

*Rapid report*Increases in atmospheric CO₂ have little influence on transpiration of a temperate forest canopy

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Received: 22 August 2014

Accepted: 7 October 2014

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doi: 10.1111/nph.13148**Key words:** canopy stomatal conductance, elevated CO₂, free-air CO₂ enrichment (FACE), *Liquidambar styraciflua*, *Pinus taeda*, transpiration.**Summary**

- Models of forest energy, water and carbon cycles assume decreased stomatal conductance with elevated atmospheric CO₂ concentration ([CO₂]) based on leaf-scale measurements, a response not directly translatable to canopies. Where canopy–atmosphere are well-coupled, [CO₂]-induced structural changes, such as increasing leaf-area index (L_D), may cause, or compensate for, reduced mean canopy stomatal conductance (G_S), keeping transpiration (E_C) and, hence, runoff unaltered.
- We investigated G_S responses to increasing [CO₂] of conifer and broadleaved trees in a temperate forest subjected to 17-yr free-air CO₂ enrichment (FACE; + 200 $\mu\text{mol mol}^{-1}$). During the final phase of the experiment, we employed step changes of [CO₂] in four elevated-[CO₂] plots, separating *direct* response to changing [CO₂] in the leaf-internal air-space from *indirect* effects of slow changes via leaf hydraulic adjustments and canopy development.
- Short-term manipulations caused no *direct* response up to $1.8 \times$ ambient [CO₂], suggesting that the observed long-term 21% reduction of G_S was an *indirect* effect of decreased leaf hydraulic conductance and increased leaf shading. Thus, E_C was unaffected by [CO₂] because 19% higher canopy L_D nullified the effect of leaf hydraulic acclimation on G_S .
- We advocate long-term experiments of duration sufficient for slow responses to manifest, and modifying models predicting forest water, energy and carbon cycles accordingly.

Introduction

In most forests, exchanges of energy and mass with the atmosphere are determined by fluxes of CO₂ and water between leaves and the canopy air volume, regulated by stomata. Models of these processes incorporate functions describing stomatal closure with increasing atmospheric CO₂ concentration ([CO₂]) based on leaf-level measurements (Ball *et al.*, 1987; Sellers *et al.*, 1996; Douville *et al.*, 2000). Responses of forest canopies, however, may differ (Medlyn *et al.*, 2001; Wullschleger *et al.*, 2002; Ainsworth & Rogers, 2007), provoking debate regarding the consequences of elevated [CO₂]-induced stomatal responses to ecosystem water use and supply to downstream users (Milly *et al.*, 2005; Gedney *et al.*, 2006; Betts *et al.*, 2007; De Boer *et al.*, 2011; Keenan *et al.*, 2013).

Because leaves in most temperate forests are well-coupled to the atmosphere (Jarvis & McNaughton, 1986; Pataki *et al.*, 1998; Ewers & Oren, 2000; Schäfer *et al.*, 2002), reductions in stomatal conductance should scale to canopy fluxes. However, in water limited ecosystems, canopy leaf area (L_D) is predicted to increase with decreasing stomatal conductance, thus conserving canopy conductance and transpiration (Woodward, 1990). Even in moist ecosystems, elevated [CO₂] may increase carbohydrate availability, potentially enlarging L_D (Palmroth *et al.*, 2006), and consequently lowering canopy conductance per unit leaf area (G_S) via light or hydraulic limitation (Schäfer *et al.*, 2002; Ward *et al.*, 2013), also leading to conserved transpiration. Where direct stomatal closure in response to elevated [CO₂] is not countered by increased L_D , and atmospheric demand for and soil supply of water remain

unchanged, canopy transpiration should decrease. For example, no increase of L_D is likely if soil nitrogen is limiting (Ågren, 1983) or leaf loss is accelerated by heat damage (Warren *et al.*, 2011).

Where elevated $[\text{CO}_2]$ does not elicit a *direct* stomatal response through CO_2 concentration in the leaf-internal air-space, it may do so *indirectly* by changing growth partitioning among leaves, stems, and roots, and by affecting the efficiency of water transport (Domec *et al.*, 2009; Brodrigg & Feild, 2010; Ward *et al.*, 2013), or by increasing self-shading of foliage with enhanced L_D (McCarthy *et al.*, 2007). Some global-scale models predict L_D enhancement under high $[\text{CO}_2]$ (Douvillat *et al.*, 2000; Betts *et al.*, 2007), but none to date include an acclimation of the hydraulic system. Tree hydraulic properties often require an extended period for complete acclimation to stepwise $[\text{CO}_2]$ changes (Domec *et al.*, 2009, 2010), which may not necessarily be accompanied by increased L_D .

To properly account for and model the effect of increasing $[\text{CO}_2]$ on biosphere–atmosphere exchanges, it is necessary to identify all of the primary processes involved and quantify their effect on stomatal conductance of forest canopy that is fully acclimated to increased $[\text{CO}_2]$. Yet, free-air CO_2 enrichment (FACE) studies on intact, hydraulically acclimated canopies are rare, and have not previously been used to separate variables responsible for *direct vs indirect* stomatal responses to elevated $[\text{CO}_2]$. The underlying mechanisms for observed high $[\text{CO}_2]$ effect on stomatal conductance and, in turn, their effect on tree crown and stand canopy conductance may have been misidentified, leading to incorrect specification in some models.

We used the Duke University FACE experiment in a temperate forest dominated by *Pinus taeda* and *Liquidambar styraciflua*, to evaluate the elevated $[\text{CO}_2]$ effects on variables underlying whole-canopy responses of transpiration (i.e. G_S , L_D , and leaf hydraulic conductance). We used a 17-yr long annually integrated dataset to quantify coarse-scale response, and performed a short-term elevated- $[\text{CO}_2]$ manipulation during the final phase of the experiment to distinguish the *direct* from *indirect* effects on G_S , providing insight for modeling of future water, energy and carbon cycles in forest ecosystems.

Materials and Methods

Settings

The Duke FACE experiment was in Duke Forest, North Carolina, USA (35°52'N, 79°59'W; 130 m above sea level (a.s.l.)). The forest was 27-yr-old and 25 m tall in 2010. The soil was an acidic, low fertility clay Hapludalf classified in the Enon Series. Mean annual temperature and precipitation were 15.5°C and 1165 mm respectively (Phillips & Oren, 2001). The forest was dominated by *Pinus taeda* L. and *Liquidambar styraciflua* L. with other 50 broadleaved deciduous species in the subcanopy (Schäfer *et al.*, 2002). Under ambient $[\text{CO}_2]$, mean annual L_D was 5.4 ± 0.26 (standard error, SE), composed of $55 \pm 1\%$ *P. taeda*, and $17 \pm 2\%$ *L. styraciflua*, which was assumed representative of the other broadleaved trees. The long-term elevated- $[\text{CO}_2]$ treatment was +200 above ambient (*c.* $385 \mu\text{mol mol}^{-1}$ at the end of the study) since 1994 ($n = 1$) and 1996 ($n = 4$), using FACE technology on

30-m diameter plots (Hendrey *et al.*, 1999) with untreated plots as reference (Oren *et al.*, 2001; Ward *et al.*, 2013).

Long- and short-term $[\text{CO}_2]$ manipulation experiments

In 2010, we separated *direct vs indirect* mechanisms of elevated- $[\text{CO}_2]$ effect on G_S by observing responses to stepwise $[\text{CO}_2]$ changes in the canopy air of the four elevated- $[\text{CO}_2]$ plots, using the four ambient- $[\text{CO}_2]$ plots as reference. Stomatal response to rising $[\text{CO}_2]$ may depend on soil water availability (Schäfer *et al.*, 2002; Domec *et al.*, 2009); we therefore performed the study over a soil dehydration–rehydration cycle (Fig. 1a). Five $[\text{CO}_2]$ (ambient, +100, +150, +200 and +300 above ambient) were administered in eight 5-d periods (Fig. 1b). We avoided potential confounding effects of $[\text{CO}_2]$ and soil moisture by allocating one of the four elevated- $[\text{CO}_2]$ plots to one of the five $[\text{CO}_2]$ treatments during each 5-d period. The four ambient- $[\text{CO}_2]$ plots permitted accounting for potential effects of the large variation in soil moisture (expressed as relative extractable water, REW; Pataki & Oren, 2003) on G_S sensitivity to $[\text{CO}_2]$. We partitioned the data

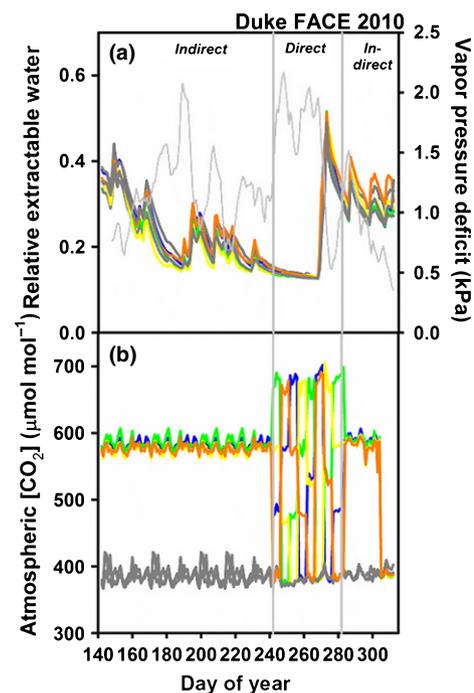


Fig. 1 Site conditions. (a) Soil moisture, expressed as relative extractable water (REW) in each of the eight plots (represented by different colors) and vapor pressure deficit (light gray) during the study period. (b) Atmospheric $[\text{CO}_2]$ in each plot during the study period. Ambient $[\text{CO}_2]$ in four reference plots are shown in gray, while that of the four elevated- $[\text{CO}_2]$ plots are in color. During *Indirect*, elevated- $[\text{CO}_2]$ plots were all subjected to $+200 \mu\text{mol mol}^{-1}$. During *Direct*, $[\text{CO}_2]$ in each plot was varied independently for a 5-d period to avoid confounding effects with REW. For example, in an elevated- $[\text{CO}_2]$ plot (orange line), $[\text{CO}_2]$ was decreased to ambient level during the first period, ramped up to $+300 \mu\text{mol mol}^{-1}$ above ambient in the second period, reduced to $+200$, $+100 \mu\text{mol mol}^{-1}$, and to ambient level once again, followed by periods of $+300 \mu\text{mol mol}^{-1}$, $+150 \mu\text{mol mol}^{-1}$ and finally to the ambient level in the last 5-d period of *Direct* phase, before returning to the long-term enhancement of $+200 \mu\text{mol mol}^{-1}$.

into two periods in 2010 (Fig. 1): *Direct* (late August to early October, Day of Year (DOY) 242–282) during which the canopy-scale stepwise [CO₂] changes were implemented, and *Indirect* (May to August, DOY 142–241 and late October, DOY 283–304) in which enrichment followed the long-term experimental protocol (+200; Oren *et al.*, 2001). The 17-yr long annual values were obtained as described later.

Measurements and calculations of variables

Growing season averaged leaf area index (L_D) and canopy-integrated stomatal conductance per unit leaf area (G_S) through 2008 were synthesized from published data (Phillips & Oren, 2001; McCarthy *et al.*, 2007; Ward *et al.*, 2013), and used to calculate canopy transpiration (E_C) (see Supporting Information Methods S1). We generated similar values from unpublished data for 2009 and 2010.

In 2010, the half-hourly mean sap flux density (J_S ; in $\text{g m}^{-2} \text{sapwood}^{-1} \text{s}^{-1}$), measured at multiple sapwood depths using thermal dissipation probes (Granier, 1985) in 91 *Pinus taeda* and 45 *Liquidambar styraciflua* individuals distributed among the eight plots, was used for the daily analyses. We employed a scaling method utilizing random effects generated from an empirical dynamic model based on a hierarchical Bayesian statistical approach (Ward *et al.*, 2013; Supporting Information Methods S1) to scale the point-measured J_S to sapwood-averaged values (\bar{J}_S). Daily values were used to avoid issues related to tree water storage and measurement errors (Phillips & Oren, 1998). The daily E_C was computed from daily sum \bar{J}_S and total sapwood area per unit ground area (Oren *et al.*, 1998). The G_S of a canopy well-coupled to the atmosphere, as in this study, can be computed using the simplified Penman–Monteith equation (Methods S1). In a similar *P. taeda* forest, no vertical gradient of vapor pressure deficit was observed within the canopy, and thus leaf-level stomatal conductance scaled with vertical leaf area distribution was correlated without bias to sap-flux based mean canopy stomatal conductance (Ewers & Oren, 2000). We further verified the close leaf-atmosphere coupling by testing and finding no sensitivity of J_S to wind speed in all study plots (following Kim *et al.*, 2014; $P = 0.66$, $R^2 = 0.003$), consistent with previous findings (Domec *et al.*, 2009).

Leaf hydraulic conductance (K_{leaf} ; in $\text{mmol m}^{-2} \text{MPa}^{-1} \text{s}^{-1}$) was measured on single leaves/fascicles using the timed rehydration method (Brodribb & Holbrook, 2003). We selected an upper and mid-canopy branch from two representative *Pinus taeda* trees in each plot (16 branches per treatment). For *Liquidambar styraciflua*, sampling was based on the distribution of trees in plots with two branches from each of the six trees selected from each [CO₂] treatment (12 branches per treatment). Branches, 30–50 cm long, were collected in early morning, sealed in plastic bags, transported to the laboratory, recut under water and allowed to rehydrate for 4 h. Pressure-volume analyses (Tyree & Hammel, 1972) were conducted on leaves/fascicles taken on the same branches used to determine K_{leaf} (Supporting Information Methods S1).

The influence of canopy leaf area on G_S was inferred from shading effects in the canopy. We employed a one-dimensional,

multi-species, multilayer radiative transfer model (Schäfer *et al.*, 2003; Kim *et al.*, 2011) to calculate the average light on leaf surfaces (Q) of each dominant species and for the entire forest. We characterized canopy structure using vertical distributions of shoot clumping, leaf angle distribution, leaf area density and wood surface density of branch and stem based on field measurements (Kim *et al.*, 2011). We partitioned the canopy into 1-m layers, and calculated mean light on leaf surfaces for each canopy layer and for the whole canopy (Supporting Information Methods S1).

Tests for significant elevated-[CO₂] effects

In all analyses, we used data from ambient and elevated [CO₂] plots (each, $n = 4$) during DOY 142–304 in 2010. For the long-term treatment data (*Indirect*; DOY 142–241 & 283–304), we employed regression analysis to analyze E_C response to day-length-normalized vapor pressure deficit ($D_Z = D_D \times (n_d/24)$) where D_D = daytime mean vapor pressure deficit, n_d = number of daylight hours; Phillips & Oren, 2001) and to evaluate the response of G_S , expressed as a ratio of elevated-relative to ambient-[CO₂] values vs [CO₂]-enhancement ratio. We performed F -tests for the elevated [CO₂] effect on the E_C vs D_Z response during *Indirect*. We applied Student's t -tests on the ratios of G_S , K_{leaf} and Q to test for [CO₂] effects on these variables. Retaining information in the temporal variation, we performed a Randomized Intervention Analysis (Carpenter *et al.*, 1989) on G_S to detect nonrandom change due to treatment effect in the series of observation made before and after treatment application (Supporting Information Methods S1). All computations and analyses were conducted in MATLAB 7.6.0 R2008a (The MathWorks Inc., Natick, MA, USA, 2008).

Results and Discussion

Reviews assessing the response of tree species to elevated-[CO₂], mostly based on seedlings, suggest that G_S would decrease and canopy leaf area would increase (Eamus & Jarvis, 1989; Mousseau & Saugier, 1992; Medlyn *et al.*, 2001; Ainsworth & Long, 2005). These responses were observed at the mixed *Pinus taeda*-*Liquidambar styraciflua* FACE experiment during the early establishment period (Fig. 2a–c). The long dataset produced two clear conclusions: (1) the increased L_D and decreased G_S of *P. taeda* resulted in E_C unaffected by [CO₂] (Fig. 2a). Because *P. taeda* dominated the stand, these responses represent the entire canopy (Fig. 2c). (2) By contrast, the increased L_D of *L. styraciflua* did not compensate for the larger decrease of its G_S , resulting in $c. 20\%$ lower E_C under high [CO₂] (Fig. 2b). This is consistent with syntheses showing that stomatal conductance of broadleaved species is more sensitive to elevated [CO₂] than that of conifers (Medlyn *et al.*, 2001).

Earlier in the experiment, it was uncertain whether the increased L_D was simply due to accelerated ontogeny or treatment effect (Gunderson & Wullschleger, 1994); now that L_D has stabilized in both treatments, it is clear that L_D enhancement was induced and maintained by increased [CO₂]. However, it has not been possible to determine whether the G_S and L_D trends reflect independent and

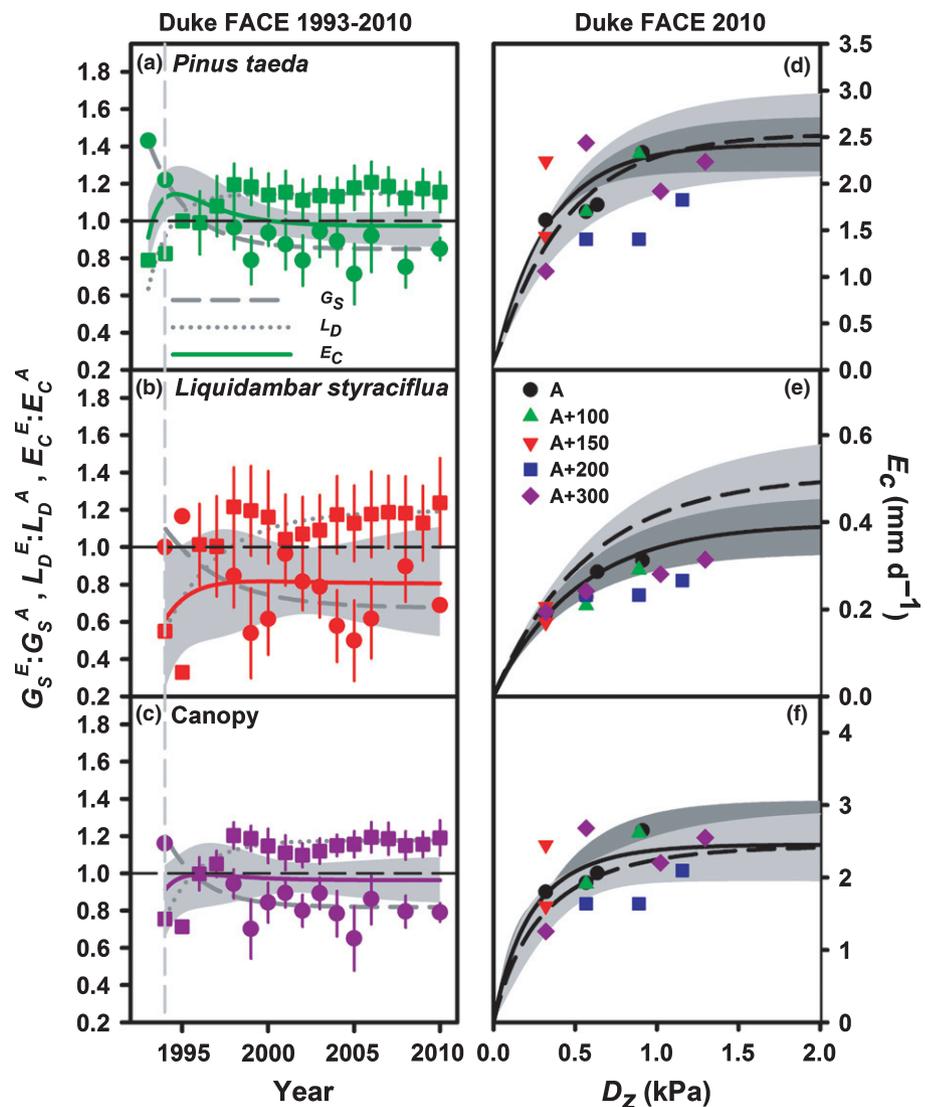


Fig. 2 Elevated- $[\text{CO}_2]$ effect on canopy transpiration at Duke free-air CO_2 enrichment (FACE). (a–c) Mean canopy stomatal conductance (G_S ; circles), mean growing season leaf area index (L_D ; squares) and canopy transpiration ($E_C = G_S \times L_D$; colored solid lines) expressed as ratios of values in elevated-to-ambient $[\text{CO}_2]$ from 1993 to 2010 for each dominant species and the entire canopy. Shaded regions represent one standard error (SE) of E_C (Vertical dashed line represents the beginning of elevated- $[\text{CO}_2]$ treatment in 1994). (d–f) Comparison of E_C response to D_z (daylength-normalized daytime vapor pressure deficit; see text) during the long-term elevated $[\text{CO}_2]$ treatment (i.e. $+200 \mu\text{mol mol}^{-1}$ during *Indirect* in 2010) under ambient- (dashed curves) and elevated- $[\text{CO}_2]$ (solid curves). Shaded regions are 95% confidence intervals associated with each curve (e.g. light gray region corresponds to the fit for ambient- $[\text{CO}_2]$ data). Symbols represent the 5-d average data from elevated- $[\text{CO}_2]$ plot(s) during the short-term $[\text{CO}_2]$ manipulation (*Direct* phase).

direct responses to $[\text{CO}_2]$, or represent a feedback whereby one variable directly responded to high $[\text{CO}_2]$, and the other followed indirectly. Because the experiment is in a fairly moist environment, the occasional drought notwithstanding, a feedback of L_D to direct G_S decrease is not likely; a more likely feedback scenario is L_D increasing as a direct response to increasing $[\text{CO}_2]$ and causing a decrease of G_S (due to more mutual shading in the canopy and reduced hydraulic conductance).

We used the latter part of the last $[\text{CO}_2]$ enrichment year (termed *Indirect* phase in 2010), focusing on a finer, daily scale, to assess the long-term E_C responses, and to set a baseline for examining the response to stepwise $[\text{CO}_2]$ changes (termed *Direct* phase; Fig. 1). During *Indirect*, the analysis focused on E_C response to D_z under nonlimiting light and water conditions, setting a clear baseline for evaluating *Direct* data. The long-term elevated $[\text{CO}_2]$ did not affect E_C of *Pinus taeda* and the canopy ($P \geq 0.53$) but reduced that of *Liquidambar styraciflua* ($P < 0.001$; see fit lines Fig. 2d–f and Supporting Information Table S1 for statistics). We compared E_C data under varying soil moisture conditions during *Direct* to the baseline by plotting time-averaged values of each treatment in each

elevated- $[\text{CO}_2]$ plot (Fig. 2d–f; symbols). Most (90%) of these values lay within the 99% confidence bounds. Moreover, the E_C values from the *Direct* phase showed no systematic pattern in response to increasing $[\text{CO}_2]$ relative to the long-term fit, with data below the fit representing the *Direct* drought period, and above the fit representing the period immediately following drought-breaking rains. Therefore, we observed no $[\text{CO}_2]$ effect on E_C in *Direct* phase. To identify plausible causes for the E_C responses observed over the long term (Fig. 2a–c), we focused further analyses on G_S .

The underlying causes of G_S reduction under rising $[\text{CO}_2]$ have been elusive (Ward *et al.*, 2013). The plausible causes are not necessarily distinct; for example, hydraulic architecture may acclimate to a long-term decrease in leaf-level stomatal conductance, which may be driven by the increased mutual shading accompanying higher L_D (Whitehead *et al.*, 1984; Tyree & Ewers, 1991; McDowell *et al.*, 2002; Mencuccini, 2002, 2003; Buckley & Robert, 2005). To help assess the potential contribution to the E_C response of increased L_D and changes of hydraulic conductivity, we reanalyzed the data in Fig. 2(d–f) in terms of G_S , assessing the long-term *Indirect* response of G_S to $[\text{CO}_2]$ -induced changes of L_D and

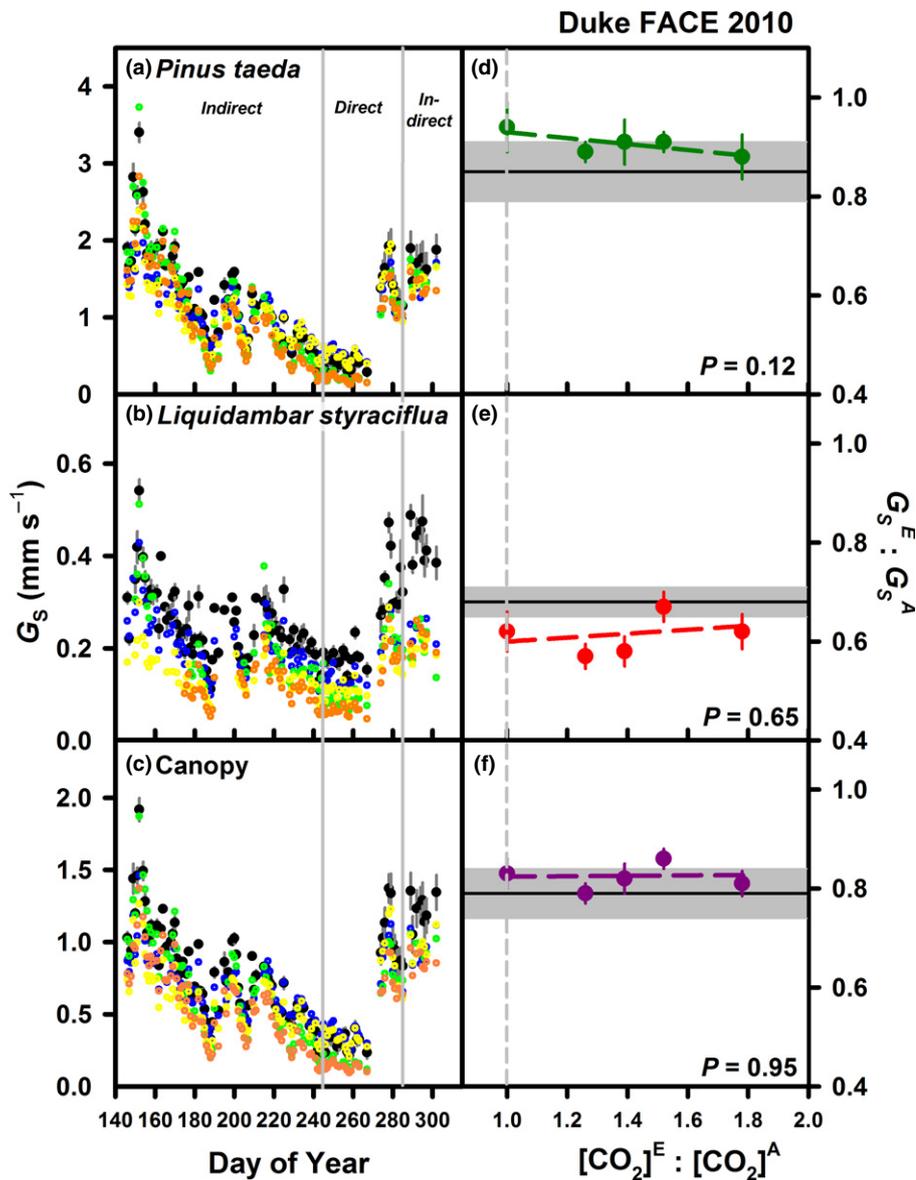


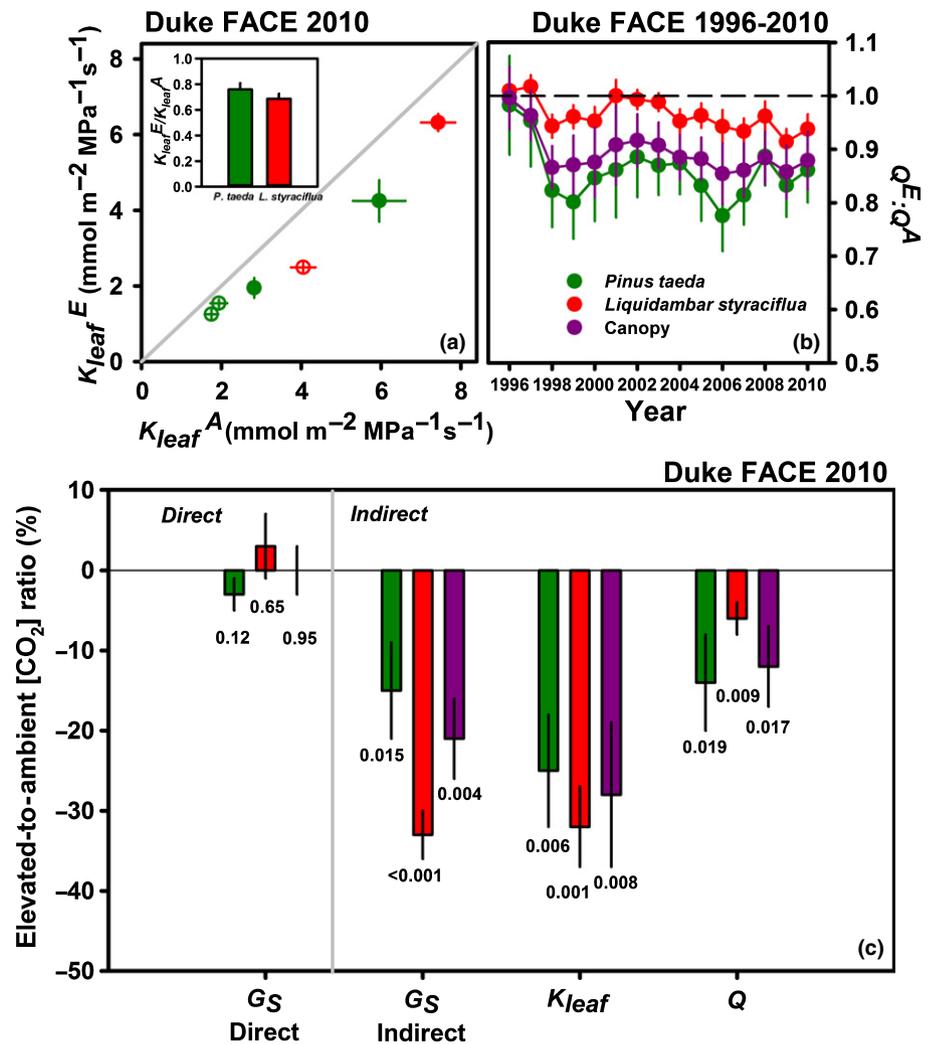
Fig. 3 Mean canopy stomatal conductance response to elevated $[\text{CO}_2]$. (a–c) Time series of daily means of mean canopy stomatal conductance (G_s) over the study period, including *Direct* and *Indirect* phases. Data for reference plots were averaged ($n = 4$, black circles with one SE); data for each elevated- $[\text{CO}_2]$ plot are shown in different colors. (d–f) During *Direct*, the apparent decrease of G_s under elevated- $[\text{CO}_2]$ relative to ambient- $[\text{CO}_2]$ ($G_s^E : G_s^A$) with increasing $[\text{CO}_2]$ was small, $< 1\%$ per 10% increase of $[\text{CO}_2]^E : [\text{CO}_2]^A$, and insignificant in either major species or the entire canopy, indicating no direct response. The P values are statistical results for t -tests on the regression slopes. The reduction of G_s observed during *Indirect* is depicted as a constant over the entire range of enhancement employed during the $[\text{CO}_2]$ -response of *Direct* (horizontal solid lines, with one SE shown as a gray range). Because no direct response was detected in *Direct*, the reductions observed in *Indirect* are due to other mechanisms.

K_{leaf} . During both *Indirect* and *Direct* phases, G_s was highly variable, reflecting mostly soil drying–rewetting cycles and associated atmospheric conditions (Fig. 3a–c). *Pinus taeda* was more sensitive to drought than *Liquidambar styraciflua* (Schäfer *et al.*, 2002). The background conditions of $[\text{CO}_2]$ enrichment ($+ 200 \mu\text{mol mol}^{-1}$ above ambient) reduced the elevated-to-ambient $[\text{CO}_2]$ $G_s^E : G_s^A$ (*Indirect* phase) to 0.85, 0.67 and 0.79 of ambient $[\text{CO}_2]$ for *P. taeda*, *L. styraciflua*, and the entire canopy (Fig. 3d–f; horizontal solid lines), similar to results from an analysis of an 11-yr long dataset (0.87 and 0.69 for the two species respectively; Ward *et al.*, 2013). We then related $G_s^E : G_s^A$ of *Direct* to the enhancement ratio of $[\text{CO}_2]$ ($[\text{CO}_2]^E : [\text{CO}_2]^A$). As $[\text{CO}_2]^E : [\text{CO}_2]^A$ increased, $G_s^E : G_s^A$ of neither species, nor that of the canopy changed significantly (Fig. 3d–f; least-square regression on plot-scale data). The apparent slight trend seen for *P. taeda* represented only a 1% decrease for a 10% increase of $[\text{CO}_2]$, with *L. styraciflua* showing a slight, opposite trend. These weak

responses made the G_s of the canopy insensitive to short-term changes in $[\text{CO}_2]$. Testing (one-sample t -test) whether $G_s^E : G_s^A$ at each CO_2 level differed from the mean of *Indirect* data showed that there was a tendency for difference only under two $[\text{CO}_2]$ levels for *L. styraciflua* and one level for the canopy (Supporting Information Tables S2, S3). Testing individual plots in each enrichment period based on Randomized Intervention Analysis showed that none displayed a $G_s^E : G_s^A$ different from the *Indirect* values (Supporting Information Fig. S1; tested against $\alpha = 0.05$). Thus, neither E_c nor G_s show evidence of direct response to increasing $[\text{CO}_2]$. We note that the finding of no G_s response can be accommodated within the Ball–Berry framework (Ball *et al.*, 1987) considering, for example, that net photosynthesis can increase proportionally with $[\text{CO}_2]$.

Because G_s reduction under high $[\text{CO}_2]$ cannot be attributed to *direct* stomatal response, we assessed changes in hydraulic conductance (Domec *et al.*, 2010) and increased mutual shading (McCarthy *et al.*, 2007) as potential causes of the observed decline of G_s .

Fig. 4 The elevated- $[\text{CO}_2]$ effects for $+200 \mu\text{mol mol}^{-1}$ enrichment. (a) Mean leaf hydraulic conductance (K_{leaf} , in $\text{mmol s}^{-1} \text{MPa}^{-1} \text{m}^{-2}$, with one SE) of the two dominant species measured at low (open circles) and high (filled circles) relative extractable water (REW). Elevated-to-ambient $[\text{CO}_2]$ ratios of the mean annual K_{leaf} scaled from the two measurements in 2010 are presented in the inset. Repeated-measures analysis of variance (ANOVA) yielded significant reductions with $P=0.029$ and $P<0.001$ in *Pinus taeda* and *Liquidambar styraciflua* respectively. (b) Responses of light intensity on leaf surfaces to high $[\text{CO}_2]$ through the increased- $[\text{CO}_2]$ -induced effect on L_D during the active free-air CO_2 enrichment (FACE) years ($n=4$ plots). Error bars represent one SE. The general decreasing trend of elevated-to-ambient $[\text{CO}_2]$ ratio of average light on leaf surfaces ($Q^E:Q^A$) results from increasing mutual shading following the L_D enhancement (Fig. 2a–c; squares). (c) The mean elevated- $[\text{CO}_2]$ impacts on stomatal conductance (with one SE) under stepwise $[\text{CO}_2]$ exposure (*Direct*, were calculated from the slopes in Fig. 3d–f; $G_{S,\text{direct}}$) and long-term elevated- $[\text{CO}_2]$ exposure (*Indirect*, $G_{S,\text{indirect}}$). The results show nonsignificant direct and significant indirect G_S responses to high $[\text{CO}_2]$. Decreased leaf hydraulic conductance (K_{leaf}) through hydraulic acclimation, and reduced average light intensity on leaf surfaces (Q) through mutual shading caused by increased L_D contributed to the reduction of $G_{S,\text{indirect}}$. Numbers under bars are P values from Student's t -tests ($\alpha=0.05$). Color notations are the same as (b).



Elevated- $[\text{CO}_2]$ induced reduction of K_{leaf} of both dominant species regardless of soil moisture (Fig. 4a) or leaf water status (Supporting Information Fig. S2), averaging $25 \pm 7\%$ and $32 \pm 5\%$ for *Pinus taeda* and *Liquidambar styraciflua* respectively. These reductions are large enough to explain the observed long-term $G_{S^E}:G_{S^A}$ (Fig. 3d–f; horizontal solid lines) and reflect an *indirect* effect, because K_{leaf} represents the structural capacity of the leaf transport system to deliver water (Sack & Holbrook, 2006; Brodrribb, 2009).

During *Indirect*, L_D averaged $19 \pm 9\%$ enhancement in the canopy, somewhat lower during severe drought years and years of acute weather events (Fig. 2a–c; squares; McCarthy *et al.*, 2007). Elevated- $[\text{CO}_2]$ -induced L_D enhancement of *Liquidambar styraciflua* ($24 \pm 15\%$) was more pronounced than that of *Pinus taeda* ($17 \pm 8\%$). The enhanced L_D resulted in $14 \pm 6\%$ decrease of Q of the upper canopy *P. taeda*, and $6 \pm 2\%$ decrease for mid-canopy *L. styraciflua* (Fig. 4b), less than the effect of the hydraulic acclimation (Fig. 4c). However, hydraulic conductivity is not independent of light intensity, that is, lower light levels can reduce hydraulic conductivity (Sellin & Kupper, 2005) making the effect of higher L_D under elevated $[\text{CO}_2]$ nonadditive with the reduction in hydraulic efficiency.

Taken together, these analyses suggest little, if any, *direct* $[\text{CO}_2]$ effect on G_S for either species, and appreciable *indirect* $[\text{CO}_2]$ -induced G_S reduction in *Pinus taeda* and *Liquidambar styraciflua*, with the canopy response falling close to that of *P. taeda* (Fig. 4c) due to its dominance of stand E_C (Fig. 2). Assuming all hardwoods responded similarly to *L. styraciflua*, the combined effects of $[\text{CO}_2]$ on G_S and L_D produced no reduction of total canopy E_C during *Indirect* of $+200 \mu\text{mol mol}^{-1}$ $[\text{CO}_2]$ ($-4 \pm 12\%$, $P=0.58$) as $19 \pm 9\%$ increase of L_D compensated for reduced G_S . Considering that higher L_D would also increase canopy rainfall interception, we conclude that water yield and export to rivers draining such ecosystems will not increase. There are conflicting predictions on the effects of reduced transpiration resulting from elevated- $[\text{CO}_2]$ -induced stomatal closure, ranging from increased stream flow observed during the past two decades (Gedney *et al.*, 2006) and projected from results of general circulation models (Milly *et al.*, 2005; Betts *et al.*, 2007; Cao *et al.*, 2010), to intensified droughts resulting from decreases in cloud formation and precipitation (Katul *et al.*, 2012). Unless our results are atypical for forested ecosystems, such projections must consider increases of L_D as well as decreases in G_S with increasing $[\text{CO}_2]$.

Whether stomatal responses to high $[\text{CO}_2]$ are *direct* or *indirect* is important not only for proper modeling of ecosystem response to elevated $[\text{CO}_2]$, but also when considering investments in expensive forest FACE experiments, several of which have recently come online or are in planning. Such experiments require an initial phase during which an existing stand must acclimate to elevated $[\text{CO}_2]$ or a newly established stand matures to a quasi-steady state. Information collected during this initial phase is of limited use for modeling the responses of forests to increasing $[\text{CO}_2]$. Effects of high $[\text{CO}_2]$ on G_S may take longer to manifest in established coniferous forest than in broadleaved forest (Li *et al.*, 2003; Wang *et al.*, 2005), possibly because many conifers retain foliage for many years. For species retaining hydroactive xylem in stems and needles for several years, especially when growing slowly in cold or dry climates, or poor soil, many years will be needed to transition to tissues whose function represent the new $[\text{CO}_2]$ regime. We hope that the results of this study will serve as a model for future experiments, so that elevated- $[\text{CO}_2]$ acclimation will enlarge our understanding and ability to predict ecosystem responses.

Acknowledgements

The authors thank Joe Landsberg, Johan Uddling and Richard Waring who suggested improvements to an earlier version of the manuscript. Operated in cooperation with Brookhaven National Laboratory (K. Lewin and J. Nagy), the Duke Forest FACE project is supported by the Office of Science (BER) Terrestrial Ecosystem Sciences (TES) Program of US Department of Energy (DOE), which also partially sponsored R.O. through DE-SC0006967. Partial support for P.T. was from the Royal Thai Government, and for P.T., R.O. and S.P. from the Swedish programs Trees and Crops for the Future (TC4F; SLU) and Nitrogen and Carbon in Forests (NicaF; the research council Formas). R.O. was also sponsored by the US Department of Agriculture through the Agriculture and Food Research Initiative (2011-67003-30222), and S.P. by DOE-BER, TES (DE-SC-0006700-11-ER65189). J.-C.D. acknowledges support from the French Research Agency (ANR-project MACACC).

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Supporting Information

Additional supporting information may be found in the online version of this article.

Fig. S1 Results from Randomized Intervention Analysis (RIA) to test for *direct* effects of elevated-[CO₂].

Fig. S2 Elevated-to-ambient [CO₂] ratios of K_{leaf} .

Table S1 Regression statistics of *Indirect* E_C response to D_Z in Fig. 2(d–f)

Table S2 The *t*-test result for comparison of *direct* vs *indirect* effects of elevated-[CO₂]

Table S3 Plot-level results from the Randomized Intervention Analysis (RIA)

Methods S1 Additional Materials and Methods.

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