up to 64%. In addition to the benefits of increased process efficiency, ILs used for pretreatment can be recycled which enhances the sustainability and eco-friendly aspects of this technology.

2.2. Lignocellulose dissolution

Dissolution is another technique commonly used to process lignocellulosic biomass. As given in Table 1, choline, quaternary ammonium, and methylimidazolium cations in combination with carboxylate, chloride, amino acid, and phosphonium anions have been reportedly used to completely dissolve various herbaceous and woody feedstocks. Unlike pretreatment where the lignocellulosic components are only partially removed to reduce recalcitrance, the dissolution process is aimed at bringing the entire plant biomass to a solution state. The advantage of whole biomass dissolution is that it facilitates subsequent catalytic depolymerization for the production of platform chemicals like guaiacol. In addition, the regenerated biomass could be utilized for the fabrication of novel composites and films, that exhibit improved thermotolerance and mechanical performance. The dissolution technique also provides a significant advantage to conventional blending and wet spinning technology, because ILs can act as plasticizers and assist in the extrusion of otherwise intractable lignocellulosic biomass. ILs can also be used to induce thermo-reversible cross-links between the lignocellulosic components upon regeneration, which provides unique opportunities to tune the structural and chemical properties of resulting matrices. Specifically, ILs containing phosphonium and trifluoromethylsulfonyl anions have been used to chemically modify the hydroxyl groups of lignocellulose during dissolution which in turn altered the polymerization behavior of the regenerated material. Overall, the facility to dissolve whole lignocellulosic biomass proffers abundant opportunities for the future development of IL-based material processing technologies.

2.3. Lignocellulose fractionation

Apart from pretreatment and dissolution, ILs can also be used to fractionate/isolate the components of lignocellulosic biomass.
### Table 1  Techniques for processing lignocellulosic biomass using ionic liquids

<table>
<thead>
<tr>
<th>Processing technique</th>
<th>Biomass</th>
<th>Ionic liquid</th>
<th>Bio-based product</th>
<th>Ref.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Biofuel, value-added intermediates</td>
<td>Rice straw</td>
<td>1-H-3-Methylphospholium chloride</td>
<td>Ethanol</td>
<td>35</td>
</tr>
<tr>
<td>Pretreatment, enzymatic saccharification, fermentation</td>
<td>Sunflower stalk</td>
<td>1-Butyl-3-methylimidazolium chloride</td>
<td>Ethanol</td>
<td>36</td>
</tr>
<tr>
<td></td>
<td>Sugarcane bagasse, Rice straw</td>
<td>Cholinium lysinate, cholinium arginate</td>
<td>Fermentable sugars</td>
<td>37 and 38</td>
</tr>
<tr>
<td>Fractionation</td>
<td>Oil palm fruits</td>
<td>1-Butyl-3-methylimidazolium chloride</td>
<td>Lignin</td>
<td>39</td>
</tr>
<tr>
<td></td>
<td>Barley straw</td>
<td>1-Ethyl-3-methylimidazolium acetate</td>
<td>Holocellulose, lignin</td>
<td>40</td>
</tr>
<tr>
<td></td>
<td>Bagasse, Southern yellow pine</td>
<td>Choline acetate</td>
<td>Cellulose, hemicellulose, lignin</td>
<td>41</td>
</tr>
<tr>
<td></td>
<td>Japanese cedar</td>
<td>N-Methyl-N-(2-methoxyethyl)pyrrolidin-1-ium</td>
<td>Lignin, holocellulose</td>
<td>42</td>
</tr>
<tr>
<td>Catalysis and production of platform chemicals</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Catalytic dehydration</td>
<td>Corn stover</td>
<td>1-Ethyl-3-imidazolium chloride</td>
<td>5-HMF</td>
<td>43</td>
</tr>
<tr>
<td></td>
<td>Sugarcane bagasse</td>
<td>1-Methyl-3 (3-sulfopropyl)imidazolium hydrogen sulfate</td>
<td>Furfural</td>
<td>44</td>
</tr>
<tr>
<td>Catalytic redox reactions</td>
<td>Technical lignin</td>
<td>1-Butyl-3-methylimidazolium chloride</td>
<td>Acetic acid</td>
<td>45</td>
</tr>
<tr>
<td>Acid-catalyzed hydrolysis</td>
<td>Rubber wood, Oil Palm frond, Bamboo, Oil husk</td>
<td>1,4-Bis(3-methylimidazolium-1-yl) butane tetrahydrogen sulfate</td>
<td>Levulinic acid</td>
<td>46</td>
</tr>
<tr>
<td>Catalytic hydrogenolysis</td>
<td>Kraft lignin</td>
<td>Choline methanesulfonate</td>
<td>Phenol, catechol</td>
<td>47</td>
</tr>
<tr>
<td>Dissolution, regeneration &amp; depolymerization</td>
<td>Eucalyptus, Pine, Switchgrass, Oak wood</td>
<td>1-Ethyl-3-methylimidazolium acetate, 3-methylimidazolium chloride, 1-ethyl-3-methylimidazolium chloride</td>
<td>Guaiacol, vanillin, syringol</td>
<td>48 and 49</td>
</tr>
<tr>
<td>Oxidative depolymerization</td>
<td>Beech lignin</td>
<td>1-Ethyl-3-methylimidazolium trifluoromethanesulfonate</td>
<td>Vanillin</td>
<td>50</td>
</tr>
<tr>
<td></td>
<td>Kraft lignin</td>
<td>1-Ethyl-3-methylimidazolium acetate</td>
<td>Guaiacol, syringol, acetovanillone</td>
<td>51</td>
</tr>
<tr>
<td></td>
<td>Japanese cedar</td>
<td>Tetrabutylammonium hydroxide 30-hydrate</td>
<td>Vanillin, vanillic acid</td>
<td>52</td>
</tr>
<tr>
<td>Fractionation, depolymerization</td>
<td>Eucalyptus, Southern pine, Norway spruce pulp</td>
<td>1- Allyl-3-methylimidazolium chloride</td>
<td>Furfural, HMF, catechol, methylcatechol, methylguaiacol</td>
<td>53</td>
</tr>
<tr>
<td>Pretreatment, enzyme-mediated transglycosylation</td>
<td>Cellulose</td>
<td>Tetrabutylphosphonium glycine</td>
<td>Methyl β-glucoside</td>
<td>54</td>
</tr>
<tr>
<td>Fabrication of renewable materials &amp; surfaces</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dissolution, regeneration, compounding &amp; molding</td>
<td>Cotton, Aspen wood</td>
<td>1-Ethyl-3-methylimidazolium acetate</td>
<td>Composite boards</td>
<td>55</td>
</tr>
<tr>
<td></td>
<td>Oil palm fronds</td>
<td>1-Butyl-3-methylimidazolium chloride, 1-ethyl-3-methylimidazolium diethyl phosphate</td>
<td>Composite boards</td>
<td>56</td>
</tr>
<tr>
<td></td>
<td>Chinese fir</td>
<td>1-Allyl-3-methylimidazolium chloride</td>
<td>Composite films</td>
<td>57</td>
</tr>
<tr>
<td></td>
<td>Bagasse, Hybrid poplar</td>
<td>1-Butyl-3-methylimidazolium chloride</td>
<td>Lignocellulosic films</td>
<td>58 and 59</td>
</tr>
<tr>
<td>Dissolution, ink-jet printing &amp; coagulation</td>
<td>Cellulose</td>
<td>1-Ethyl-3-methylimidazolium acetate</td>
<td>High-resolution 3D structures</td>
<td>60 and 61</td>
</tr>
<tr>
<td>Dissolution, wet spinning, electrospinning &amp; coagulation</td>
<td>Southern yellow pine, Bagasse, Hybrid poplar, Eucalyptus pulp, Kraft lignin, Hemp</td>
<td>1,5-Diazabicyclo[4.3.0]non-5-enium acetate</td>
<td>Lignocellulosic macro-fibers</td>
<td>62 and 63</td>
</tr>
<tr>
<td></td>
<td>Pine wood</td>
<td>1-Ethyl-3-methylimidazolium acetate</td>
<td>Composite fibers</td>
<td>64</td>
</tr>
<tr>
<td>Chemical modification &amp; molding</td>
<td>Bagasse, Japanese cedar, Eucalyptus</td>
<td>1-Ethyl-3-methylimidazolium acetate</td>
<td>Lignocellulosic nanofibers</td>
<td>65</td>
</tr>
<tr>
<td></td>
<td>Cellulose, Sugarcane bagasse</td>
<td>Didecyldimethylammonium-bis (trifluoromethylsulfonyl) imide</td>
<td>Bio-based thermoplastic</td>
<td>66</td>
</tr>
<tr>
<td></td>
<td>Norway spruce</td>
<td>1-Ethyl-3-methylimidazolium acetate</td>
<td>Flame-retardant thermoplastic</td>
<td>67</td>
</tr>
<tr>
<td>Dissolution, organocatalytic oxidative/trans-esterification</td>
<td></td>
<td></td>
<td>Cellulose ester</td>
<td>68 and 69</td>
</tr>
<tr>
<td>Dissolution, freeze-thaw cycling</td>
<td></td>
<td></td>
<td>Bio-based hydrogels</td>
<td>70</td>
</tr>
</tbody>
</table>

Biomass. Polar and non-polar, IL-based solvent systems have been designed to facilitate liquid–liquid extraction of cellulose, hemicellulose and/or lignin based on their solubility parameters. ILs composed of imidazolium, organoammonium cations and hydrogen sulfate, chloride anions have been previously reported for this purpose (Table 1). The fractionated lignocellulosic components may be utilized as they are, or subjected to additional IL-based processing to produce second-generation biofuels, or platform chemicals like furfural, phenol, catechol, methylcatechol, methylguaiacol and 5-hydroxymethylfurfural. Recently, catalytic depolymerization and upgrading techniques involving hydrogenolysis, acid hydrolysis, oxidation, and dehydration have been employed to valorize IL-fractionated lignin and structural...
carbohydrates. Thus, IL-based fractionation provides the opportunity to reduce waste and valorize all lignocellulosic components such that it enhances the technoeconomic feasibility of biorefinery operations.

3. Design and evaluation of IL-based solvent systems

It is important to carefully select the cationic and anionic components of ILs since chemical composition will determine the physico-chemical properties and application of ILs in lignocellulosic biomass processing. There are semi-empirical prediction models as well as empirical scales available for categorizing the IL-cations and anions based on chemical behavior. Parameters affecting the selection of IL components are hydrogen bond basicity, hydrogen bond acidity, bond polarizability and overall solvating capacity. Hydrogen bond basicity measures the ability of an anion to accept protons, hydrogen bond acidity measures the ability of a cation to donate protons and bond polarizability measures the separation of electric charge along a bond. These parameters are useful for understanding molecular level interactions between solute–solvent and solvent–solvent systems, as well as for drawing correlations between the molecular structure and solvating capability of ILs.

3.1. Pre-screening of ILs using empirical polarity scales

Traditional empirical scales, like Reichardt’s $E_T(30)$, utilize a solvatochromic pyridinium N-phenolate betaine dye to spectroscopically measure the polarity of ionic liquids. $E_T(30)$ determines the molar transition energy of a standard betaine dye in the presence of a solvent system, where higher $E_T(30)$ values correspond to a highly polar nature. Reichardt has listed the polarities of about 80 different ILs composed of ammonium, tetraalkylphosphonium, alkylimidazolium, alkylpyridinium cations and carboxylate, methanesulfonate, halide anions. ILs with very low hydrogen bond acidity ($\alpha$) ranked on the apolar side of the $E_T(30)$ scale, whereas those with higher $\alpha$ values leaned towards the polar end.

The importance of hydrogen bonding capacity of the ILs is further elucidated by the Kamlet–Taft’s polarity scale, where a set of solvatochromic probes are used to measure multiple parameters, including solvent dipolarity/polarizability, hydrogen bond acidity and hydrogen bond basicity. The dipolarity/polarizability parameter, $\pi^*$, is used to measure the ability of ILs to stabilize a charge or become polarized. It is determined based on the change in maximum absorption energy of a solvatochromic dye that has been induced by the local electric field created by a solvent. The $\pi^*$ value has been recorded for over 150 ILs and the main property found to affect the polarity scale was the alkyl chain length of the cation; longer alkyl chain length led to decreased in IL polarity. The hydrogen bond acidity ($\alpha$) of ILs was also found to be affected by the alkyl chain length, since the $\alpha$ values decreased significantly with the alkylation of acidic positions in cations. On the other hand, hydrogen bond basicity ($\beta$) of ILs depended on the strength of anions; for example, halide and azide anions exhibited the highest $\beta$ values by virtue of their strong electronegativity.

Both $\alpha$ and $\beta$ parameters are critical for designing novel solvent systems, because they determine the interactions between ILs and solutes like lignocellulosic biomass. The common modes of interactions between ILs and lignocellulosic biomass are depicted in Fig. 3a–c. It has been reported that ILs with acidic cations and high $\alpha$ values can form hydrogen bonds with ether and hydroxyl groups of lignin, thereby resulting in effective delignification. Similarly, ILs with highly electronegative anions and comparatively higher $\beta$ parameter can form electron donor–acceptor complexes with the hydroxyl groups of cellulose, thereby weakening the intramolecular hydrogen bonds and resulting in defibrillation. Subsequent studies have shown that formation of electron donor–acceptor complexes (Fig. 3c) between ILs and lignocellulosic biomass is essential for fractionation or dissolution processes.
Semi-empirical polarity scales can also be developed using computational methods to predict the hydrogen bond basicity and other solvent-interaction parameters of ILs.\textsuperscript{13,81} For example, the molecular dynamics simulation-based COSMO-RS method (COnductor-like Screening MOdel for Real Solvents) was adapted to predict the $\beta$ values of ILs based on the unimolecular quantum calculations of hydrogen-bonding energies for specific cation–anion pairing.\textsuperscript{82,83} Cross validation using experimentally determined values showed that COSMO-RS can successfully predict the $\beta$ parameter for IL co-solvent systems.\textsuperscript{82,83} Other means for utilizing molecular dynamic simulations are to predict the changes in conformational and interaction energies between IL-cation, anion and lignocellulosic polymers.\textsuperscript{84,85} Such simulations can shed light on the formation of electron donor–acceptor complexes between ILs and lignocellulose, as well as draw correlations between IL chemical composition and dissolving capability.\textsuperscript{81} Henceforth, development of predictive tools like COSMO-RS is crucial for screening ILs based on the application and for selecting anions and cations that favor IL-biomass interactions.

3.2. Solubility parameters to design high performance IL-based systems

Understanding the interactions between lignocellulosic components, ILs and other molecular solvents like water is essential for the design of an efficient fractionation or dissolution process. Addition of co-solvents to ILs can improve the formation of electron donor–acceptor complexes by changing interaction energies. On the other hand, anti-solvents will compete for interactions with ILs thereby interfering with their capability to form electron donor–acceptor complexes and result in the precipitation of dissolved polymers (Fig. 4). Generally, hydrogen bond donating species (high $\alpha$) are chosen as anti-solvents, whereas hydrogen bond accepting species (high $\beta$) are chosen as co-solvents for IL-lignocellulose systems.\textsuperscript{87} Different types of molecular liquids like water,\textsuperscript{88}
DMSO, dimethylformamide, acetonitrile, 2-phenoxethanol, γ-valerolactone and acetic acid have been evaluated for co-dissolution of cellulose and lignin. These co-solvents can be pre-screened using computational tools, where empirical parameters based on Hansen or Hildebrand solubility theories could supply necessary background information. The Hildebrand solubility parameter ($\delta_H$) measures the amount of energy required to disrupt the intermolecular interactions and arrangements between solvents and solutes, and it can be measured using heat of vaporization, intrinsic viscosity, osmotic pressure or inverse gas chromatography. The Hansen solubility theory provides a comprehensive estimate of the radius of interaction between the solute and solvent molecules based on dispersion, dipole–dipole and hydrogen bonding forces. The smaller the size of Hansen solubility sphere, when compared to that of lignocellulosic components, the higher will be the solvating capacity of ILs. Studies have shown that evaluation of differential solvating capacity of ionic and molecular liquid mixtures is essential for the improvement of fractionation yields; up to 90% of hemicellulose and 60% of lignin have been reportedly recovered from woody and herbaceous feedstocks based on predictions made by internal solubility parameters. An extensive list of $\delta$ solubility parameters for 24 different ILs, along with 45 different co-molecular solvents, has been published elsewhere.

In summary, the different empirical parameters namely $E_{(30)}$, $\pi^*$, $\alpha$, $\beta$, and $\delta_H$ are useful for estimating the interactions between lignocellulose and ILs. Some computational methods may even provide insights into the mechanism of dissolution by ILs and propose compositional changes that may improve the processing yields. However, these empirical or computational methods are not sufficient to support the development of IL-based biomass processing technologies. For that, real-time or post-regeneration measurement of physico-chemical properties of lignocellulose is required. The ensuing section will elaborate on in situ investigations of structural and chemical changes in lignocellulosic biomass, such that it will advance the process development and optimization of IL-based conversion technology.

### 4. Contemporary evaluation of lignocellulose during IL-processing

#### 4.1. Mechanism of swelling and unraveling of cell wall layers

**In situ** characterization of lignocellulosic biomass using optical microscopy has been useful for screening and high throughput evaluation of ILs. Studies using bright-field optical microscopy have shown that, at higher temperatures of 120 to 160 °C, lignocellulosic biomass rapidly dissolve in ILs in as little as 80 minutes. As shown in Fig. 5a and b, the fiber bundles of sawdust disappeared completely within 4 h, thereby signifying the end of dissolution process. These studies were conducted at a length scale of 10 µm to 2 mm, which captured only the bulk deconstruction of the plant cell network. For a detailed analysis, introduction of cross-polarizing filters has been shown to capture the changes in cellulose crystallite structure at a length scale of 20 to 200 µm. The chiral nematic property of cellulose crystallites is known to produce birefringent patterns when observed between crossed polarizers (Fig. 5c and d). During exposure to ionic liquids the birefringent pattern disappears in 0.3 to 72 h, even at a low temperature of 50 °C, because of the disassembly of the crystalline arrangement of cellulose. It was proposed that, breakage of inter-molecular and inter-chain linkages, as a result of hydrogen bonding interactions with ILs, was the prime reason for cellulose crystallinity decrease. Loss of cellulose crystallinity is also the first step towards reducing the recalcitrance of lignocellulosic biomass, as it precedes the complete solubilization of the plant cell wall network.

Changes occurring in the secondary and middle lamellar layers of plant cell wall, during IL-based processing, can be recorded using confocal microscopy, which provides a comparatively enhanced spatial resolution at a length scale of 0.5 to 3 µm. The confocal images can be mapped according to chemical composition, using either autofluorescence of lignin or differential vibrations of lignocellulosic components in the Raman spectrum. Raman imaging is conducted in the range of 2830–2920 cm$^{-1}$ for polysaccharides and...
1550–1650 cm$^{-1}$ for lignin at an emission wavelength of 532 or 785 nm.$^{102,105–107}$ Confocal Raman microscopy-based tissue mapping has consistently shown that the polysaccharides in secondary cell wall layers swell in the presence of ILs, followed by distortion and shrinkage of middle lamellar layer, which facilitates the dissolution of lignin naturally aggregated in this layer (Fig. 6A). The degree of swelling of secondary cell wall, changes in the total dimension of individual cells and changes in the intensity of Raman vibrational spectra have been used to qualitatively estimate the impact of ILs on lignocellulosic biomass.$^{102,105–107}$ Evaluations based on Raman imaging showed that IL anions with higher hydrogen bond basicity were capable of significantly higher interactions with the hydroxyl groups of cellulose and hemicellulose resulting in the observed swelling of secondary plant cell wall layers.$^{108}$ It was also clear from these studies that, access and diffusion of ILs through lignocellulosic polymers played a critical role during cell wall dissolution. As a side note, conventional and Raman optical microscopies are limited by the diffraction of light, and breaking this diffraction limit by focusing on single molecular emission or scattering can help to achieve ultra-high resolutions. State-of-the-art techniques like super localization microscopy can provide spectrally and temporally-resolved nano-scale images, which will be ideal for investigating cellulose crystallite level changes. A full review of optical microscopy techniques for the nano-scale characterization of solution state polymers has been published elsewhere.$^{109}$

In addition to in situ microscopic examinations, gross morphological changes occurring in regenerated lignocellulosic substrates, at a scale of 5 to 100 µm, have been utilized to screen the ILs.$^{102,108,110}$ Scanning electron microscopy (SEM) studies have shown that treatment with ILs at higher temperatures of 120–155 °C resulted in increased porosity, disruption of cell center and middle lamellar regions, unravelling of secondary cell wall layers and consequent delamination of wood fibers (Fig. 6B).$^{102,108,111}$ Appearance of pores after IL-pretreatment was attributed to delignification, whereas disruption of cell center and middle lamellae was attributed to the preliminary swelling of secondary cell wall.$^{102,108,111}$ Subsequent unravelling and delamination of secondary cell wall was credited to the dissolution of hemicellulose as well as defibrillation of cellulose. Biomass regenerated after complete IL-dissolution displayed no semblance to the original vascular structure, indicating a loss of cellulose crystallinity as well as depolymerization of hemicellulose and lignin.$^{39,40,110}$ Based on SEM screening, ILs with high hydrogen bond basicity were found to be ideal for swelling and disrupting the secondary and middle lamellar layers of plant cell wall, because of their favorable interactions with structural polysaccharides.$^{102}$ On the other hand, ILs with low hydrogen bond basicity were favorable for interactions with lignin and subsequent delignification.$^{102}$

Nano-scale evaluation of lignocellulosic biomass using atomic force microscopy (AFM), at 100 nm to 4 µm length scales, is useful to understand the surface-level changes in
structure and composition. AFM mapping of untreated plant fibers usually exhibited a smooth surface characteristic of cellulose microfibrils, along with roughness introduced by the matrix polymers of lignin and hemicellulose (Fig. 7).\textsuperscript{108,112} This is useful for comparisons with regenerated lignocellulosic films, which exhibited variations in surface roughness depending on lignin and hemicellulose content as well as phase separation depending on the deposition of these components.\textsuperscript{108} AFM studies of IL-processed biomass have also shown that there is appearance of fissures as a result of disruption in microfibril bundles, followed by decrease in surface roughness as a result of removal of hemicellulose and lignin over time (Fig. 7A–C).\textsuperscript{108,113} In particular, AFM was used to delineate the mechanism of holocellulose dissolution in ILs, where it was determined that the initial swelling of microfibril bundles (Fig. 7D) was critical for subsequent loss of crystallinity and delamination of cellulose.\textsuperscript{114} Moreover, appropriate hydrogen bonding capacity as well as IL-anion and cation sizes were determined to be essential for inducing optimal swelling of holocellulose bundles.\textsuperscript{114}

Considering all the evidences collected through microscopy and imaging studies, we can conclude that there is (1) swelling of the secondary cell wall layer as a result of hydrogen bond interactions between structural polysaccharides and ILs; (2) cracking and disruption of fiber bundles accelerates the imbibition of ILs; (3) cellulose crystallinity is reduced, and (4) the polymeric matrix \textit{i.e.}, lignin and hemicellulose, dissolves resulting in unravelling of cell wall layers. Depolymerization of lignin, cellulose and hemicellulose may occur concurrently, however further investigation is necessary to unravel the specific chemical and physical changes.

4.2. Factors affecting cellulose crystallinity and lignocellulose ultrastructure

Since the swelling of cellulose and loss of its crystallinity are the first stages of reducing biomass recalcitrance,\textsuperscript{106,114} understanding the ultrastructure of cellulose \textit{via} X-ray diffraction technique (XRD) is critical for improving IL-based processing. After regeneration from IL-treatment, cellulose often loses its orderly structure or undergo changes in planar arrangement, which reduces its recalcitrant nature.\textsuperscript{115,116} Zhang \textit{et al.} (2014) had proposed that, during IL-treatment under milder conditions (~90 °C), the cellulose crystals swelled as a result of interactions with ILs leading to reduction in $2\theta = 110$ peak area at 15.6° and loss of crystallinity (Fig. 8a).\textsuperscript{117} Whereas, upon severe IL-treatments (~110 °C or longer durations), there was delamination of cellulose polymer chains and subsequent dissolution in ILs, which altered the cellulose polymorph, from type I to II, after regeneration (Fig. 8a and b).\textsuperscript{115,117} This phenomenon is detected by a shift in the $2\theta = 110$ peak from 15.6° to ~12.5°.\textsuperscript{118,119} Several XRD experiments have shown that, via optimization of IL-treatment temperature, time, and solid loading, it is possible to (1) maximize swelling with minimal dissolution of cellulose and (2) convert cellulose to a lower order transitional state where there is significant reduction of crystallinity, but with a higher mass recovery.\textsuperscript{117,119}

In recent years, the ultrastructure of whole lignocellulosic biomass has been delineated using an advanced, small-angle neutron scattering (SANS) technique. SANS utilizes the differences in neutron scattering length density between cellulose (1.78 × 10^{-6} Å^{2}), hemicellulose (1.52 × 10^{-6} Å^{2}) and lignin (2.21 × 10^{-6} Å^{2}) to determine their structural differences.\textsuperscript{120,121} Ionic liquids have comparatively different neutron scattering length density, \textit{e.g.} 1.14 × 10^{-6} Å or 6.07 × 10^{-6} Å^{2} for non-deuterated and deuterated 1-ethyl-3-methylimidazolium acetate, respectively,\textsuperscript{122} and therefore can be utilized to investigate the \textit{in situ} changes in lignocellulose during the dissolution process. It was reported that, during switchgrass dissolution in ILs, the cellulose fibrils disassociated into individual polymer chains whereas the residual lignin and hemicellulose moieties remained intact thereby conserving the supramolecular structure (Fig. 9).\textsuperscript{120} This network structure, formed by covalent linkages between hemicellulose and lignin (otherwise known as lignin-carbohydrate complexes), was proposed to be responsible for the swelling behavior of plant cell wall during IL-treatments.\textsuperscript{120} \textit{In situ} studies of individual polymers have shown that cellulose exhibited a worm-like linear structure with very high aspect ratio that was consistent with disassociation of microfibrils and molecular level interactions.
with ILs. However, the crystalline core of native cellulose was proposed to stay intact since there was no significant changes in the radius of gyration ($R_g$) even after 24 h of incubation with ILs. The structure of IL-treated technical lignin, like organosolv, kraft, alkali and lignosulfonate, was determined after dissolution in deuterated DMSO, and was shown to depolymerize from large aggregates (200 ± 30 nm) into nanoscale subunits (~19.7 ± 2.1 Å) with a defined cylindrical or ellipsoidal shape. This observation was consistent with the reduction of molecular weight and loss of β-O-4 linkages as determined using gel permeation chromatography (GPC), FTIR and NMR analyses. SANS study results have also elucidated the in situ changes in surface roughness of whole lignocellulose during IL-treatments; there is an initial increase in roughness as a result of disruption and delamination of cellulose microfibrils followed by smoothing out when the underlying cellulose embedded in lignin-hemicellulose matrix is exposed. The biomass surface also became smoother, during prolonged IL-treatment as a result of increase in conversion of native cellulose structure to type-II or amorphous forms. Similarly, SANS studies have shown that IL-treatment and preferential dissolution of cellulose, hemicellulose or lignin leads to increase in porosity of lignocellulosic biomass.

### 4.3. Chemical changes favoring lignocellulose dissolution in ILs

Different mechanisms are involved in the deconstruction of cellulose, hemicellulose and lignin within the plant cell wall structure. 1D proton (1H), carbon (13C) and phosphorus (31P) nuclear magnetic resonance (NMR) spectroscopies, as well as 2D (1H–13C) heteronuclear single quantum coherence (HSQC) NMR, have been previously utilized to analyze IL-biomass interactions, cellulose crystallinity, hydroxyl and other functional groups of lignocellulose, as well as lignin-carbohydrate inter-unit linkages. In situ 1H and 13C NMR spectroscopy of native and purified cellulose have clearly shown the formation of hydrogen bonding between its anomeric and secondary hydroxyl groups with that of the $H_2$ proton of IL-cations and anions. To achieve a complete dissolution of cellulose, the IL-anion must exhibit good hydrogen bond accepting capacity, whereas the IL-cation could exhibit moderate hydrogen bond donating capacity but with a higher degree of dissociation. Analysis of regenerated biomass has shown that ILs with highly basic anions ($\beta \geq 1.0$) caused base-catalyzed reactions between the IL-cations and C1, C2, C6 positions of cellulose (Fig. 10). These ILs also disrupted the crystalline structure, as indicated by the reduction in corresponding peak...
at C4 position (Fig. 10b and c), resulting in increased amorphous regions and accessibility of cellulose for further deconstruction.131 On the other hand, ILs containing comparatively less basic anions, like BF4 (β < 0.6),132 caused extensive swelling of cellulose fibers without significantly affecting its crystallinity. In such cases, the protic nature of ILs was believed to be responsible for preventing extensive depolymerization of crystalline cellulose, since they interact via reversible proton transfer mechanism unlike aprotic solvents that irreversibly disrupt the native covalent linkages.87 Other in situ self-diffusion NMR studies have shown that cellulose may dissolve in aqueous ILs via electrostatic interactions between the hydroxyl groups.133 Therefore, future in situ NMR studies using acetate or protic ILs may elucidate the mechanisms underlying the swelling and consequent ultrastructural changes in cellulose.

In the case of hemicellulose, three major mechanisms were determined to occur based on 2D-HSQC NMR signals corresponding to O-acetylated xylan, glycosidic linkages and C4-H4 correlations of 4-O-methyl-α-D-glucuronic acid; (1) deacetylation, (2) reduction in degree of polymerization and (3) cleavage of uronic acid side-chains.134 The deacetylation efficiency increased with the degree of basicity of IL-anions.134 Therefore, ILs containing highly basic anions are often used to target the hemicellulose polysaccharides during pretreatment processes and to reduce the recalcitrance of lignocellulosic biomass.

True to its complex structure, lignin undergoes depolymerization following diverse pathways depending on the nature of ILs. Common chemical changes reported to occur in lignin, based on 2D-HSQC NMR reports, are (1) up to 50% reduction of methoxy groups resulting from transformation of aromatic rings into quinonoid structures,135 (2) almost 80% hydrolysis of native ether (β-O-4) linkages in an acidic environment, followed by reduction and re-substitution of β-β and β-5 linkages,136 (3) dehydration in alkaline environment and reduction of aromatic C-H species, (4) reduction of G-type lignin due to depolymerization by basic anions, or (5) reduction in S-type lignin due to demethylation by acidic anions,131,134 (6) reduction of p-coumaryl groups involved in lignin-carbohydrate linkages under acidic environment and corresponding increase in H-type lignin, and (7) increase in condensed 5-substitued substructures, upon prolonged exposure (>1 day) to ILs.136 Typical in situ changes occurring in lignin during IL-treatment is provided in ESI Fig. S1† and the NMR chemicals shifts assignments corresponding to the lignocellulosic components are provided in Table S1.†

In situ measurement of different vibrational modes, including C=O, C=O, C=O, C=C, –CH2, C–H, C=OH and O–H, of lignocellulosic biomass using attenuated total reflectance (ATR) – Fourier transform infrared (FTIR) spectroscopy has also been useful for high-throughput screening of ILs. Keskar et al. (2012) monitored the signature aromatic skeletal vibrations of lignin at 1510 cm⁻¹ during dissolution in phosphonium-based ILs and calculated in situ quantitative losses over time.141 Phosphonium cations conjugated with anions having lower hydrogen bond basicity (β = 0.6) were observed to exclusively dissolve lignin from lignocellulosic biomass.144 On the other hand, when imidazolium-based ILs were implemented, a significant change was observed in the vibrational modes corresponding to conjugated C=O (1737 cm⁻¹) and C–O stretch (1233 cm⁻¹) (Fig. 11a and b).116,141 These changes were due to the deacetylation and dissolution of hemicellulose, which was significant for extended (>2 days) treatment durations (Fig. 11a).116 Furthermore, as expected, the degree of deacetylation of hemicellulose was higher for acetate ion that possessed higher pKa and hydrogen bond basicity when compared to halides or even other carboxylate anions.116,143 In the case of cellulose, changes in the degree of crystallinity was determined based on the ratio of amorphous C–H bending (1375 cm⁻¹) to crystalline O–H stretching (2900 cm⁻¹).144 Changes in cellulose ultrastructure were induced as a result of destruction of native hydrogen bonds during interactions with ILs, and subsequent rearrangement during precipitation with an anti-solvent.145 This observation was consistent with XRD measurements as indicated in a previous section (Fig. 8).

4.4. Scope for screening ILs based on lignocellulose composition and molecular weight

Quantitative information about chemical compositional changes in lignocellulosic biomass is essential for a comprehensive evaluation of IL-based processing. In addition to correlating with morphological and physical changes, measurement of chemical composition can verify the mechanistic pathways.
involved in IL-based conversion of lignocellulosic biomass. As given in Table 2, increase or decrease in lignocellulosic components provides insights about the relationship between IL composition and the relative dissolution behavior. For example, an increase in the basicity of anions in imidazolium-based ILs led to enhanced loss of acetyl and hemicellulose content.116 In the case of tertiary amine-based ILs, less polar cations synthesized from aromatic aldehydes were more efficient in the dissolution of lignin than the polar counterparts (Table 2).146 Other than IL structure, factors like treatment temperature, duration (Table 2), biomass loading and particle size will also affect the outcome. Hence, compilation of chemical composition provides the opportunity for application-based screening of ILs and for optimizing biomass recovery.

During reactions with ILs, as indicated by NMR and FTIR results, the lignocellulosic components undergo depolymerization and therefore, should exhibit changes in molecular size. A recent study measured in situ changes in molecular weight of cellulose by utilizing a GPC system equipped with a hydro-

![Fig. 11](a) Principal component analysis of ATR-FTIR spectra of hybrid poplar pretreated with 1-ethyl-3-methylimidazolium acetate for different periods of time. (b) Principal component 1 (PC 1) of FTIR spectra indicated that 83% of the variances in the 72 h pretreated sample arose from fewer C=O vibrations and C–O stretch corresponding to the loss of acetyl groups of hemicellulose (adapted from ref. 116).

### Table 2: Chemical compositional changes induced by ionic liquid pretreatment of various lignocellulosic feedstocks

<table>
<thead>
<tr>
<th>Ionic liquid</th>
<th>Biomass</th>
<th>Treatment conditions</th>
<th>Chemical compositional changes (% dry wt)(^{a})</th>
<th>Ref.</th>
</tr>
</thead>
<tbody>
<tr>
<td>1-Ethyl-3-methyl imidazolium acetate</td>
<td>Hybrid poplar</td>
<td>60 °C, 72 h</td>
<td>Cellulose: -1.6  Hemicellulose: -3.4  Lignin: 0.0</td>
<td>116</td>
</tr>
<tr>
<td></td>
<td>Switchgrass</td>
<td>160 °C, 3 h</td>
<td>Cellulose: -7.7  Hemicellulose: +28.6  Lignin: -52.5</td>
<td>146</td>
</tr>
<tr>
<td></td>
<td>Energy cane</td>
<td>120 °C, 0.5 h</td>
<td>Cellulose: -8.8  Hemicellulose: -12.1  Lignin: -32.1</td>
<td>147</td>
</tr>
<tr>
<td></td>
<td>Wheat straw</td>
<td>140 °C, 2 h</td>
<td>Cellulose: -4.8  Hemicellulose: -35.2  Lignin: +2.4</td>
<td>148</td>
</tr>
<tr>
<td></td>
<td>Eucalyptus</td>
<td>140 °C, 2 h</td>
<td>Cellulose: -9.5  Hemicellulose: -43.3  Lignin: -7.6</td>
<td></td>
</tr>
<tr>
<td>1-Ethyl-3-methyl imidazolium hydrogen sulfate</td>
<td>Wheat straw</td>
<td>140 °C, 1.5 h</td>
<td>Cellulose: -9.0  Hemicellulose: -59.6  Lignin: +10.7</td>
<td>148</td>
</tr>
<tr>
<td></td>
<td>Eucalyptus</td>
<td>140 °C, 1.5 h</td>
<td>Cellulose: +11.8  Hemicellulose: -46.7  Lignin: -3.1</td>
<td></td>
</tr>
<tr>
<td>1-Allyl-3-methyl imidazolium formate</td>
<td>Hybrid poplar</td>
<td>60 °C, 72 h</td>
<td>Cellulose: -3.6  Hemicellulose: -10.2  Lignin: -1.1</td>
<td>116</td>
</tr>
<tr>
<td>Tetrabutylammonium hydroxide</td>
<td>Switchgrass</td>
<td>50 °C, 3 h</td>
<td>Cellulose: -6.5  Hemicellulose: -69.8  Lignin: -75.7</td>
<td>149</td>
</tr>
<tr>
<td>[FurEt₂NH][H₂PO₄]</td>
<td>Switchgrass</td>
<td>160 °C, 3 h</td>
<td>Cellulose: -5.0  Hemicellulose: +23.7  Lignin: -20.0</td>
<td>146</td>
</tr>
<tr>
<td>[VanEt₂NH][H₂PO₄]</td>
<td></td>
<td></td>
<td>Cellulose: -5.9  Hemicellulose: -14.1  Lignin: -3.9</td>
<td></td>
</tr>
<tr>
<td>[p-AnisEt₂NH][H₂PO₄]</td>
<td></td>
<td></td>
<td>Cellulose: -10.9  Hemicellulose: +30.4  Lignin: -43.0</td>
<td></td>
</tr>
<tr>
<td>Choline acetate</td>
<td>Corn cob</td>
<td>150 °C, 20 h</td>
<td>Cellulose: -6.2  Hemicellulose: -9.3  Lignin: -36.0</td>
<td>150</td>
</tr>
</tbody>
</table>

\(^{a}\) (+) increase or (−) decrease in chemical content with respect to untreated biomass.
philic separation media, columns with large exclusion limit (100,000 kDa) and a differential refractive index/multiple angle laser scattering (dRI/MALLS) detector. The study results indicated a 37 to 43% reduction in molecular weight of commercial microcrystalline cellulose pretreated with 1-ethyl-3-methylimidazolium acetate. Moreover, there was decrease in polydispersity with the increase in hydrolysis duration which indicated a consistent depolymerization of higher molecular weight polymer chains, before subsequent degradation of small molecular weight chains. Thus, the GPC study elucidated how the molecular weight distribution of cellulose was affected by IL treatment severity. In future, similar IL-based GPC systems may be successfully adapted for in situ monitoring of not just cellulose but the whole lignocellulosic biomass.

During the dissolution process, viscosity of the IL-biomass mixture is affected by, among other factors, the molecular size of lignocellulose. A general rule of thumb is that, the shear viscosity of a polymer solution will increase as a function of molecular weight. The Mark–Houwink equation defines this relationship as follows: \([\eta] = K M^\alpha\), where \([\eta]\) is the intrinsic viscosity, \(M_r\) is the relative molecular mass average, \(K\) is an empirical constant, and \(\alpha\) is a scalar which defines the flexibility of a polymer. The constant for cellulose-IL solutions ranges between 0.65–0.95 and it depends on the solute concentration, temperature and solvent type (Fig. 12). Commercial microcrystalline cellulose is known to exhibit a flexible state, with a scalar factor of 0.85, when dissolved in a 1:1 (w/w) mixture of 1-butyl-3-methylimidazolium acetate and DMSO. Therefore, when a Mark–Houwink relationship is established between the intrinsic viscosity and molecular weight \((M_w)\) of cellulose dissolved in this solvent system, it provides a simple and swift method for in situ monitoring of molar mass. In the beginning, intrinsic viscosity–\(M_w\) relationship is calibrated using a GPC, whereas the subsequent high-throughput characterizations are carried out using a rheometer. A similar relationship has been established for cellulose solution made with 1:4 (v/v) tetrabutylammonium hydroxide and DMSO. In the future, this simple strategy can be further expanded to include whole lignocellulosic biomass as well as other IL-based solvent systems. Thus, combined with the previously described GPC method, the rheological means for estimating molecular weight provides a powerful tool for in situ, high-throughput quantification of changes imparted by ILs.

5. Conclusions and future perspective

To summarize, various in situ investigations have comprehensively described the morphological changes in plant cell wall as a result of interactions with ILs. There is consensus about typical changes observed during IL-treatments, such as bulk swelling, loss of cellulose crystallinity, unbundling and unraveling of cell wall layers and ultimate loss of structural integrity (Fig. 13). In situ investigations using NMR spectroscopy have elucidated the underlying chemical changes in lignin and hemicellulose that were responsible for their subsequent dissociation from the fiber bundles and depolymerization. Complementary XRD and AFM analyses have clearly shown how the upturn in cellulose fibril thickness, as a result of hydrogen bonding with ILs, induced increase in interplanar distances and led to subsequent delamination and depolymerization of cellulose microfibrils. These changes were responsible for the cracking and weakening of secondary and middle lamellar cell wall layers that enhanced IL penetration. However, changes in the ultrastructure of lignocellulose remain unclear in the subsequent stages. Although NMR studies have shown disruption in LCC (lignin-carbohydrate complexes), SANS studies provided contradictory evidence of intact network structure as a result of conservation of LCC linkages. Moreover, while AFM and SANS experiments recorded consistent changes in surface roughness during prolonged IL-treatments, whether these changes were caused by the dissolution of matrix polymers or of cellulose microfibrils is yet to be determined. These observations are further complicated by the fact that the response of lignocellulosic biomass will depend on the chemical composition and properties of the selected ILs, such as hydrogen bonding capacity, polarity, size of cations, and atom transfer mechanisms. Ancillary chemical quantification methods have clearly shown that, with some exceptions, all three lignocellulosic components are depolymerized and degraded during IL-based processing, albeit at different levels. Therefore, in order to clearly understand the physico-chemical changes undergone by lignocellulose
during the latter stages of IL-treatments, *in situ* characterizations have to be streamlined. The different characterization studies described in this review have to be constructively combined to obtain nano- and molecular-scale illustration of lignocellulosic components during IL-based processing. The streamlining strategy will be met with challenges, such as, lack of proper contrast between ILs and lignocellulose during particle scattering experiments, or of lowered resolution during *in situ* NMR and FTIR spectroscopies, which can occur as a result of strong intermolecular interactions between ILs and lignocellulose. Lack of information about critical physico-chemical properties, such as *in situ* molecular weight changes, is another hurdle. However, considering the wealth of information amassed using existing characterization experiments, combined with the broadening horizons of IL-based processing technologies, there are increasing incentives for expounding on the *in situ* state of lignocellulosic biomass.

**Conflicts of interest**

There are no conflicts to declare.

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