SRS Authors Guide

Latest revision: November 2009

This guide for authors, and others preparing manuscripts for publication by SRS, is supplied by:

Science Delivery Group (SDG)
Southern Research Station (SRS)
U.S. Forest Service
Asheville, NC

The Authors Guide is available at the SRS internet site: http://www.srs.fs.usda.gov/working/author/. All updates will be posted at this site. The current Manuscript Approval Sheet also is available at the above site. Be sure to use the most recent version.

New in this edition:
A section on “Policy” has been added. Revisions have been made to the “Manuscript Preparation” section, the “Tables, Tabulations, and Lists” section, and the “Graphics” section. The “Parts of the Manuscript” and “Writing Aids” sections have been updated and condensed.

Update made in August 2014 include:
- Publication Distribution Form is now required with manuscript submission package.
- Resource Update (RU) is a new publication series for FIA State factsheets.
- Authors Checklist has been updated.

Disclaimer

The procedures and guidelines included in the Southern Research Station Authors Guide are based on rules and regulations prescribed by the U.S. Forest Service, U.S. Department of Agriculture, Government Printing Office, and Congress. We welcome suggestions for clarification, additions, or other improvements.
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Policy

Manuscript Submission to Project Leader
- Manuscripts must be complete before being submitted, and they must be accompanied by a completed Manuscript Approval Sheet (available at http://www.srs.fs.usda.gov/working/author/).
- If the manuscript is for Station publication, one copy of the manuscript and the comment reconciliation letter is sent to the SRS Project Leader (PL) who will forward it to the Southern Research Station (SRS) Science Delivery Group (SDG).

- If the manuscript is for an outside outlet, editing may be bypassed at the discretion of the PL. However, we strongly recommend that you send SDG a signed manuscript approval form before the manuscript is sent to the outlet. This step helps the Station track your publication record in a timely manner (e.g., through the attainment record) and give it the recognition it deserves (e.g., through a current issue of Compass).
- If authors represent different SRS programs, the manuscript goes to the senior author’s PL.
- See “Checklist for Assembling the Manuscript” for materials to submit. Note: materials should include permission letters and a brief color justification as needed.

Authorship
- SRS follows the guidelines for ethical authorship as set forth in the FS Research & Development Code of Scientific Ethics, articles 7 and 8. Ethical authorship requires substantial involvement of the scientist in the research process. Authorship of manuscripts implies that the author has made major intellectual contributions to some or all parts of the study, including its conception, design, data collection, data analysis, or conclusions, and made significant contributions to its preparation. An author must take public responsibility for the content of the paper, which includes discussing why and how the research was done and how the conclusions were reached, and be able to defend criticisms.
- Administrators, supervisors, and those contributing technical assistance can be included as authors if they meet the criteria for being authors.
- Authorship should not be claimed if the scientist provides only instructions, laboratory space or supplies, or financial support. Seniority, grade, and similar distinctions are not criteria for determining authorship.
- Authorship should be decided on as early in the process as possible.
- When more than one author is involved, all should clearly understand what each is responsible for, what parts of the final report each is to write, and where the names will fall in the order of authorship. Multiple authorship needs to be considered carefully so the above criteria are fully met by all.
- Financial support and technical assistance are credited in the acknowledgments.

Reviews
Both Station-published manuscripts and journal submissions by SRS authors or cooperators are subject to a number of review and approval procedures.

Technical Review
Station Publications—All manuscripts, regardless of author’s affiliation, to be published as SRS Station publications are subject to the following review and approval process.
- All Station manuscripts must receive technical review prior to approval by a PL.
- Written review comments must be obtained from at least two peers competent in the subject matter who are outside the author’s immediate team, with at least one outside the Station. In some cases, the PL or executive team members may require blind peer review.
- Authors are responsible for keeping comments from reviewers and the author's reconciliation letter on file. Files must be passed on to the appropriate team leader when an author leaves the Station.
- The reviewers are determined by the author with concurrence by the supervisor unless blind peer review is needed; in that case, the supervisor provides concurrence on the process for obtaining blind peer review.
Assessment of comments from reviewers and an author reconciliation letter are required by the PL prior to approval. A copy of the reconciliation letter will be submitted with the manuscript and manuscript approval form to SDG. They will be kept in a permanent file.

Record the reviewers’ names and addresses (or indicate blind review) on the manuscript approval form.

Non-Station Publications—The technical review process may be waived by SRS for non-Station publications when the PL determines that adequate review will be provided through the publishing outlet. A PL may require assessment of comments of the outlet-provided reviewers and the author reconciliation letter prior to resubmission to an external outlet.

Statistical Review
- A statistical review must be done for any manuscript reporting on results of quantitative research, unless waived by the PL. Record the statistician’s name and address on the manuscript approval form.
- Reconciliation of statistical review comments is to be retained on file and included in the reconciliation letter required by program managers for manuscript approval.

Policy Review
The manuscript is reviewed for adherence to Station and Agency policy prior to submission to SDG or an external outlet. Policy review includes examining text for appropriate reference to management activities. By asserting one type of management action over another, an author begins to engage in the decision process itself. Consequences of this include the questioning of scientific credibility of the Station and Forest Service Research. The policy reviewer must use judgment in determining if the reference to management is appropriate or not. Certainly, scientific information may have important management implications, and these should be described. Publications can provide decision tools to help managers make decisions based on scientific information. Management considerations and potential outcomes of various decisions based on scientific knowledge also are very appropriate.

Project Leader Approval of Manuscript
- The PL approves the adequacy of technical content, technical review, statistical review, and consistency with Station and Agency policy prior to submission to SDG or an external outlet.
- When a PL or nonprogram Station employee (such as someone in the Director’s office) is an author, a member of the executive team will provide the above review and approval to submit for publishing.
- If the manuscript is approved, the SRS Project Leader forwards it to SDG.

Publication Preparation Policy
- If the manuscript is for an outside outlet, editing may be bypassed at the discretion of the PL.
- For Station publication, a manuscript submission to SDG must include a signed manuscript approval form.
- For outside publication, submitting a manuscript approval form is not required but is strongly encouraged for the author’s benefit and for SRS recordkeeping of its scientists accomplishments.
- All manuscripts to be Station publications are prepared for final publication by SDG.
- Manuscripts must be complete and in final form when they are received by SDG. Incomplete manuscripts will be returned to the authors.
- Manuscripts move through SDG in the order in which they are received, except with approval of the SDG Assistant Director.
- The SDG will cancel a manuscript file if an author holds any materials during review for more than 6 months.
- Authors review the paper twice after submitting it through the PL to SDG:
  1. After the edit, the editor returns the paper to the author for corrections and submission of corrected hardcopy and electronic version.
  2. When the layout is complete, the author sees a .pdf of the page proof. A note is included with instructions.
Printer’s proofs are reviewed in SDG and not by the author unless an exception is requested in writing and only if there is some compelling reason.

Station Publication Distribution

- All Station publications are placed on the Internet when final proofs are sent to the printer.
- Sufficient hard copies are produced for 2 years of distribution (10 years for inventory publications). This differs with each publication, and is determined by the Technical Publications Team Leader, and, as appropriate, in conjunction with the author or Project Leader.
- Some publications are now made available online only.

Errata

Author or Project Leader should bring errors in published material to the attention of the Production Editor as soon as possible, ideally within a short time after publication. The Production Editor will determine the appropriate action, based on egregiousness of the error and other factors.

Final Reporting and Closeout of Non-Station Papers

For a manuscript published in a non-Station outlet, send a pdf of the article to the Station’s Internet Services Team in SDG. Also, please send two copies of the actual publication (not a draft) to the Station’s Information Specialist in SDG. This should be done as soon as possible after publication.

In either case, these materials will be used for the Attainment Report that goes to Congress and Compass, which serve as the primary notification of the publication to clients and partners. Also include where the item can be obtained if not the laboratory.

Timeline

Various factors affect the length of time it takes to publish a manuscript:

- Length of the paper.
- How closely the paper follows the format of the outlet (SRS or outside publisher).
- How many papers are in the editing and layout queues.
- How long the author keeps the paper for each review.
- Printing time, which normally takes 4 to 6 weeks.
Publication Categories and Outlets: Station Series

- Select a series for your manuscript. Call an editor if you’re unsure which one to use.
- The Station does not have authority to create new series.

<table>
<thead>
<tr>
<th>Category</th>
<th>Audience</th>
<th>Cover</th>
<th>Class of Material</th>
</tr>
</thead>
<tbody>
<tr>
<td>Research Paper (RP)</td>
<td>Scientists and resource managers</td>
<td>Separate, if more than 32 pages</td>
<td>Results, analyses, conclusions of formal studies or experiments</td>
</tr>
<tr>
<td>General Technical Report (GTR)</td>
<td>Researchers, resource managers, general forestry public</td>
<td>Separate, if more than 32 pages</td>
<td>Information of a technical nature, but not an original report; proceedings, computer programs, annotated bibliographies, and so forth</td>
</tr>
<tr>
<td>Resource Bulletin (RB)</td>
<td>Scientists and resource managers</td>
<td>Separate, if more than 32 pages</td>
<td>Economic information of permanent value on timber and other forest resources or their utilization</td>
</tr>
<tr>
<td>Research Note (RN)</td>
<td>Scientists and resource managers</td>
<td>Self</td>
<td>Observational information on new techniques or instruments, especially of how-to-do-it type or incidental discovery</td>
</tr>
<tr>
<td>Science Update (SU)</td>
<td>General public, members of Congress, cooperators, and supporters</td>
<td>Self or separate</td>
<td>Annual reports, State update sheets, interpretive brochures, program/project descriptions</td>
</tr>
<tr>
<td>Resource Update (RU)</td>
<td>Scientists and resource managers</td>
<td>(No cover – these are 4-page factsheets)</td>
<td>Economic information of permanent value on timber and other forest resources or their utilization</td>
</tr>
<tr>
<td>Unnumbered publication</td>
<td>Any FS audience, depending on subject matter</td>
<td>Separate, if more than 32 pages</td>
<td>Nontechnical information that does not become part of forestry literature, such as publications lists, facilities brochures, and forest guides.</td>
</tr>
</tbody>
</table>

Outside Publication

- All correspondence with journal editors and others associated with non-Station publication is the responsibility of the author(s). See “Cover Letter” below.
- Scientists should contact the Information Specialist to arrange for copyright forms, page charges, and reprint/pdf orders. The Information Specialist places the order and interacts with publisher to receive reprints and to pay page charges.
- Author(s) should follow the formats and other editorial considerations prescribed by the journal.
Cover Letter

- Prepare cover letters to outside publishers on formal Station letterhead.
- Check the publisher’s instructions for what they want included in the cover letter. Most want reviewers named. Some want names of potential additional reviewers. Almost all want a statement that the paper has not been submitted elsewhere and, certainly, not published elsewhere.
- If part of the manuscript has been published previously, be up-front about it and explain the circumstances. Include a copy of the item with your submittal.
- Include the copyright statement in your letter (shown in example, next page). See also “Copyright.”

Example of cover letter:

Rejected Manuscript

- A new manuscript approval form must be signed by the PL before a rejected manuscript is sent to SDG for publication in a Station series. Put a note on the form to indicate that it is a resubmittal replacing a previous journal submittal. This will close the old case file.
- If the manuscript did not previously have technical review, it will need review before being resubmitted as a Station paper (see “Reviews”).
Permissions, Disclaimers, and Credits

Color Printing
Permission to print in more than one color (including color photocopying) must be requested from the WO by the production editor with strong justification—such as to identify organisms or depict damage—supplied by the author. Prepare your paragraph of justification and send it with your manuscript.

Brand Names
Avoid using commercial names of equipment, pesticides, and so on. If you must mention a product or company, include the following disclaimer statement, which goes in the inside front cover: The use of trade or firm names in this publication is for reader information and does not imply endorsement by the U.S. Department of Agriculture of any product or service.

Copyrighted Material
To reproduce copyrighted tables or illustrations, you need written permission of the copyright owner, usually the publisher. You also need written permission for using personal correspondence or unpublished communications as support for your data. Send a photocopy of the page on which you mention a personal communication to the person you quote or paraphrase. Send copies of the written permissions to SDG when you submit your manuscript.

Legal Documents
Legal documents are cited parenthetically in the text.
Manuscript Preparation

This section provides a checklist for the author(s) when submitting a manuscript for publication. It also provides specific instructions on how to prepare the manuscript for printing as an SRS publication. FSH 1609.11 has instructions for preparing manuscripts to be published by the WO or the Department. Journal articles and proceedings papers should be prepared to conform to the style and format of the specific outlet.

Checklist for Assembling the Manuscript
When preparing a manuscript for SRS Technical Publications, please refer to the Authors Checklist (see appendix) to ensure you submit a complete package. Incomplete packages will be returned to the author. Briefly, your package should include:

1. Completed Manuscript Approval Sheet (current version located at www.srs.fs.usda.gov/working/author)
3. Paragraph on why color is needed in the final publication (if applicable)
4. Letters of permission (for use of any copyrighted material, unpublished sources, and personal communications)
5. Reconciliation letter
6. Manuscript text (hard and electronic copies) in the following order:
   - Title page (authors, pesticide and/or product disclaimer, if needed, author statement)
   - Formal title page (if needed)
   - Abstract including citation (except for Research Notes) and 5 to 7 keywords
   - Preface (if needed)
   - Summary (required for Resource Bulletins and suggested for long papers)
   - Contents (strongly suggested for papers of 30 or more pages of typewritten text)
   - Text
   - Glossary (if needed)
   - Species list (optional)
   - Acknowledgments (optional)
   - Tables
   - Figure caption list
   - Photo credits
7. Acknowledgments
8. Literature Cited (or References)
9. Appendix including appendix tables
10. List of footnotes
11. Figures (See Graphics section, page 20.)

Additional Details: “Make Sure You....”
- Check titles, affiliations, and addresses of authors to be sure they are correct.
- Do a spell-check.
- Give the scientific name for each organism (include all authorities if used).
- Revisit referenced Web sites to check accuracy of the URL and availability.
- Check the numbering of footnotes and any text references to earlier footnotes.
- Acknowledge others who contributed.
- Make sure there is a citation for every reference in the text and a text reference for every citation.
- Number tables consecutively throughout in the order they are mentioned in text.
- Number figures consecutively throughout in the order they are mentioned in text.
- Include all tables and figures in hard copy and electronic format (do not embed in the text).
- Include photos or materials for an illustration on the cover.
- Include logos for other agencies if they are needed for a formal title page.
Manuscript Format Instructions
If authors and others who key/format manuscripts follow these guidelines, manuscripts will move more quickly through the production process.

Manuscript Text
- All punctuation followed by one space (not two).
- All pages have a header (right justified) with senior author’s last name and page number.
- Do not imbed figures, tables, photos, etc., in the text.
- Do not underline URLs; remove hyperlink function from URLs.
- Do not use software to generate table of contents, footnotes, or index.
- Do not include page numbers with a table of contents page.
- Scientific names of trees, plants, and other organisms are included in parentheses and italics after first use only.
- There is a citation for every reference and a reference for every citation (text, tables, or figures).
- Include author(s) information (i.e., working title, affiliation, and address) on the cover page.
- Units of measure (English, metric, or both) should be tailored to the audience; see General Guidelines under the Tables, Tabulations, and Lists section, page 17.
- Use Microsoft equation editor or MathType® for mathematical equations.
- Paragraphs, headings, footnotes, and figure captions are flush left. Literature citations are flush left with a two-space hanging indent and followed by one blank line.
- Quoted material must be keyed exactly as in the original, including spelling and punctuation. Quotes of less than five lines are run into the sentence and are enclosed in double quotation marks. Quotes of more than five lines are indented three spaces from each margin with quotation marks omitted. Quotes within quotations are set off by double quotation marks. When using single or double quotation marks, place commas and periods inside the closing quotation mark; other punctuation marks go inside only if they are part of the quoted material.
- Do not capitalize the following words when referring to them in the text: table, figure, chapter, section, appendix; if figures are referenced in parentheses, abbreviate them; example: (see fig. 1) not (see figure 1).
- Avoid contractions and inanimate ownership. Correct: does not, cannot, diameter of the log; incorrect: doesn’t, can’t, the log’s diameter. Do not use a possessive form when actual possession or ownership is not implied; examples, Users Guide, Writers Aid Shelf, 1990s.
- Spell out acronyms at first mention in manuscript. Afterward, the short version is used. Sentences may begin with an acronym after first mention in text.
- Avoid using acronyms and abbreviations in headings.
- Font and paragraph styles (use Heading 4 only if necessary):
  - **HEADING 1** (use Helvetica 12 pt. bold, CAPS, and start text on next line, flush left)
  - **Heading 2** (use Helvetica 10 pt. bold and start text on next line, flush left)
  - **Heading 3**—Text follows. (use Times New Roman 10 pt. bold followed by an em dash)
  - **Heading 4**—Text follows. (use Times New Roman 10 pt. bold, italic followed by an em dash)
  - Text is single-spaced. (use Times New Roman 10 pt. for text, single-spaced, and flush left)

Tables and Tabulations
- Do not imbed tables in the text—keep them separate at the end of the manuscript.
- Submit hard copy and electronic copy (in MS Word or Excel) of all tables.
- Save each table into an individual table file (e.g., table1.doc, table2.xls, etc.).
- Keep tables to a reasonable size. Typical widths are 3-3/8, 5-3/8, and 7-1/4 inches.
- Type of 9 pt. Helvetica is recommended, but use no smaller than 8 pt.
- Tables are single-spaced.
- Avoid using spaces or empty columns to separate or line up data—use tabs or the MS Word table function.
Graphics
• Do not embed graphics in the text—keep them separate at the end of the manuscript.
• Submit hard copy and electronic copy of all graphics.
• Use Helvetica type font for labels within graphics.
• Save each figure into an individual graphic file (e.g., fig1.eps, fig2.ai, etc.).
• Graphics should be no smaller than 300 dpi and 3-3/8-inches wide.
• Captions are included after the text, single-spaced, and on a separate page.

Literature Cited
• Do not underline URLs; remove hyperlink function from URLs.
• Cite references within text as follows: (Harris 1980, Stern and Walls 1996a) or (Jones, in press; Mills 1988)
• Do not link the within-text citations, e.g., Miller (1980), with the lit cited section.
• Follow ANSI for styling citations; see Literature Cited section, page 33, for specifications and examples: http://www.srs.fs.usda.gov/working/author/
Parts of the Manuscript

This section of the Authors Guide provides detailed information on preparing each part of the manuscript for submission to SRS for publication. Tables, Graphics, and Literature Citations are treated in separate sections following this one.

Cover
Department regulations govern the design of covers. The format must fit Department specifications for typeface, type size, and placement of art, title, and authors’ names. Department regulations also govern what goes in the identity strip, including logos. Deviations require written justifications that provide details on the specific requirements of your audience or material.

Research Papers, General Technical Reports, and Resource Bulletins have covers; Research Notes, administrative reports, and most miscellaneous publications do not. If a publication has more than 32 text pages, a cover of heavier stock and a second ink color is allowed; for those with fewer than 32 pages, the cover must be a “self-cover,” meaning it is the same paper stock and ink color as the text. Suggestions for cover designs from the authors are appreciated. Finished cover designs are sent to the author for approval.

Pesticide Statement (inside front cover)
Papers mentioning pesticides must include one of three possible statements about pesticides, usually printed on the inside front cover of the published paper. The three are shown in FSH 1609.11, sec. 17.3, but the following statement is the one most often used in publications reporting research:

Pesticide Precautionary Statement
This publication reports research involving pesticides. It does not contain recommendations for their use, nor does it imply that the uses discussed here have been registered. All uses of pesticides must be registered by appropriate State and Federal agencies before they can be recommended.

CAUTION: Pesticides can be injurious to humans, domestic animals, desirable plants, and fish or other wildlife—if they are not handled or applied properly. Use all pesticides selectively and carefully. Follow recommended practices for the disposal of surplus pesticides and pesticide containers.

Product Disclaimer (inside front cover)
The use of trade or firm names in this publication is for reader information and does not imply endorsement by the U.S. Department of Agriculture of any product or service.

Title Page
Page 1 is the title page and has three parts:
1. Title of manuscript; key the title flush left, uppercase and lower case letters.
2. Authors with names typed as they will appear on the cover of the finished publication.
3. Author statement. Name each author in upper and lower case, bold, 10 pt. followed by their title, which should be a working title (e.g., Research Ecologist), not administrative (e.g., Program Manager). Give the complete mailing address unless the author works from home. In that case, give only the city, State, and the two-letter postal abbreviation. If an author’s affiliation has changed since the work was done, the new one is added at the end. Add “(retired)” after title, if applicable. If none of the authors is a Station
employee, a statement must be added at the end showing the agreement under which the work was done. Examples follow on next page.

Examples of Author Statements:

Bert R. Mead is a Research Forester, Forestry Sciences Laboratory, Anchorage, AK 99503-3954.


Abstract and Keywords

- “Abstract” is a number 1 heading (i.e., 12 pt. Helvetica bold, all caps).
- Key the abstract on page 2 of the manuscript.
- Keep the abstract between 200 and 250 words.
- At first mention, give both scientific and common names of organisms; thereafter, use the name appropriate to the audience.
- Keywords—List five to seven keywords in alphabetic order after the abstract. Select terms that will help in retrieving the publication. Include terms used in the title because not all computer programs search titles. An example follows:

  Keywords: Diversity, ecosystem management, natural regeneration, pine-hardwood, shortleaf pine, visual quality.

Summary

- “Summary” is a number 1 heading (i.e., 12 pt. Helvetica bold, all caps).
- The summary is an expanded abstract, and the word “summary” is reserved for this use. The word is not used for a concluding section of the text.
- A summary is required in Resource Bulletins and is suggested for long papers.

Preface

- “Preface” is a number 1 heading (i.e., 12 pt. Helvetica bold, all caps).
- The function of a preface is to give the author’s rationale for writing the paper. A preface is not necessary in most SRS publications. It is used only in major works or in papers that are part of a series. The same preface is used in each paper.
- A preface also might be used in a very large work to explain some of the procedures used to compile it and the authorship of subsections (when those authors may not appear as authors of the entire document).

Cooperation

Authors are responsible for acknowledging contributions by Government and non-FS cooperators (FSM 1631.44), as well as cooperation with other agencies. A manuscript to be published in cooperation with another Government agency must have the approval of the cooperating agency before it is sent in for editing.

Acknowledgments

- “Acknowledgments” is a number 1 heading (i.e., 12 pt. Helvetica bold, all caps).
- Any acknowledgments go at the end of the text before “Literature Cited.”
- It is appropriate to acknowledge technicians, cooperators, reviewers (but be careful here: occasionally a reviewer will not want his/her name given), sources of special materials, artists, editors, publication specialists, and others who have gone above and beyond in helping with the research or the paper.
- Do not phrase an acknowledgment to imply endorsement.
- Do not acknowledge the authors of the manuscript; we assume they did a few things.
- The acknowledgment is simply worded: avoid aggrandizement and flowery language.
Contents

• “Contents” is a number 1 heading (i.e., 12 pt. Helvetica bold, all caps).
• If you need or want a Contents page in your paper, prepare it from the number 1 and 2 headings in the paper. Do not include number 3 headings.
• Type headings in bold.
• Do not add page numbers to the contents; they will be added in final preparation.
• A contents page usually is necessary in any paper over 25 to 30 pages in final published length (about 50 to 60 pages manuscript length). It is not required in shorter papers but can be useful to the reader in many instances so should be considered.

Text Citations

Make sure that (1) assertions not supported by data have literature citations, (2) there is a citation for every reference, and (3) a reference for every citation (text, tables, or figures). Use lowercase letters to distinguish references that have the same author(s)/year (example: Miller and Wear 2001a).

Personal Communications, Correspondence, and Unpublished References (Footnotes)

Required information for personal communication—year, author’s full name, job title, and complete address including ZIP code.

Example: Personal communication. 1999. A.B. Smith, Research Forester, Froghat Corporation, P.O. Box 10, Medusa, MS 10101.

Required information for personal correspondence—author’s full name, year, document title, and complete address including ZIP code where document is on file.


Required information for unpublished references—author’s full name, date, title, number of pages, document type, and complete address including ZIP code where document is on file.


Required information for unpublished manuscript in preparation—author’s full name, title, document type, and complete address including ZIP code of author.

Example: Smith, A.B. Observations on responses of loblolly pine to recorded music. Manuscript in preparation. Author can be reached at Froghat Corporation, P.O. Box 10, Medusa, MS 10101.
Text Footnotes
- Footnotes are indicated in the text (and the appendixes) by superscript numbers. Try to limit the use of footnotes to personal communications and unpublished materials.
- Footnote numbers follow all punctuation marks except a dash but are inside a closing parenthesis if the footnote applies only to the parenthetical statement. Example:
  The publication,\textsuperscript{16} which was written in 1929 to describe air,\textsuperscript{17} and water-born pollutants (as Thomas indicated in an earlier work\textsuperscript{18}) by an anonymous author.\textsuperscript{19}
- If you refer again to material already footnoted, follow this example:
  These areas totaled about 1,900 acres (see footnote 23).
- In a publication divided into chapters, footnotes begin with 1 in each chapter.
- Footnotes in tabulations are numbered because tabulations are part of the text.
- Footnotes in tables and tables in appendixes are lowercase, italic letters.

Literature Citations
Examples are provided in the “Literature Citations” section of this guide.

Appendix
- “Appendix” is a number 1 heading (i.e., 12 PT. HELVETICA BOLD, ALL CAPS).
- Number appendixes only if there are more than one. Use uppercase letters. Each appendix is a number 1 heading.
  Correct: Appendix A
  Incorrect: Appendix IV
- Explanations and elaborations not essential to the text (but helpful to the reader) belong in an appendix, as do texts from other documents, laws, etc., used to substantiate your paper.
- Lists of common and scientific names of species, other long lists, questionnaires, forms, how-to explanations, figures, and multitudinous tables also can go in appendixes.
- Appendix tables and figures are numbered consecutively. Appendix tables and figures are numbered separately from those within the text. When referring to appendix tables and figures within the text of the document, use table A.1 (appendix) or figure A.1 (appendix).
- Footnotes start with 1 in each appendix (see “Text Footnotes,” above).
- In the text, “appendix” is not capitalized unless it begins a sentence or used with a title; if used parenthetically, it’s abbreviated: (app. C).

Glossary
- “Glossary” is a number 1 heading (i.e., 12 PT. HELVETICA BOLD, ALL CAPS).
- When included, a glossary is put at the end of the text (after citations and any appendixes but before an index) so that the reader can find it easily.
- Arrange terms in alphabetical order, each with its defination.
- Terms are 10 pt. bold and followed by an em dash.
- Subentries are indented, and the term being defined is in \textit{bold italic}.
- Capitalize only proper nouns.

Glossary example:

\begin{tabular}{|l|}
\hline
Forest types—A classification of forest land based on…. The following summarizes the forest types of southeast Alaska: \\
\hline
aspen—Forests in which quaking aspen comprises more than 75 percent…. \\
\hline
\end{tabular}
Tables, Tabulations, and Lists

Please use MS Word to create your tables. Use tabs or the table function, rather than spaces, to separate columns. It is the responsibility of the author(s) to ensure data is represented accurately.

General Guidelines

- Keep tables to a reasonable size. Standard portrait widths are 3-3/8, 5-1/2, 7-1/4 inches.
- Tables that don’t fit within the column or page dimensions should be broken into separately numbered tables or be split into two parts.
- Number tables consecutively with Arabic numerals, with the table number separated from the title by an em dash (Table 1—Sample of SRS data...), and mention each in order in the text. The numbering continues through the text and begins anew for the appendices. Appendix tables need not be mentioned in the text, but if they are mentioned, can be out of numerical order.
- Capitalize only the first word of each entry and proper nouns in a table; this includes the title, headings, column entries, and footnotes. In text, the word table is not capitalized unless it starts a sentence.
- With the exception of metric, use abbreviations only when space is limited. Spell out “per” with English units of measure when space allows. If English units are abbreviated, substitute a slash (/) for the “per.”
- Use a slash or negative superscript numeral with metric units of measure (example: kg$^{-1}$, cm/day) for “per.”
- If a number in the data column is less than 1, place a zero before the decimal point (example, 0.89).
- Every cell must have data, an em-dash, or N/A with a note below the table explaining meaning.
- For questions about tables, call the Production Editor (828-257-4391), Editorial Assistant (828-257-4351), or Writer/Editor (828-257-4847).

Style Guidelines

To properly style tables, follow the guidelines in the table below.

Table 1—Specifications for styling a table for submission to SRS Technical Publications Group

<table>
<thead>
<tr>
<th>Category</th>
<th>Element</th>
<th>Specs</th>
</tr>
</thead>
<tbody>
<tr>
<td>Font</td>
<td>Title</td>
<td>Helvetica, 9 pt, bold, one blank line below</td>
</tr>
<tr>
<td></td>
<td>Header row</td>
<td>Helvetica, 9 pt</td>
</tr>
<tr>
<td></td>
<td>Table text</td>
<td>Helvetica, 9 pt</td>
</tr>
<tr>
<td></td>
<td>Footnotes, source notes, etc.</td>
<td>Helvetica, 8 pt</td>
</tr>
<tr>
<td></td>
<td>Units of measure</td>
<td>Helvetica, 8 pt, italic</td>
</tr>
<tr>
<td>Rules</td>
<td>Header row</td>
<td>0.5 width, black, above and below</td>
</tr>
<tr>
<td></td>
<td>Bottom row</td>
<td>0.5 width, black, below</td>
</tr>
<tr>
<td>Alignment</td>
<td>Caption</td>
<td>Flush left</td>
</tr>
<tr>
<td></td>
<td>Table text, left column</td>
<td>Flush left, indent text wrapped to next line</td>
</tr>
<tr>
<td></td>
<td>Table text, other columns</td>
<td>Align as necessary to best present the data</td>
</tr>
<tr>
<td></td>
<td>Units of measure</td>
<td>Center among columns using same unit</td>
</tr>
</tbody>
</table>

*a Footnotes, source notes, etc., are placed here (below bottom rule).

*b Rules are the solid black lines that define a row (or set of rows).
Sample table styled correctly:

<table>
<thead>
<tr>
<th>Variable</th>
<th>$b_0$</th>
<th>$b_1$</th>
<th>$b_2$</th>
<th>$b_3$</th>
<th>$b_4$</th>
<th>-2LL</th>
<th>AIC</th>
</tr>
</thead>
<tbody>
<tr>
<td>ATC</td>
<td>2.5346</td>
<td>0.0294</td>
<td>168.82</td>
<td>-0.0134</td>
<td>32.6940</td>
<td>5484.1</td>
<td>5498.1</td>
</tr>
<tr>
<td></td>
<td>(0.1814)</td>
<td>(0.0075)</td>
<td>(17.13)</td>
<td>(0.0021)</td>
<td>(0.8666)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>MTC</td>
<td>1.5314</td>
<td>0.0230</td>
<td>120.83</td>
<td>-0.0130</td>
<td>32.5538</td>
<td>4736.4</td>
<td>4750.4</td>
</tr>
<tr>
<td></td>
<td>(0.1312)</td>
<td>(0.0054)</td>
<td>(12.59)</td>
<td>(0.0020)</td>
<td>(0.8257)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ATMSC</td>
<td>3.8348</td>
<td>-0.0048$^a$</td>
<td>177.02</td>
<td>-0.0131</td>
<td>34.6174</td>
<td>5536.6</td>
<td>5550.6</td>
</tr>
<tr>
<td></td>
<td>(0.2201)</td>
<td>(0.0089)</td>
<td>(21.08)</td>
<td>(0.0022)</td>
<td>(0.9865)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Note: $P$-values for all parameters were <0.0001 except for those noted.

$^a$ Parameter estimate not significant at $\alpha = 0.05$.

Parts of the Table

Title
- Give each table a complete title that answers these questions: What? Where? When? Describe the stub column, the data columns, and the main limiting factors—agent, place, and time. Put all other information in footnotes.
- Capitalize only the first word of the title and proper nouns.
- The table title is the same point size as table text, boldface, with an em dash after the table number. It is not a complete sentence and does not end in a period. If you find the need for a period and a new sentence in your table title, try adding a semicolon between the sentences or, better yet shorten the title and put the extraneous information in footnotes.

Notes
Put explanatory information and other unlettered notes below the ending table line and above any footnotes in 8 point—examples: NA = not available, — = negligible, or — = not applicable (or whatever you mean by the dash). Put a period at the end of the note. Separate unlettered notes with a semicolon.

Footnotes
Each table has its own footnote letters when footnotes are necessary. The letters are lowercase, superscript, italic, and one point size larger than point size of table text. Footnote letters are always placed at the end of a heading—not in the middle. The letters also go after the complete entry in the stub and data columns. If two footnotes appear together, separate them with a space, not a comma. Footnotes are in alphabetical order from left to right, top to bottom; in headings, from main heading to subheading.
Source notes
Source notes, such as Source: USDA Forest Service (1990) are formatted like footnotes, with a period at the end of the note, and are 8 point. Source notes follow footnotes (in alpha order, if citations).

Units of Measure
- Units of measure appear above the data columns and below the second table line. If all the entries are 1 or less (example, 0.97), make the unit of measure singular; otherwise, make it plural.
- Units of measure are 8 pt. lowercase italic and in the same font and point size as the table. If space permits, spell out English units of measure. Always abbreviate metric units of measure.
- If the same unit of measure applies to several adjacent columns, center it over the columns and add leaders (hyphen, space, hyphen) on both sides to bridge (but not overlap) those columns. Do not use leaders if the same unit applies to all the data columns or if the unit of measure is wide enough to extend over its columns. The unit of measure for the stub column is flush left.

Tabulations
- A tabulation is data presented in the text (unlike tables). It has at least two columns, but not more than five. It usually is preceded by a sentence (ending with colon) that explains the tabulation.
- A tabulation looks exactly like a table except without title and top and bottom lines.
- The box heads are bold.
- Footnotes in a tabulation are text footnotes; number them consecutively with other text footnotes.

The following tabulation shows study data:

<table>
<thead>
<tr>
<th>Stratum</th>
<th>Stand age</th>
<th>Area cut</th>
<th>Net wood residue volume</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>years</td>
<td>acres</td>
<td>cubic feet per acre</td>
</tr>
<tr>
<td>Public</td>
<td>200–240</td>
<td>17–142</td>
<td>1,328–3,803</td>
</tr>
<tr>
<td>Private</td>
<td>200–500</td>
<td>11–100</td>
<td>2,304–5,248</td>
</tr>
</tbody>
</table>

Lists
A list is a single column of data in the text, usually preceded by an introductory sentence ending with a colon. It is never labeled as a table. Items are listed by number if order is important or with bullets if the order of the items is not a factor. When building lists, make sure all items are parallel. Avoid mixing phrases with complete sentences. If you start items with an -ing or -ed verb, do so all the way through the list. A colon precedes the list. Each item in the list has an initial cap, whether it is a complete sentence or not. Do not punctuate items in a list unless they are complete sentences. Do not key an and at the end of the penultimate item.
Graphics

“Graphics” include all figures (maps, charts, graphs), photographs, drawings, proposed cover art for your manuscript, and any agency logos needed for a formal title page.

General Guidelines

- Prepare graphic to be print-ready in one of three sizes: 3-3/8, 5-1/2, or 7-1/4 inches”. Avoid landscape orientation.
- **DO NOT EMBED GRAPHICS IN THE TEXT OF YOUR MANUSCRIPT.**
- Please provide graphics in their native format.
  - Acceptable formats: SigmaPlot, Excel, Illustrator, Freehand, and editable .eps (300 dpi).
  - Photographs must be submitted as .jpg, .png, or .tif files at 300 dpi and actual printing size.
  - ArcView and GIS maps must be submitted as editable .eps files.
- Include high-quality hard copies of all graphics with your paper.
- Each piece of graphics (except the cover art) has a caption, which starts with the word “figure” and then an Arabic numeral. Also see “Cover Art” (below) and examples (next page).
- In the text, the word “figure” is not capitalized unless it begins a sentence; it is abbreviated when used parenthetically (e.g., fig. 13).
- Avoid patterns; use these grayscale amounts: 15 percent, 30 percent, 45 percent, 60 percent, 75 percent, and 100 percent.
- Make sure lines and any text within the graphic will be legible once reduced to column or page width. Determine the appropriate text size and line width by considering how the graphic will appear in the final publication (i.e., if you create a large map that will be reduced in size when printed, be sure to use a font size that will appear to be 8-10 pt. when reduced).
- Graphics embedded in MS Word or PowerPoint presentations will be treated as print-ready and will not be altered.
- The overall design (type, line weight, and other visual keys) should be consistent across all figures in a manuscript; for example, if a solid black line represents volume in one graph, use the same solid black line for volume in all the other figures.
- For questions about graphics, call the Production Editor (828-257-4391), Editorial Assistant (828-257-4353), or Visual Information Specialist (828-257-4330).

Style Guidelines

To properly style graphics, follow the guidelines in the table below.

<table>
<thead>
<tr>
<th>Category</th>
<th>Element</th>
<th>Specs</th>
</tr>
</thead>
<tbody>
<tr>
<td>Font</td>
<td>Figure caption</td>
<td>Helvetica, 8 pt, em dash follows figure number</td>
</tr>
<tr>
<td></td>
<td>Axis unit values</td>
<td>Helvetica, 8-9 pt</td>
</tr>
<tr>
<td></td>
<td>Axis label</td>
<td>Helvetica, 9-10 pt, initial caps</td>
</tr>
<tr>
<td></td>
<td>Text within fig, graphic</td>
<td>Helvetica, 9 pt</td>
</tr>
<tr>
<td>Lines</td>
<td>X-Y axes</td>
<td>0.5 width, solid, 100 percent opacity (black)</td>
</tr>
<tr>
<td></td>
<td>Gridlines</td>
<td>0.5 width, dashed, 50 percent opacity (gray)</td>
</tr>
<tr>
<td></td>
<td>Lines within fig, graphic</td>
<td>0.5 width, 50 percent opacity (gray)</td>
</tr>
</tbody>
</table>
Cover Art

- Images for the cover should be provided in both hard copy and electronic copy. If graphic support (for illustrations, etc.) is needed, the author should sketch out or otherwise provide a visual concept for the cover.
- Credit line or caption for any photo or illustration used on the cover goes after the author information on the inside of the front cover.

Figures

Figure Guidelines

- Figures should be camera-ready at time of submittal of the manuscript.
- Lines in figures should be heavy enough and lettering large enough to permit reduction in the final layout.
- If one figure has multiple charts or graphs and they need explanation in the caption, use an uppercase, bold, sans serif letter in parentheses [(A), (B), (C)] no more than 2 points larger than the text font, in the upper left corner of each, preferably outside of the chart.
- Use three-dimensional graphs only when the data require three axes to be meaningful. Three-dimensional charts misrepresent data.
- Remove all signatures and initials on original artwork; instead, give credit in the acknowledgments or in a credit line at the end of the caption.
- Use descriptions (with units of measure in parentheses) for axis labels.

Photography

- All photographs, including those on the cover, must have captions, but they do not need to be numbered as figures unless they are referred to in the text.
- If photos are supplied as prints, they should preferably measure 13 by 18 cm (5 by 7 inches), but minimum 10 by 13 cm (4 by 5 inches).
- If you submit slides, indicate the figure number in the top right corner of the slide mount. Show proposed crop marks on the slide mount as well (in pencil).
- For digital image format, see “General Guidelines” above.
- Hint: If you scan slides for digital submission, dust them first.
- Include the photographer’s name as part of the electronic file name.

Photo credits—All photographs must have attributions. Add the attribution to the caption; example: Photo by John Stanturf. Written permission is required if you want to use any photographs that are not in the public domain. Public domain photographs are those taken by Federal employees on Government time. Include a copy of the permission you received from the publisher and add a reference for the publication in the Literature Cited section. If the photo was taken by a Government employee or another individual (other than the author or authors) and has not appeared in a publication, add the attribution in parentheses at the end of the caption Photo courtesy of G. Bengsten. Photo by The Nature Conservancy.

- Photographs submitted for publication must be in sharp focus with clear, well-lit imagery. If photographs are to be printed in one color, black-and-white glossy prints provide an acceptable image quality. The film speed should be equal to 100 ISO or less. Recommended speed is 50 to 60 ISO. The lower speed will help assure that no “grain” will be visible in the final printing. Color prints can be converted to one color, but detail may be lost.
- The detail required in scientific publications is lost when blurred, washed out, or too light or too dark prints are used. If indoor photography is required to illustrate processes or systems, use photoflood lights on stands to adequately light the subject, as well as a tripod to stabilize the camera. This may require hiring (contracting) a professional indoor photographer for the best images for your publication.
- When using a digital camera, rely on the megapixel rating, not necessarily a brand name. Use the highest megapixel camera you can. If you must use a digital camera make sure that the rating is at least 8.0 megapixels, although 10.0 megapixels or greater is preferred. (A 10.0 MP digital camera will provide very clear photos when printed on 8- by 10-inch photo paper.) When using a digital camera, make sure the highest possible image quality is set on the camera, with its accompanying large file size. Many digital cameras place images on SmartMedia™ cards, CompactFlash™ cards, and other small storage media. Make sure you have enough cards for your project and transfer the images to your PC as soon as you return to the office. View
every image for highest quality and delete the images that do not meet your quality standards. Place the selected images on CD or other safe storage media as a backup.

- Remember, the quality of the photograph will only be as good as the film or the digital rating of your camera, and a photo that is too light cannot be saturated with an image that does not exist. A photo that is slightly dark is better than nothing, but one that is very dark will lose all detail of your image and is not usable.
- When emailing digital photos or low-resolution scans, send the original images without retouching or resizing. Low-resolution images can be used for placement only.

Captions

- Captions for all graphics (except any caption for a cover photo) go on a page at the end of the manuscript after the tables.
- The figures are numbered consecutively throughout the paper. The number is followed by an em dash (see examples below).
- Figure captions are flush left. Double-space between the captions.
- Identify the figure completely, but avoid such wording as “Map of...,” “Location of...,” or “Chart showing...”
- Each photo used needs a credit line at the end UNLESS all photos were taken by the author(s); if that’s the case, note it below the author statement on page 1 of the manuscript. Specially created figures and maps may also have a credit line.

Figure caption examples:

<table>
<thead>
<tr>
<th>Figure 1</th>
<th>Mean temperature and relative humidity by sample period.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Figure 2</td>
<td>Uncut forest at Hoodoo in the Blue Mountains of northeastern Oregon, 1955: (A) protected, and (B) grazed. (photos by Art Tiedemann)</td>
</tr>
<tr>
<td>Figure 3</td>
<td>Juvenile steelhead trout. (photo courtesy of U.S. Forest Service, Southern Research Station)</td>
</tr>
<tr>
<td>Figure 4</td>
<td>Celilo Falls on the Columbia River, 1947. (illustration courtesy of Traci McMerritt)</td>
</tr>
</tbody>
</table>

Color Printing

- All color printing must be approved by the Washington Office under the rules of the Joint Committee on Printing.
- If color is needed in your paper, prepare a paragraph on exactly why you think it is needed and attach to the manuscript approval form. The Station Art Director and Production Manager are the only persons having authority to send a request letter to Washington and have the authority to decide if a request will be forwarded to Washington. Decisions are made based on your paragraph and review of the figures.
- Do not use color unnecessarily. Approval for printing in color usually hinges on object identification (species, diseases, damage not visible in black and white) or complex maps where additional color is needed for clarity.
- Color cannot be used to be decorative, in place of good design, or when printing in black and white (and shades of gray) will adequately convey the information.
- Printers’ proofs are reviewed in SDG and not by the author unless an exception is requested in writing and only if there is some compelling reason.
Writing Aids

Acronyms and Abbreviations

- Spell out State names in text unless you are giving an address, for which you use postal code abbreviations.
- Spell out acronyms at first mention in manuscript. Afterward, the short version is used except at the start of a sentence. [Exception: long manuscripts with sections (i.e., chapter, appendix). At first mention in each section give the complete term followed by the acronym or abbreviation in parentheses. Afterward, the short version may be used, except at the start of a sentence. There, either spell it out again or reword the sentence to avoid having it come first].
- See also “English Equivalents” in “Manuscript Preparation” for abbreviating units of measure.
- County, fort, mount, point, and port are not abbreviated; Saint (St.) and Sainte (Ste.) are.
- The guide we use for most abbreviations is the GPO style manual.

Apostrophes

- It is not necessary to use an apostrophe to show the plural form of most acronyms, initialisms, or abbreviations, except where clarity and sense indicate.
  
  Correct:  PMs, ABCs, CPUs, Ph.D.s
  1990s, 1700s
  temperatures in the 80s
  twos, threes, and fours
  three Rs; but dotting i’s and crossing t’s (for clarity)
  the articles’ links (possessive)
  Windows’ interface (possessive)
  do’s and don’ts
  ins and outs
  whys and wherefores
  yeses and noes

  Incorrect:  RF’s (unless it’s referring to something possessed by one RF)
  1970’s
  Windows interface
  don’t’s

- Generally, the apostrophe should not be used after words that are more descriptive than possessive.
  Correct:  authors guide

Bias-Free Language

- Use language free of stereotypes or assumptions.
- Use parallel terms in referring to ethnic groups, i.e., Black and White, OR African-American and Caucasian.
Capitalization

- The basic rule is to capitalize the first letter of a proper name. The correlation is to not capitalize anything that is not clearly and irrevocably a proper or legal name.

  Correct: College of Forestry, Oregon State University
  the forestry department at Oregon State University
  Incorrect: Forestry Department, Oregon State University

- Trend in English today is to less capitalization. Go with it.


- Don’t capitalize common nouns used with a number or letter.
  Correct: mile 7.5, page 37, room 13, section 3, spring 1999, title IV, figure 92, option 9
  Incorrect: Article 1, Paragraph 4, Rule 8, Schedule C

- Capitalization of geographic names follows GPO naming convention (see http://geonames.usgs.gov). Terms such as watershed and basin are not capitalized even with a name (Columbia River basin) unless they are part of the USGS name (Great Basin).

Correct capitalization of words frequently used in SRS manuscripts:

<table>
<thead>
<tr>
<th>Alaska Native</th>
<th>Tongass National Forest</th>
</tr>
</thead>
<tbody>
<tr>
<td>Alaska Native corporations</td>
<td>national forest (without name), the forest</td>
</tr>
<tr>
<td>Arctic Ocean</td>
<td>National Park Service</td>
</tr>
<tr>
<td>arctic (adjective)</td>
<td>Rainier National Park</td>
</tr>
<tr>
<td>Cascade Range (not mountains)</td>
<td>national park (without name), the park</td>
</tr>
<tr>
<td>Chernozem soils (and all the others)</td>
<td>Native American</td>
</tr>
<tr>
<td>Chief (of the FS)</td>
<td>Neotropical</td>
</tr>
<tr>
<td>Clean Air Act of 1970</td>
<td>North Atlantic Ocean, South Atlantic Ocean</td>
</tr>
<tr>
<td>the act</td>
<td>Pacific coast</td>
</tr>
<tr>
<td>Congress</td>
<td>Pacific Rim</td>
</tr>
<tr>
<td>congressional</td>
<td>ponderosa pine</td>
</tr>
<tr>
<td>Earth (the planet)</td>
<td>program manager</td>
</tr>
<tr>
<td>earth (soft part of land)</td>
<td>record of decision</td>
</tr>
<tr>
<td>East Coast (U.S.)</td>
<td>regional forester, but Regional Forester, Southern Region</td>
</tr>
<tr>
<td>east side, west side</td>
<td>southeast Alaska</td>
</tr>
<tr>
<td>eastern North Carolina</td>
<td>Southeast Asia</td>
</tr>
<tr>
<td>Executive order; but Executive Order 34</td>
<td>South Pacific Ocean, North Pacific Ocean</td>
</tr>
<tr>
<td>Far East</td>
<td>State</td>
</tr>
<tr>
<td>Federal</td>
<td>Washington State</td>
</tr>
<tr>
<td>Federal and State ownership</td>
<td>State of Alaska (unless an official agency name within State government: State of Washington, Department of Natural Resources; or a region: Pacific Coast States)</td>
</tr>
<tr>
<td>Federal government (U.S.)</td>
<td>statewide</td>
</tr>
<tr>
<td>forest plan</td>
<td>station, but Southern Research Station</td>
</tr>
<tr>
<td>geographic information system</td>
<td></td>
</tr>
<tr>
<td>interior Alaska</td>
<td></td>
</tr>
<tr>
<td>Internet (worldwide network)</td>
<td></td>
</tr>
<tr>
<td>intranet (single-organization network)</td>
<td></td>
</tr>
<tr>
<td>Mylar</td>
<td></td>
</tr>
<tr>
<td>Nation</td>
<td></td>
</tr>
<tr>
<td>nationwide</td>
<td></td>
</tr>
<tr>
<td>National Forest System</td>
<td></td>
</tr>
</tbody>
</table>
Writing Aids

Irrational capitalization rationales (rephrased from Little 1998):
“The Acronym is all CAPS, so I’d better capitalize the words when they’re spelled out.”
“This word is highly technical and to show its importance, I’ll capitalize it.”
Correct:
• adaptive management area (AMA)
• threatened and endangered species

Latin
• Avoid “etc.” because it usually doesn’t give the reader any useful information, but it may be used to avoid heavy repetition.
• Roman font is used for Latin terms common in English use (American Heritage College Dictionary [1993] is good for checking these).
Correct:
• ad hoc, a priori, ca., in situ, i.e., e.g., [sic], etc.
• See also “Quotations” and “Scientific Nomenclature,” below.

Mathematical Equations
• Microsoft® equation editor and MathType® are the preferred software programs for formatting equations.
• Normally, one explanation of equation variables is adequate.
• The correct order for signs of aggregation, beginning with the inside parenthesis, is { [ ( { [ ( ) ] } ) ] }.
• Write mathematical equations as you do sentences; for example,

\[ TEF = \frac{BAF}{(0.005454d^2n)} \]  

where

- \( TEF \) = tree expansion factor
- \( BAF \) = basal area factor of prism or single gauge
- \( d \) = diameter at breast height
- \( n \) = number of sample plots

The equation is centered between the left and right margins on a separate line.

Because this equation is referred to in the text, it is numbered. The equation number is flush right and in parentheses. If an equation requires more than one line, the number goes on the last line. On the line below equation (1), “where” is flush left with no punctuation.

• When numbered equations are referred to in the text, the number is in parentheses. Variables are always italicized.
• The slant line is preferred to the horizontal fraction symbol. Operational signs (such as \( /, x, +, - \), and \( = \)) have one space before and after them. For long, complex equations, layout person may have to break the equation because it exceeds the width of the column. If so, layout person will break it before the sign of operation, and the next line will start with the operational sign aligned with the first term to the right of the equal sign.
Mathematical Symbols
• The following math symbols are acceptable within the text: >, <, ≥, ≤, and ±. (These may not print correctly
  from your software; the symbols shown are for greater than, less than, greater than or equal to, less than or
  equal to, and plus or minus.)
• Normally, such symbols are printed a half space next to a number, but in equations, operators are set off by a
  whole space on either side.
• Do not use the symbol for percent (%) except in tight places in a table. See also “percent” in “Word Problems,”
  below.

Numbers
• Use numerals with units of time, measure, and money (6 years, 12 m, $240); otherwise, spell out the number
  (five plots, two experiments, eight trees) unless the number is 10 or above (10 replications, 150 moths) or the
  number is in a series with numbers 10 or above (2 moths, 11 mayflies, and 23 snails).
• Measurements include height, length, area, weight, volume, points, picas, bits, bytes, and time.
  Correct: 17 m
  4 bits
  3.5-inch disk
  5 days or 2 years
  4 minutes in duration
  35mm camera, 50mm lens (no space in photography)
  2 by 4 (for lumber; not 2 x 4)
• Use numerals for 10 and above; spell out one through nine. This same rule also applies in most cases for
  ordinals (ninth grade, 10th grade).
• For English units, use commas to separate groups of three digits on the left of the decimal point and print solid
  on the right (15,798,428.65938).
• For metric units, in numbers of two to four digits, run the numerals together (example: 8429); for more than
  four digits, use blank spaces to separate groups of three (example: 15 798 428.659 38). Even if you use metric
  units in your manuscript, show other measures in the paper in the traditional way
  Correct: 1,000 trees in 21 000 ha
  36,000 seeds in 21 000 ha
  Incorrect: 1000 trees in 21 000 ha
  36 000 seeds in 21 000 ha
• In the International System of Units (SI; what we call metric), spaces (to both the right and left of the decimal
  point) are used instead of commas to separate large numbers. Numbers of four digits are closed up when
  standing alone, but in a column of larger numbers, they too have a space. This looks unusual to U.S. eyes, but
  please remember that our research is read on five continents, and we should follow the international
  conventions for SI.
  Correct: 6 879 000
  6 879 000.027 241 34
  7429
• If you’re merely counting something (1,215 trees, 46,738 seeds), the rules of metric do not apply; they apply
  only to measurement.
• In a number less than 1 (example: 0.78) that appears in the text, a zero always precedes the
  decimal point.
• Use a zero in front of a decimal point in the text except for customary expressions.
  Correct: 0.25 m
  0.334 ha
  .30 caliber
• If zero does not precede a unit of measure or isn’t some type of input, spell it out too.
  Correct:  zero probability
  significantly different from zero at the 1-percent level
  0 megabytes
  from zero to 2 cm
  Incorrect:  0 offset

• A unit of measure expressed in numerals does not mean that numbers are used for other numerical expressions in the sentence.
  Correct:  Each of six boys earned 75 cents an hour.
  Incorrect:  Each of 6 boys earned 75 cents an hour.

• Be consistent within categories of information in a sentence; if one number requires numerals, use numerals for other numbers in that category in the sentence.
  Correct:  Wheat came from 16 fields, of which 8 were planted in spring.
   The students were from 9th and 10th grades.
  Incorrect:  Wheat came from 16 fields, of which eight were planted in spring.

• Numbers less than 100 preceding a compound modifier containing a numeral are spelled out. (Short version: When two numbers are together, spell out one of them—the easier one.)
  Correct:  two ¾-inch boards
  forty-seven 0.2-mm threads
  365 two-hour days
  Incorrect:  2 ¾-inch boards (if you mean two boards)
  47 0.2-mm threads
  365 2-hour days
  three hundred and sixty-five 2-hour days

• For numbers in a series, use numerals.
  Correct:  camera location 2
  lines 7 and 8
  paragraph 2
  row 3
  step 6
  chapter 3

• Numbers at the start of a sentence are spelled out. To avoid having to do this for large numbers, start with a modifier (e.g., The value 21 represents the mean) or reword the sentence.

• Fractions standing alone or followed by “of a” or “of an” usually are spelled out. But when fractions are used in a unit modifier, numerals are used.
  Correct:  nine-tenths of 1 percent
  a quarter of an inch
  three-fourths of a hectare
  ¼-inch pipe
  ½-inch-diameter pipe

• Ranges of numbers
  Correct:  figures 31 through 76
  pages 112–124 (pages use an en dash)
  (years use an en dash)
  from 1985 through 1987
  Incorrect:  figures 31–76
  figures 31 to 76 (ambiguous; is 76 included or not?)
  pages 112 to 124
  2000–01 or 2000–1
  from 1985–87 (if you say “from,” have to say “through” or “to,” depending on meaning)
Quotations

- Type quotations exactly as in the original, including spelling, punctuation, etc.
- Quotes of more than three lines are indented about half an inch from each margin and quotation marks are not used.
- Quotes of less than three lines are run into the sentence and enclosed in quotation marks. The final period or comma goes inside the closing quotation mark. Other punctuation goes inside only if part of the quoted material.
- Quotes within an indented quotation are set off with double quotation marks.
- Quotes within an unindented quotation are set off by single quotation marks.
- Avoid using “[sic]” (in brackets, no italic) in quoted matter unless absolutely necessary. See also “Latin,” above, in this appendix.
- Text references giving page numbers are shown as: (Smith 1989: 14).

Scientific Nomenclature

- When giving scientific names for species, do so at the first mention in the text.
- Complete binomials (authorities are optional, but if used should be used consistently), are given for all species at their first mention in the manuscript. A binomial in the title of a manuscript shows only the genus and species (no authorities).
- Scientific names may be used throughout the text, but common names are easier for everyone to read. If there is no common name, then use the scientific one.
- After the scientific name has been given, use accepted shorthand when repeating references to the same genus. For example, Sequoiadendron giganteum can be referred to as S. giganteum. But the genus must be spelled out if another genus beginning with “S” has crept in since the first reference to S. giganteum.
- If the scientific and common names are the same (Eucalyptus and eucalyptus, Armillaria and armillaria), be very clear about which one you need or want to use in each situation.
- If many species are mentioned, create a list of common and scientific names in an appendix to avoid cluttering the text and use the common names throughout.
- Genera and species are always in italic. Divisions higher than genus are capitalized and in roman. Do not use underlining for italic; use the italic font.
- Specify your sources for names, e.g., Hickman 1993, Hitchcock and Cronquist 1973, Little 1979, or the NRCS PLANTS database (USDA NRCS 2006).

Units of Measure

- Do not abbreviate English units; use “per” instead of the slash (/).
- For metric, abbreviate units even at the first mention; instead of “per,” use the slash (/) or the superscript (example, kg⁻¹, 20 to 80 kg/ha/year).

Verb Tense

- In general, the new work you are reporting is in past tense (we did this, we found that), and findings already in the literature are in present tense (trees grow taller when . . .) Judgment has to be used, however, to avoid awkward wording. For example, Smith (1990) reported that trees grow taller when . . . (not Smith reports).
  - Abstract: Mostly past tense because it’s about your new work.
  - Introduction: Mostly in present tense because usually this section is bringing the reader up to date on what is already known and documented.
  - Materials, methods, and results: Mostly in past tense because you’re talking about what you did and what you found.
  - Discussion: A combination of past and present tense.
- Use present tense to introduce tables and figures.
  Correct: Table 2 shows that pileated woodpeckers inhabited....
  Figure 6 shows the site in 1967.
Wordiness

<table>
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<tr>
<th>Wordy</th>
<th>Better</th>
<th>Wordy</th>
<th>Better</th>
</tr>
</thead>
<tbody>
<tr>
<td>accomplish</td>
<td>do</td>
<td>in the event that</td>
<td>if</td>
</tr>
<tr>
<td>a majority of</td>
<td>most</td>
<td>in the vicinity of</td>
<td>near</td>
</tr>
<tr>
<td>a number of</td>
<td>a few, many, several</td>
<td>is defined as</td>
<td>is</td>
</tr>
<tr>
<td>approximately</td>
<td>about</td>
<td>it is apparent that</td>
<td>apparently</td>
</tr>
<tr>
<td>as a result of</td>
<td>from, because</td>
<td>it is believed that</td>
<td>I think</td>
</tr>
<tr>
<td>at this point in time</td>
<td>now</td>
<td>it is clear that</td>
<td>clearly</td>
</tr>
<tr>
<td>based on the fact that</td>
<td>because</td>
<td>it is doubtful that</td>
<td>possibly</td>
</tr>
<tr>
<td>blue in color</td>
<td>blue</td>
<td>it is evident that</td>
<td>(delete)</td>
</tr>
<tr>
<td>by means of</td>
<td>by, with</td>
<td>it is important to note that</td>
<td>(delete)</td>
</tr>
<tr>
<td>demonstrate</td>
<td>show</td>
<td>it is reported by Smith</td>
<td>Smith reports</td>
</tr>
<tr>
<td>due to the fact that</td>
<td>because</td>
<td>it is suggested that</td>
<td>I think</td>
</tr>
<tr>
<td>fabricate</td>
<td>make</td>
<td>lacked the ability to</td>
<td>could not</td>
</tr>
<tr>
<td>few in number</td>
<td>few</td>
<td>large in size</td>
<td>big, large</td>
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<tr>
<td>finalize</td>
<td>end</td>
<td>methodology</td>
<td>methods</td>
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<tr>
<td>following</td>
<td>after</td>
<td>modification</td>
<td>change</td>
</tr>
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<td>for the purpose of</td>
<td>for, to</td>
<td>needless to say</td>
<td>(don't say it)</td>
</tr>
<tr>
<td>for the reason that</td>
<td>because</td>
<td>on a daily basis</td>
<td>daily</td>
</tr>
<tr>
<td>greater number of</td>
<td>more</td>
<td>on a regional basis</td>
<td>regionally</td>
</tr>
<tr>
<td>great majority of</td>
<td>most</td>
<td>print out</td>
<td>print</td>
</tr>
<tr>
<td>in addition to</td>
<td>besides</td>
<td>prior to</td>
<td>before</td>
</tr>
<tr>
<td>in all cases</td>
<td>always</td>
<td>referred to as</td>
<td>called</td>
</tr>
<tr>
<td>in a number of cases</td>
<td>many, some</td>
<td>subsequent to</td>
<td>after, later</td>
</tr>
<tr>
<td>in close proximity</td>
<td>near</td>
<td>terminate</td>
<td>end</td>
</tr>
<tr>
<td>initiate</td>
<td>begin, start</td>
<td>ultimate</td>
<td>last</td>
</tr>
<tr>
<td>in most cases</td>
<td>usually</td>
<td>utilize</td>
<td>use</td>
</tr>
<tr>
<td>in order to</td>
<td>to</td>
<td>we wish to thank</td>
<td>we thank</td>
</tr>
<tr>
<td>in respect to</td>
<td>about</td>
<td>with regard to</td>
<td>about, concerning</td>
</tr>
<tr>
<td>in some cases</td>
<td>sometimes</td>
<td>with respect to</td>
<td>about</td>
</tr>
<tr>
<td>in terms of</td>
<td>about, as, for</td>
<td>with the possible</td>
<td></td>
</tr>
<tr>
<td>in the absence of</td>
<td>lacking, without</td>
<td>exception of</td>
<td>except</td>
</tr>
</tbody>
</table>

Word Problems

- **affect/effect**
  “Affect” is usually a verb (Precipitation will affect the growth rate.) but sometimes can be a noun referring to emotional state (Her usual affect was sadness.).
  “Effect” is usually a noun (We measured the effect by . . .) but sometimes can be a verb meaning to bring about a particular outcome (She worked to effect rights for children.).

- **and/or**
  “And/or” is a legal term, and although used informally as well as in legal writing, it should not be used in scientific writing. Say “x or y, or both.”

- **anthropomorphic**
  Correct meaning: Having a human form or human attributes; ascribing human characteristics to nonhuman things.
  Incorrect meaning: Human caused; if this is what is meant, say so. Using “anthropomorphic” to say this is euphemistic and jargon.
• **between vs. among**
  “Between” is used to compare two items; “among” is used for more than two. “Between” also is used to show the relation of one thing to many other things, both severally and individually.

  Correct: between-tract variation (two tracts) was distributed among five plots
  switch between the programs installed on your computer (more than two)
  relations between Hong Kong, China, and Taiwan continue to be nervous

• **comprise**
  “Comprise” means to consist of or contain. The whole comprises the parts; the parts compose the whole.

  Correct: The United States of America comprises 50 states.
The United States of America is composed of 50 states.
Alaska, Washington, and Oregon make up the PNW Station.
Incorrect: The United States of America is comprised of 50 states.
Alaska, Washington, and Oregon comprise the PNW Station.

• **data**
  In science, **datum** is and **data** are.

• **differ vs. vary**
  One thing **varies** within itself and two or more things **differ**.

  Correct: stream temperature varied by season (one thing varying within itself)
  inventories of the roads differ widely (two or more inventories)
  interactions differ with time and space (more than one interaction)
  effects differ in degree (more than one effect)
  results differ among sites (more than one site; “among” is used if there were more than two sites involved [also see “between vs. among,” above]

• **lead**
  Correct meaning: Present tense of verb “to lead;” metallic element.
  Incorrect meaning: Past tense of the verb “to lead;” that word is “led.”

• **link vs. linkage**
  “Link” (noun) = a connecting element (including in computer science).
  “Link” (verb) = to connect or become connected with.
  “Linkage” (noun) = the manner or style of being linked; the state of being linked, especially the relationship between genes on the same chromosome that causes them to be inherited together.

• **Percent vs. percentage**
  “Percent” is spelled out and used only with a number. (The symbol, %, is not used.) When used alone, the noun is “percentage.” Saying “percent cover,” etc., is jargon.

  Correct: 70-percent crown closure
  40 percent greater
  9- to 10-percent moisture content
  percentage of harvest
  percentage of ground cover
  Incorrect: percent harvest
  percent ground cover
• **relationship**
  “Relationship” = kinship; “relation” = association. “Relationship” also has some mathematical meanings and is correct in those instances.

• **since vs. because**
  “Since” refers to passage of time; “because” gives a reason—not interchangeable.

• **using**
  “Using” cannot modify a verb (show how something was done), but can be changed into a prepositional phrase by inserting “by” as in the following examples.

  Correct:  the intention was to rethin by using...
  was installed by using...(a prepositional phrase can modify anything)
  Incorrect: the intention was to rethin using
  was installed using...

• **while**
  “While” means at the same time as. “Although” or “even though” (meanings: regardless of the fact that or notwithstanding) or “whereas” (meanings: inasmuch as or on the contrary) may be used in most cases.
### Literature Citations

### Sample Citations for Most Publication Types

#### Directory

The USDA FS style for citing bibliographic references is based on ANSI (American National Standards Institute. 1977. American national standard for bibliographic references. ANSI Z39.29. New York. 92 p.). To simplify, condense, and illustrate the rules outlined in ANSI, we show examples of the most common types of citations used in SRS publications. The examples also account for USDA FS requirements. Some examples are not real but have been created for this guide. If you have an unusual paper that you are not sure how to cite, ask an editor for assistance.

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Refer to literature in the text by author-year. In the author-year method, the author’s last name and the year of publication are cited:

... may result in increased peak flows (Brown 1980).
Later experiments by Miller (1981) showed ...

When listing more than one reference as support for a statement, give the references alphabetically by senior author. (Note: In the literature cited section, when a work has three or more authors, all authors are named. For a work by four authors, all four are named because it makes no sense to drop the fourth name only to add the notation [and others].) Do not punctuate between the author’s name and the year except when the year must be given as in press.

Examples of how references should be cited in the text:
(Adams 1980; Endres and Thompson, in press; Petersen 1974)
(Barnes and Clark, no date)
(Jones 1980, 1981)
(Jones 1980, 1981, 1993a; Jones and others 1971; Miles and Anderson 1979)
[Pan and others 1996, in press; Parton 1996; Ryan and others in press (a), in press (b)]
(Remington 1982a, 1982b)
(Vose and Swank 1993, Vose and others 1995)

Alphabetizing
List entries alphabetically by author in the Literature Cited or References section.
Brown, A.T.
Brown, J.S.
Campbell, R.K.
Campbell, R.K.; Echols, R.W.
Crossley, D.A., Jr.
D’Antonio, D.M.
Davis, E.L.
de Steiguer, J.E.
Gansner, D.
Gansner, D.A.
Stout, B.M., III

List a single-author entry before a multiple-author entry beginning with the same name:
Mason, P.
Mason, P.; Street, D.; Drake, P.

For several entries by the same senior author with different coauthors, alphabetize by the last names of the junior authors:
Brown, G.W.; Gahler, A.R.; Marston, R.B.
Brown, G.W.; Krygier, J.T.

When the author or authors of several entries are the same, arrange the citations chronologically:

When the author or authors and the year are identical for two or more entries, add a lowercase letter to the year and use the first word of the title to arrange the citations (but ignore a, an, and the when alphabetizing):
Hall, F.C. 1979a. The ecology of . . . .
Hall, F.C. 1979b. Silvicultural options . . . .
Entries by the same senior author with the same year but two or more coauthors with different surnames also require lowercase letters after the year. In the following example, each entry would appear as Miller and others (1975) in the text; the letters distinguish which publication is being cited:

Miller, J.A.; Franks, M.B.; Williams, A. 1975b.

Entries that begin with a year are listed first:


Book or Monograph


*The third reference shows an edition number; all references show no State for the publisher’s location and shortened name of well-known publishers. (No states are used for major cities.)*

Chapter in Book or Monograph

*The reference cites a section within a monograph; the monograph is in a numbered series. The series name is abbreviated.*


*The reference has more than four authors.*


*Reference shows compilers and editors for the larger work.*

When page numbers of the chapter being cited are known, the chapter number is not required. It may be added, however, as a supplemental note:

If this book had not been paged, the reference could be written in one of two ways:


Book Review


The title of the book being reviewed begins with an uppercased letter.


This book review has a separate title.

All Volumes of Multivolume Work and Article in Specified Volume


Both examples above cite all volumes of multivolume works. In the second example, the volumes were published in different years; the range of years is given.


Cites an article in one volume of a multivolume work. The volume number is given in a supplemental note. The published titles of the article and the proceedings use an em dash. Pagination of the work is unconventional; because a hyphen appears as part of the page number on the original, the word to is used rather than a hyphen to indicate inclusive pages.

Series, Department of Agriculture

Publications in a Department series, such as Agriculture Handbooks, Forest Resource Reports, Miscellaneous Publications, and Technical Bulletins, show on the cover the name of the Agency using the series. The Agency, however, is not named as publisher in the reference. The series name is abbreviated.

Although the Forest Service, U.S. Department of Agriculture, is the Agency named on the publication, only the Department is shown as the publisher of a Department series. The reference shows the compiler as author.


Cites a book with more than one volume; the collection is being cited. (If only one volume of a collection were being cited, the volume number would follow Vol.) The collection is in a numbered series.

Series, Forest Service (WO)

Reference shows a corporate author. Repeating the publisher’s name is optional. Where different type sizes were used on the publication to set off the last portion of the title (fiscal year 1980 highlights), a colon was used in the keyboarded citation.

Series, Forest Service (Station or Region)


The publisher’s location is a domestic city that stands alone and the name is shown as Southern Research Station on the cover of the publication.


The publication is in an unnumbered series. The publisher’s name is shown as Pacific Northwest Forest and Range Experiment Station on the cover of the publication.

Names of regional offices should be given rather than numbers (R-5). If the cover of the publication shows only the number, however, use the number in the citation but supply the name in brackets: . . . Forest Service, R-5 [Pacific Southwest Region].

When a publication is revised and reissued, identify the revision or edition number after the title. You may abbreviate the words revised and edition. The following three examples illustrate different styles used in publications to indicate revised status.


The publisher is named as author; therefore, the publisher’s name is not repeated as the publisher. The document cited is a brochure, is not paged, and the cooperating agency is named.

Series of Another Government Agency, University, or Organization

The series must also meet the ANSI definition of a monograph.


Reference shows an article within a larger work.

Brochure and Leaflet

The physical format of a brochure or leaflet is different from that of a technical publication. Usually a brochure or leaflet is a foldout with unnumbered pages and, when folded, may be larger or smaller than standard. A brochure is roughly the standard 8 1/2 by 11 inches, and a leaflet is smaller (usually narrower) than standard. Because the physical format of such publications is different, a medium designator is given in brackets at the end of the title.


The organization named as author is not repeated as the publisher. The word revised indicates an earlier version was published. The cooperating organization is named.

*The publication date does not appear on the leaflet. The writer knows the date, however, and supplies it in brackets. The publisher’s location is not given on the leaflet, is supplied in brackets.*

**Journal or Periodical Article**

Spell out names of journals and put a period after the name. Show the title of the article as given on the publication.


*The title of the journal article includes a scientific name, that is italicized.*


*For journals that have issue numbers, show the number in parentheses.*


*The author’s name is misspelled on the publication. The corrected name cannot be shown first because the name given on the publication will be the one used in library records and bibliographic databases. The journal uses only a volume number.*

Some journals do not use either volume or issue numbers. The month of the issue must then be substituted.


*A comma is used in the page number sequence when there are more than four digits.*

When citing an article in a journal that is not widely known, including the publisher’s name is helpful. Copies of the journal show the publisher as the U.S. Department of Agriculture Forest Service. If the writer knows that Rocky Mountain Station is the Forest Service unit publishing the journal, the citation reads:


*The comma appears after Forest Service because normal ANSI punctuation is followed when information is supplied. A semicolon appears after the publisher’s name.*

If a journal uses only an annual volume number, the date of issue also might be needed for clarity as in the following example, which also shows the publisher and supplied page numbers for the article.

Publisher of Journal Shown
The publisher of a journal article usually is not shown; if the journal is not widely known, however, naming the publisher might be helpful to the reader. If given, the publisher’s location and name precede the volume and issue numbers.


Article in Monograph Published as Supplement to Journal Issue

The monograph name is abbreviated; journal name and volume and issue numbers are also cited, followed by the year and month.

Article in Proceedings Published in Journal

The proceedings has a title; the publisher of the journal is shown.

Proceedings
Citations for proceedings or articles in proceedings include the number of the meeting, for example, 11th spring symposium of the Florida section of the Society of American Foresters; 1979 Mississippi water resources conference. For lack of a better name, this information is called the proceedings identifier. The precise form the identifier takes depends on whether the proceedings has a formal title, what information appears on the publication, and how the information is shown. The name of the meeting sometimes appears on the cover or title page as the title of the proceedings or just as information for the reader. Often, however, the information appears in small print elsewhere in the document. Because the identifier is not part of the formal title, Roman numerals and ordinals are converted to Arabic. The following examples illustrate forms the identifier may take and the correct punctuation and capitalization.


The article is in a proceedings without a title.


The article is in a proceedings with a title.

The formal titles of both the article and the proceedings contain a colon; the first word after that colon is not capitalized unless it is a proper noun. The proceedings identifier, as the subtitle, does begin with a capital letter. Identity of the publisher and the organization levels responsible for the publication is written in the sequence shown on the publication.


The article is in a proceedings that shows an annual issue number on the cover.


The proceedings has a title and is in a numbered series.


Cites the proceedings, not an article in it. The proceedings identifier, which follows the colon, is not part of the formal title of the proceedings. The diacritical mark has been retained in name of the senior technical editor.

Report


Cites a work that is a report rather than a monograph. (A report usually is a less formal document than a monograph. It generally is neither typeset nor produced commercially; that is, it’s photocopied.) The report number is given after the name of the originating organization; for a monograph, it is given after the title. Other bibliographic elements remain in their normal sequence.


Completion report is the only report identifier shown on the document; the contract number given on the report is included to help identify it. The originating agency is named as the publisher. The contracting organization is not indicated on the report.

Available from: National Park Service Cooperative Park Studies Unit, College of Forest Resources, University of Washington, Seattle, WA.

Final report is the only report identifier shown on the document; the contract number given on the report is included to help identify it. The document does not indicate the organization originating the report so a statement on availability is given to help the reader locate the report; the contracting organization, named on the report, is given as that source. The report was written by more than three authors.


This document is also posted on the Web.

Thesis and Dissertation


Abstract

Abstracts are considered unpublished works. If you must cite an abstract, indicate the work is not a full-length paper by identifying its physical format in brackets at the end of the title.


The publisher’s location was not given on the original; the information is supplied within brackets.

Available from NTIS


This report was not published but was made available to users through NTIS. Without a publisher’s name, however, the work cannot be attributed to an organization; to help identify the origin of the work, the affiliation of the senior author is shown. Because a publication date does not exist on the document, a statement, Written in 1980, is added to the reference as a supplemental note.

Collaboration Shown

A collaborator is different from a coauthor. Rather than participating in writing the work, the collaborator might relate a story or experience to another individual who writes it. Collaboration is usually shown on the publication by a named author with the collaborator.

Cooperation Shown
When cooperators are named, their role is shown in a supplemental note at the end of the citation. Include a cooperator’s location when necessary to distinguish between geographically separate locations of the organization. For example: U.S. Department of the Interior, Bureau of Land Management could imply the Washington, DC, headquarters of the Agency. If the document indicates BLM in Reno, the location is necessary information for the reader: U.S. Department of the Interior, Bureau of Land Management, Reno NV. If the list of cooperators is lengthy—more than three names—do not include them in the citation.


The technical editor is named as author. Where a change of type size on the cover and title page is used to distinguish between portions of the formal title, a colon is used in the keyboarded citation. A semicolon separates names of the two cooperators because the name of one contains a comma.

Copyright Date Used as Publication Date

The publication date is not shown on the original. Using the copyright date is preferred over the notation [N.d.]—meaning no date—when publication date is not indicated.

Corporate Author

When the author and publisher are the same, the name is supposed to be repeated in the bibliographic element stating the publisher’s name. When such repetition creates a wordy citation—especially when a U.S. Government Agency is author and publisher—the second appearance of the name may be omitted.


In Press
Only manuscripts accepted for publication can be classed as in press. The notation appears in the same position as the publication date. A manuscript not yet accepted for publication cannot be cited as in press. Such a manuscript is in preparation and is referenced in a footnote or endnote to the text.


The work has been approved by the Project Leader and the Communications Program Manager and has, therefore, been accepted for publication in an SRS series. In the text, the reference is (Porter and Meehan, in press).


The paper has been accepted for publication by the journal but is not yet published. Merely sending a paper to a journal does not constitute acceptance.


These articles have been accepted for publication by the journal. Lowercased letters are included with in press to indicate alphabetical order.

Map


Wyoming general soil map [Soil]. J.F. Young, P.C. Singleton, cartogs. 1977. [Place of publication unknown]: University of Wyoming, Agriculture Experiment Station. [Scale unknown]; [Projection unknown]. 40 p. [Explanatory manual].

Missing Information

When required information is missing, identify the missing element in brackets and retain normal punctuation, which goes outside the closing bracket.

Reutebuch, S.E., Jr.; Murphy, G.E. 1985. Using a computer aided planning package to assess the impact of environmental restrictions on harvesting systems. In: Forest operations in politically and environmentally sensitive areas: Proceedings, 8th annual meeting, council on forest engineering. [Place of publication unknown]: [Publisher unknown]: 16-25.

Two pieces of information are missing. Each piece goes in its own set of brackets with normal punctuation following each piece.


The publication date does not appear on the leaflet. The writer knows the date, however, and supplies it in brackets. The publisher’s location is not given on the leaflet and also is supplied in brackets. The information [Leaflet] supplied at the end of the title indicates a physical format different from the standard; it does not indicate missing information.

Anon. [N.d.]. Summary of research activities at Trout Creek Division, Wind River Experimental Forest. [Place of publication unknown]: [Publisher unknown]. 6 p. On file with: Biomass and Energy Research Unit, Pacific Northwest Research Station, P.O. Box 3890, Portland, OR 97208-3890.

Because so much information is missing from the reference, a supplemental note was added to help readers locate a copy of the document.

More Than Three Authors

When a publication has three authors, all authors are named. For a publication by four authors, all four are named because it makes no sense to drop the fourth name only to add the notation [and others]. For a publication by
more than four authors, names after the third author are replaced with the notation [and others].


More than four authors are shown on the publication.


The fourth author is named.

When an author’s initials precede the notation [and others], do not punctuate before the notation.


The article is in a publication with more than three editors.


The article has been accepted for publication in the proceedings.

Newspaper Article


[NY] is added for clarity.


This is an article without a byline. Cite as The Oregonian 1981 in the text and Literature Cited, but alphabetize under O.


This is an article with a byline and dateline. The article is not on successive pages. Note: Titles of technical publications should not begin with a numeral, but when citing an item that does, give the title as published.

Magazine Article


This article is published in a magazine in four different issues.

Part of a Series

*The series the publication appears in is shown in parentheses at the end of the citation. Semicolons separate bibliographic groups in the series statement.*

**Part of a Work (Work Unit Fraction)**


*The article appears on pages 288 through 291 of the journal, but table 2 on page 290 is the part being cited.*


*This publication does not appear within another; therefore, the total number of pages must be shown (8 p.). The work-unit fraction being cited (fig. 2 on page 3) must then be given before the total number of pages.*


*Cites a report, not a monograph. The authors wrote a portion of the work being cited. Their section, which is untitled, is not a chapter or a unit that could be cited by itself, so the number of pages in the entire publication must be given. The authors’ portion being cited is shown in parentheses.*

**Patent**


**Publisher with Two or More Locations**


*The title page of the book shows two locations for the publisher. Because the U.S. city was shown second, both cities are included in the citation. For cities not well known, include the States. Multiple locations are separated by semicolons.*


*The title page of the book names three locations for the publisher: New York, Evanston, and London. Because the first shown is a U.S. city, the other locations do not have to be included in the reference.*

*The publication was published in a domestic city that stands alone and all the publishers are located in the same city. This publication has 143 pages and 20 maps.*

**Recurring Publication**

To cite many issues of a recurring publication, give the range of years; indicate in a supplemental note the frequency of publication.

**State of California, Division of Forestry. 1973-86. Brushland range improvement. Sacramento. Annual.**


*Each citation implies that all or most issues published in the years given were used as reference.*

**Roman Numerals and Ordinals**

Roman numerals (*I, II, IX*) and ordinals (*first, second, third*) should be converted to Arabic numbers (*1, 2, 9; 1st, 2d, 3d*), except in titles and where required for specific meaning.


*Vol. 21 appears as Volume XXI on the original document.*


*The work cited precedes the numbered pages in the document. The Roman numerals used as page numbers must be retained in this case to indicate proper location of the work within the document.*


*The ordinal first is retained rather than being changed to 1st because it appears in the title.*

**Sponsorship Shown**

Organizations sponsoring a meeting or workshop are sometimes named on the cover or title page of published proceedings. Their role should be indicated after the pages cited but before any cooperators are named. Include the sponsor’s location when necessary to distinguish among geographically separate locations of an organization. Add appropriate punctuation to separate different sponsors. If more than three sponsors are listed, do not include them in the citation.

Cites a publication by more than three authors.

Murray, M., ed. 1986. The yield advantages of artificial regeneration at high
latitudes: Proceedings of the 6th international workshop on forest regeneration.
Forest Service, Pacific Northwest Research Station. 60 p. Sponsored by: School
of Agriculture and Land Resources Management, University of Alaska-Fairbanks;
Canadian Forestry Service, Ottawa, ON. In cooperation with: School of Agriculture
and Land Resources Management, University of Alaska Fairbanks.

The editor of the proceedings is named as author. A proceedings is being cited rather than an article
within; the proceedings identifier is not part of the formal title of the proceedings. The ordinal 6th appears
as sixth on the publication. Sponsors and cooperators are named. University of Alaska-Fairbanks is
punctuated as shown on the publication. A semicolon separates the two sponsors because a comma was
used in the name of one.

Subordinate Title
A subordinate title is a term or phrase after the formal title that completes or qualifies the title. A proceedings
identifier is a subordinate title. The subordinate title is separated from the main title by a colon. The first letter of a
subordinate title is capitalized (Transactions in the Hoekstra reference, and Proceedings in the Hall reference
below):

Resource management for the eighties: Transactions, 46th North American
Institute: 336-344.

Hall, J.D.; Campbell, H.J. 1968. The effects of logging on the habitat of coho salmon
and cutthroat trout in coastal streams. In: Logging and salmon: Proceedings of a
forum. Juneau, AK: The American Institute of Fisheries Research Biologists, Alaska
District: 5-37.

The portion of the formal title following a colon is not a subordinate title. The first word after the colon, therefore,
is not capitalized (tests in the following example) unless it is a proper name:

Darr, D.R. 1981. Interactions between domestic and export markets for softwood
lumber and plywood: tests of six hypotheses. Res. Pap. PNW 293. Portland, OR:
U.S. Department of Agriculture Forest Service, Pacific Northwest Forest and
Range Experiment Station. 22 p.

Title Reflects More Than One Part
Parts are identified according to the publisher’s style. They may be numbered (as in the following example),
lettered (Part A), or identified in other ways. Punctuate between the main title and title of the part.

synthetic sex attractants controls damage by Eucosma sonomana (Lepidoptera:
Tortricidae, Olethreutinae) in Pinus ponderosa plantations. 1: Manually applied
polyvinyl chloride formulation. Canadian Entomologist. 112: 159-162.
Translated Title
Follow the capitalization rules of the language. Include all diacritical marks; omitting such marks is equivalent to misspelling. If the publication gives the title in more than one language, separate the title in the original language from the parallel title by an equal sign.


When the title appears only in the original language, you may supply a translated title immediately after the original. Separate the original from the translated title by a colon. Normally, information being supplied is enclosed in brackets; a translated title, however, does not require brackets.


Transliterated Title
When bibliographic data on the original document are given in a non-Roman alphabet, transliterate the title to the Roman alphabet (you need not enclose the transliterated title in brackets). Indicate the language of the document in a supplemental note.


The reference also shows a title with more than one part.

Two or More Publishers
Separate multiple publishers with a semicolon. If the publishers are in the same city, separate the publishers’ names with a semicolon but do not repeat the city.


CD-ROM, Diskette, and Magnetic Tape


Online Journal

The date the author first accesses the Web site is listed in the reference. If the year is the same as the publication year, you do not need to repeat it.

Proceedings on CD-ROM

Published on CD-ROM and Online
Literature Citation

Published Both on Paper and Online


http://www.ornith.cornell.edu/pifcapemay/hamel.htm and
http://www.ornith.cornell.edu/pifcapemay/twedt.htm [Date accessed: July 10, 2001].

This publication is located on two Web sites.

Online Publication


Software Program, Commercial


This software has a version and an edition.

Software Program, Government


Unpublished Reference
There are two ways to cite an unpublished reference in your manuscript: (1) as a footnote or (2) as a source listed in the literature cited list. Which way you should use depends on whether the reference appears in the text or in a table.

If it appears in the text, cite it as a footnote:


If it appears in a table, cite it in the literature cited list—and in that case, also refer to the literature citation by listing the source below the table, e.g., (Source: U.S. Department of Agriculture Forest Service 1998).

Videocassette
Rummer, R.B. 1999. Forest engineering research: 30 years of research to support forest operations [Videocassette]. Auburn, AL: Auburn University Educational Television. [VHS format, 55-min.].
AUTHORS CHECKLIST
For Preparing and Submitting Manuscripts for SRS Publication

Please reference the SRS Authors Guide for complete instructions on manuscript preparation.

CHECKLIST FOR SUBMITTING MANUSCRIPT
☐ Manuscript Approval Sheet [Form SRS-FS-1600-4a(6/93)] is completed.
☐ Publication Distribution form [SRS-FS-1600-5a(3/97)] is completed.
☐ Letters of permission to use copyrighted material are included, if necessary.
☐ Reviewers' copies and letters of reconciliation for all reviewers are included.
☐ Text is provided in hard copy and electronic format; it includes tables of contents, lit cited, and figure captions.
☐ Figures and tables are provided in hard copy and electronic format, with each as a separate e-file.
☐ Photographs (hard copy and e-file), with photo credits and captions for each, and within-text placement information.

MANUSCRIPT TEXT
• All pages have a header (right justified) with senior author's last name and page number.
• Do not imbed figures, tables, photos, etc., in the text.
• Do not underline URLs; remove hyperlink from URLs.
• Do not use software to generate table of contents, footnotes, or index.
• Provide a table of contents for longer manuscripts, but do not include page numbers with it.
• Scientific names of trees, plants, and other organisms are included in parentheses and *italics* after first use only.
• There is a citation for every reference and a reference for every citation (in text, tables, and figure captions).
• Include author(s) information (i.e., working title, affiliation, and address) on the cover page.
• Units of measure (English, metric, or both) should be tailored to the audience; see Authors Guide for specifications.
• Use Microsoft equation editor or MathType for mathematical equations.
• Font and paragraph styles (use Heading 4 only if necessary):
  - **HEADING 1** (use Arial (or Helvetica) 12 pt. bold, CAPS; start text on next line, flush left)
  - **Heading 2** (use Arial (or Helvetica) 10 pt. bold; start text on next line, flush left)
  - **Heading 3**—Text follows. (use Times New Roman 10 pt. bold followed by an em dash)
  - **Heading 4**—Text follows. (use Times New Roman 10 pt. bold, italic followed by an em dash)
  - **Text** is double-spaced. (use Times New Roman 10 pt. for text, double-spaced, flush left)

TABLES AND TABULATIONS
• Do not imbed tables in the text—submit them as separate files and name them sequentially (e.g., table1.doc, table2.doc)
• Submit hard copy and electronic copy (in MS Word or Excel) of all tables.
• Keep tables to a reasonable size. Typical widths are 3-1/4, 5-1/2, and 7-3/4 inches.
• Type of 9 pt. Arial (or Helvetica) is recommended, but use no smaller than 8 pt.
• Tables are single-spaced.
• Avoid using spaces or empty columns to separate or line up data—use the MS Word table function or tabs.
• See Guidelines for Tables in the Authors Guide for complete specifications.

GRAPHICS—"Graphics" include all figures (maps, charts, and graphs), drawings, proposed cover art, and agency logos.
• Do not imbed graphics in the text—submit them as separate files and name them sequentially (e.g., fig1.xls, fig2.ai)
• Captions are included after the text, single-spaced, and on a separate page.
• Submit hard copy and electronic copy of all graphics.
• Use Arial (or Helvetica) type font for labels within graphics.
• Send files in their native format, if possible. Best file formats: *.eps, *.ai, and *.xls.
• Graphics should be no smaller than 300 dpi and 3-7/8 inches wide.
• See Guidelines for Graphics in the Authors Guide for complete specifications.

PHOTOGRAPHS—Photos should be 2000x3000 pixel dimension; at least 300 dpi at the desired placement size.

LITERATURE CITED
• Cite references within text alphabetically: (Harris 1980, Stern and Walls 1996a) or (Jones, in press; Mills 1988).
• If citation is for a Web site, please include the date the info was accessed [e.g., (Date accessed: June 7, 2013)].
• Follow ANSI for styling citations; see Guidelines for Citations and Authors Guide for specifications and examples.

(The above page can be downloaded at [http://fsweb.srs.fs.fed.us/comm/pubs.shtml](http://fsweb.srs.fs.fed.us/comm/pubs.shtml))